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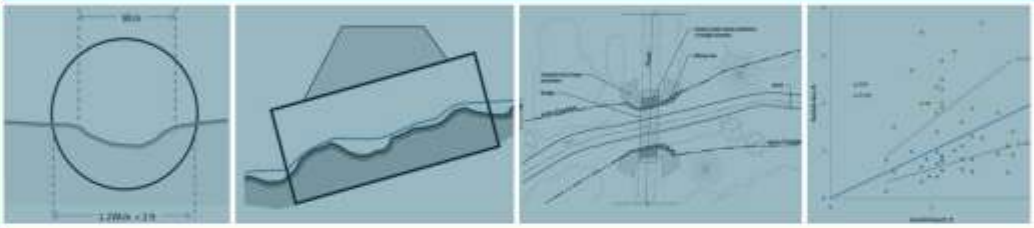

Water Crossing Design Guidelines

Washington Dept. of Fish and Wildlife

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WATER CROSSING DESIGN GUIDELINES

WASHINGTON DEPARTMENT OF FISH AND WILDLIFE

Published by

Washington State Aquatic Habitat Guidelines

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PREFACE

This edition of the *WATER CROSSING DESIGN GUIDELINES* (previous editions titled *DESIGN OF ROAD CULVERTS FOR FISH PASSAGE*) has been completely revised, including new chapters on bridge design, tide gates, temporary crossings, culvert abandonment, and project plans. We hope that the guidance relays practical, real-world knowledge and techniques to improve the overall success of water crossing structures.

In 2009 Price *et. al.* (Price, Quinn et al. 2010) evaluated 77 culverts permitted by WDFW and found that a surprising number failed to provide the most basic fish passage, and an even greater number did not comply with simple design criteria that has been widely available since 1994. Specifically, of the 31 culverts that were designed and permitted according to the no-slope design method (see ***Chapter 2***), 45% failed the barrier standard (Washington Dept of Fish and Wildlife 2009) and 84% failed to meet the no-slope design criteria set forth in the Washington Administrative Code (see ***Appendix B***). This is a significant break in the guidance-design-permit-construction chain. As part of the remedy, Price *et al.* recommends that training be provided to all parts of the fish passage program. At the heart of any training curriculum is guidance and we hope that these new *WATER CROSSING DESIGN GUIDELINES* will fill that role. (To be placed on a mailing list for Aquatic Habitat Guideline training session or document announcements please refer to: AHGrequests@dfw.wa.gov.)

The cost of a barrier culvert replacement is very high considering the thousands that currently dot the landscape. The average cost of replacing a Washington State Dept. of Transportation culvert is $1,300,000 (Jon Peterson, WSDOT, per. communication) and replacing a Washington Dept. of Natural Resources culvert is $81,000 (U. S. v. State of Washington 2009). If new culverts fail to provide fish passage, then this money has been wasted and the outlay doubled since they must be replaced a second time in order to comply with State law. On the basis of economics alone, it is important to replace culverts right the first time. By following the advice given in this document and by relying on the expertise of knowledgeable designers and biologists, we hope that your water crossing project is successful.

These guidelines apply to water crossings of all types in Washington State. They do not replace existing regulations addressing water crossings (WAC 220-110-070), but help to clarify and set them into engineering practice.

In addition to these guidelines, there may be other state and federal regulations such as 401/404 water quality certifications, Coastal Zone Management and Shoreline Management regulations that may affect the suitability of a particular water crossing type . Since many water crossings are associated with shorelines of the state, one should consider the Shoreline Management Act and the local Shoreline Master Program.

These guidelines were written for the benefit of the crossing owner and designer, they are not to be required as regulation.

WDFW's standard for reviewing hydraulic project proposals is the protection of fish life. Washington Treaty Tribes have treaty-reserved rights to harvest a share of the fish resource, and the Tribes understandably take a strong interest in actions that may impact resources subject to treaty-reserved rights. WDFW strives to engage the Tribes early in meaningful dialogue and consultation when water-crossing decisions may impact fisheries resources.

INTRODUCTION

EVOLUTION OF CULVERT CROSSING DESIGN

The design of culverts is an emerging field. Culvert design, its practice, and its underlying conceptual framework have evolved over the past century. Washington law has required since the nineteenth century that dams and obstructions in streams be passable to fish (1890). That law was applied to highway culverts in 1950 (Washington State 1950). It was obvious that a culvert "perched" above a streambed could be an obstacle to fish. Less obvious were the barriers caused by velocity and depth, which led to the development of a hydraulic design method based on the swimming abilities of adult salmon and trout, one of the two alternatives for permanent culvert design in Section 220.110.070(3) of the Washington Administrative Code (see ***Appendix B***).

The hydraulic method is rather complex and involves detailed engineering calculations. In response to concerns by landowners who needed to replace simple private road culverts without extensive engineering, WDFW developed a second alternative known as the "no-slope" culvert design method. Instead of relying on complicated hydraulic analysis, it relies on a relatively simple stream width measurement as the design parameter. A natural stream channel develops over time in response to the full range of floods, arranging and adjusting the bed and banks in a way that is most efficient. By measuring the channel width, one takes a measure of the watershed, its area and rainfall, its vegetation and substrate (Dunne and Leopold 1978). Thus the channel width acts as a surrogate for the hydraulic analysis.

In the mid-1990s, engineers and biologists in Washington and elsewhere were beginning to recognize some drawbacks to the hydraulic method. The upstream passage of adult salmon and trout is only one part of a complicated life history. Juvenile fish and other species also must move about in the stream year-round. State law requires that passage must be provided for all species of fish, many of whose migration habits and swimming abilities we know little or nothing about. There are drawbacks to the no-slope method, as well; it is unsuitable for steep streams since the culvert is installed flat. This can trigger a headcut upstream, releasing sediment which can partially or completely bury the culvert inlet resulting in a loss of culvert capacity. It can also cause flooding and accelerated bank erosion downstream. No-slope culverts are susceptible to changing bed elevation and may become barriers over time.

Beginning with a number of experimental "oversized" culverts partially filled with streambed material, the concept of stream simulation was developed: If a bed placed in a culvert has similar dimensions and substrate as the adjacent stream channel, then the velocity and passage conditions would be similar to the stream. This approach could, theoretically, be applied to any gradient and any stream, and would provide passage for all fish that would otherwise migrate in the stream. The stream simulation method was formalized in 1999 and described in the previous edition of this guidance titled *DESIGN OF ROAD CULVERTS FOR FISH PASSAGE* (Bates, Barnard et al. 2003) and is now the most common culvert design in Washington State. Hundreds of culverts have been designed according to this method and are now installed throughout Washington in all settings.

EVOLUTION OF BRIDGE DESIGN

The history of bridge design as a civil engineering discipline is long and well documented. As a result of the advances in structural design and the various codes governing them, modern bridges are notably safe and reliable. In addition to structural considerations, bridges in dynamic stream environments must account for natural processes during design (see ***Chapter 4*** for several recent publications addressing this issue). The area of bridge design which has not been covered by any known guidance documents are the impacts of bridges on the natural environment and design methods to avoid or minimize them. This is the subject of ***Chapter 4***, which is a comprehensive guide developed in cooperation with bridge experts. This chapter was extensively reviewed and approved by the Bridge Guidelines Technical Committee.

Certain types of bridges are similar to culverts, and vice versa. In these cases, it is somewhat confusing which design approach to choose. General guidelines for making this selection are discussed in ***Chapters 1*** and ***4***.

CHAPTER GUIDE

A few introductory remarks are offered to guide the reader efficiently through this document. There are basically 5 different water crossing design methods covered in this guideline:

Chapter 2 - No-slope Culverts are used for small, simple installations on low gradient streams.

Chapter 3 - Stream Simulation Culvert designs are for larger, more complex projects on low gradient streams and most projects on high gradient streams.

Chapter 4 - Bridges are recommended for larger streams. Bridges designed to accommodate natural channel processes provide better in-stream habitat and ecological connectivity than culverts for all streams.

Chapter 5 - Temporary Culverts or Bridges are crossings needed only for a short period of time, such as one time resource extraction or construction access.

Chapter 6 - Hydraulic Design Fishways encompasses several crossing methods that have limited application in specific instances; the design of culvert retrofits, baffle design for exceptionally long culverts or retrofits, and roughened channels for culverts that exceed the maximum stream simulation slope ratio.

A selection guide is featured at the end of ***Chapter 1*** to help the designer decide which of these methods is appropriate for their particular situation. Examples of these methods are shown in ***Figures 1 – 5***.

Figure 1: ***Left photo*****, Stream Simulation, 2000; Unknown Tributary of Fifteen Mile Ck, DNR.**
Figure 2: ***Right photo*****, Bridge, Boulder Ck, SR 542**

Figure 3: ***Left photo*****: No-slope culvert, approx 1996; Duane's Ck, forest road, Snohomish Co.**
Figure 4: ***Right photo,*** **Temporary Bridge, Hoh-Clearwater Mainline, DNR.**

Figure 5: Roughened channel under bridge, Buck Ck, 2007 (photo, Paul Tappel).

Chapter 7 - Channel Profile Adjustment addresses the problems encountered when the upstream and downstream channels are at different elevations or slopes when they meet at the road crossing. In most cases this chapter is necessary reading for new crossing designers.

Subsequent chapters describe general design and construction of water crossings and some special topics. The appendices provide background information on a variety of topics. Of particular interest are:

- ***Appendix C*** - Measuring Channel Width; something every designer must do.
- ***Appendix D*** - Tidally Influenced Crossings; a new approach to this topic.
- ***Appendix F*** - Road Impounded Wetlands; what to do with wetlands formed by an undersized culvert and its associated road embankment.

CHAPTER 1: GEOMORPHIC APPROACH TO DESIGN

SUMMARY

- Crossing design for fish passage and habitat protection is based on channel characteristics.
- A full assessment includes various measurements and observations including:
    - Bankfull width
    - Longitudinal profile
    - Sediment assessment
    - Potential debris loading
    - Channel pattern type
    - Channel banks
    - Constraints
- Selection of a crossing method is based on this assessment and its suitability. A matrix is used to aid selection.

INTRODUCTION

These guidelines promote a water crossing selection and design process intended to have the least effect on the natural processes that create and support the stream structure in which fish live and migrate. The geomorphic approach to design is generally based on readily-measured characteristics of the natural channel in the adjacent reaches. This is in contrast to the once prevalent hydraulic culvert design method (***Chapter 6***) which uses criteria independent of channel conditions.

In order to properly design a crossing based on the geomorphic approach, we need to know something about the stream in which it is situated. This sort of assessment is typically known as a reach assessment, or reach analysis. Reach analysis is described in detail for bridge design, ***Chapter 4,*** and comprehensively for stream simulation culverts in the U. S. Forest Service Stream-Simulation Working Group publication *STREAM SIMULATION: AN ECOLOGICAL APPROACH TO PROVIDING PASSAGE FOR AQUATIC ORGANISMS AT ROAD-STREAM CROSSINGS* (Forest Service Stream-Simulation Working Group 2008). This chapter describes the basic components of a simple reach analysis for all types of crossings.

In order to decide which crossing method to use, it is important to have a basic understanding of the channel in which it will be placed. With this knowledge the designer can make an informed decision about crossing type. Using the information from an analysis of each of the important channel features described in this chapter, the designer can use the selection matrix shown at the end of this chapter to determine an appropriate crossing method.

BANKFULL WIDTH

The bankfull width is by far the most important parameter in culvert design, therefore accurately measuring it is critical for a successful project. ***Appendix C*** describes measuring bankfull width for the purpose of crossing design. The Dept. of Ecology's *DETERMINING THE ORDINARY HIGH WATER MARK ON STREAMS IN WASHINGTON STATE* (http://www.ecy.wa.gov/biblio/0806001.html) also

describes bankfull width and contrasts it to ordinary high water (OHW), which is often associated with it.

LONGITUDINAL PROFILE

Longitudinal profile, or the long profile, is an important tool for culvert designers. The profile is developed by measuring the elevation of the bed, water surface and banks along the stream reach that includes the culvert. The longitudinal profile is used to determine stream slope, degree of upstream and downstream incision and deposition, the depth of pools, and the presence of discontinuities and nick points. Water surface profiles are to be taken at one flow. The longitudinal profile helps determine the slope and elevation of the culvert and the appropriate strategy for dealing with regrade. These topics are discussed in more detail in ***Chapter 7: Channel Profile Adjustment***. ***Figure 1.1***, shows how a long profile of the existing stream can predict the extent of channel regrade. The outfall drop and a locally steepened section are hallmarks of channel incision. In order to properly design a culvert, you must determine what elevation and slope to set it at. This profile shows that the culvert invert must be below the expected regrade line with an additional allowance for the necessary countersink.

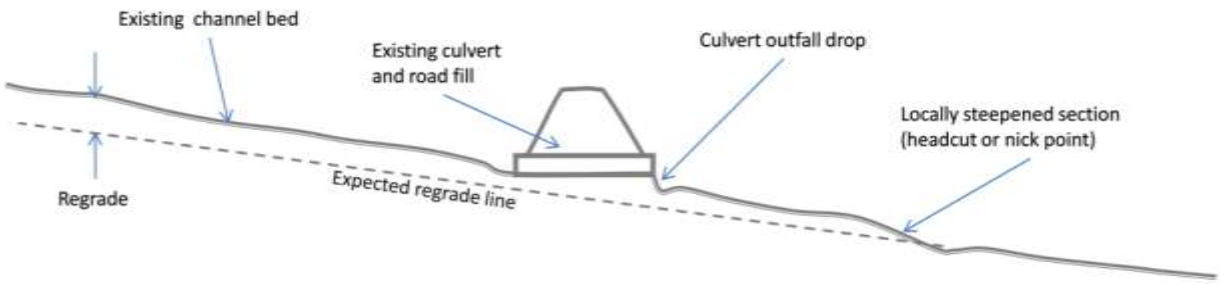


Figure 1.1: Existing channel profile with expected regrade line.

SEDIMENT

Sediment, its gradation, supply and transport, is the third most important piece of the culvert design puzzle. In order to function properly as a segment of the stream channel, the bed of the culvert must be similar to the streambed. The bed gradation can be measured a variety of ways with differing levels of accuracy. The standard method is the pebble count (Wolman 1954; Harrelson, Rawlins et al. 1994), although this is not always necessary. Pebble counts should be conducted in an unmodified reach representative of prevailing stream conditions. The goal is to have enough information to specify a material that, once installed in the culvert, will be as stable as the adjacent stable channel reaches and provide similar habitat value. A culvert bed that is too fine will mobilize during storm events resulting in no natural streambed material inside the culvert. On the other hand, overly coarse material will cause flow to go subsurface and will not respond to normal stream processes. Both examples would result in a loss to habitat within the culvert as well as upstream and downstream of the culvert. Stream reaches that tend to be sediment supply limited can be challenging to design for. In these reaches the finer fractions are constantly winnowed from the sediment mix and the resulting coarse bed does not adjust to changing conditions and can form fish passage barriers through either subsurface flow or drops without pools. Another difficult case concerns streams with very fine sediment or those with no durable sediment in larger sizes. Materials for culverts in these streams should be sized for the slope and discharge and composed of stable gravel and larger particles.

Potential Debris Loading

In the Water Crossings WAC 220-110-070 "Culverts shall be installed according to an approved design to maintain structural integrity to the 100-year peak flow with consideration of the debris loading likely to be encountered." The culvert design methods outlined in this guideline are generally sized to account for expected debris loading but the prudent designer checks to see whether there is adequate clearance.

Since most wood transported by a stream is bankfull width or less (Flanagan 2004), culverts designed at least as wide as the channel (no-slope and stream simulation) should transport expected debris. The clearance between water surface and the bottom of the structure must also be determined and this is somewhat more difficult to do. Hydraulic Engineering Circular No. 9, *Debris Control Structures Evaluation and Countermeasures*, is a thorough analysis of this topic. As a note of caution, the countermeasures discussed in HEC 9 are not recommended for crossings on fish bearing streams in Washington since they rely heavily on trash racks, which are considered a barrier to fish passage. It is far better to install an appropriately designed structure than to use these measures that interrupt stream continuity and fish passage.

As a guide for those not able or interested in calculating debris loading and clearance requirements, the following clearances are suggested.

1. Small streams less than 8 ft BFW: clearance of 1 ft above the 100-year water surface.
2. Medium streams from 8-15 ft BFW: clearance of 2 ft above the 100-year water surface
3. Larger stream over 15 ft BFW: clearance of 3 ft above the 100-year water surface (this is a common clearance recommendation for bridges, which would be the recommended structure in streams over 15 ft).

These clearances are not based on empirical studies or hydraulic modeling. The assumption is that larger streams need greater clearance, smaller streams less. Three feet is a common bridge clearance, so a smaller stream suitable for a culvert should require less, say 2 ft, and the smallest streams need the least, 1 ft. Obviously, this should not be substituted for a thorough analysis, if that is what is needed.

There are special cases where extreme flood events or an abundance of debris would warrant a larger culvert and should be carefully considered in the reach analysis. Generally, culverts are not designed to pass catastrophic events like debris flows or mud slides. On the other hand, when working in watersheds known for a high frequency of such events the designer may want to consider a vented ford (Clarkin, Keller et al. 2006) or an elevated bridge.

Channel Pattern Type

Fluvial processes form different channel patterns. Recognizing the type of channel pattern at a crossing is essential for the selection of the appropriate design approach and an important design consideration (channel types are discussed in many publications, including AHG's *Integrated Streambank Protection Guidelines* http://wdfw.wa.gov/conservation/habitat/planning/ahg/, and briefly in ***Chapter 4***). The most common pattern type associated with culvert crossings are confined, non-meandering channels. This greatly simplifies the analysis, since these channels, if in equilibrium, experience limited lateral channel migration and have a limited floodplain. More complicated channel types are unconfined, alluvial channels since these channels tend to experience more lateral channel migration and larger floodplains. To determine if a channel is confined or unconfined, a floodplain utilization ratio (also known as the entrenchment ratio and

discussed in ***Chapter 4***) is used. The floodplain utilization ratio is the flood prone width divided by the bankfull width. Values less than 3 are considered confined and greater than 3 unconfined, for culvert design purposes. The no-slope and stream simulation methods can be applied to confined channels without modification of the design criteria. Unconfined channels will require modification to the design criteria as discussed in more detail in ***Chapter 3***, section C*ulvert Type and Size*.

Condition of Channel Banks

The condition of the channel's banks indicates channel equilibrium. Raw, vertical banks are a sign of recent incision and may be a reason to increase the estimate of channel width to accommodate future channel widening. The channel may also continue to incise, forcing the design to a bridge or more deeply countersunk culvert to accommodate it. Removing the existing culvert, which is likely perched, will result in upstream incision and possible impacts to habitat and stream-adjacent structures. Some of these considerations are discussed in ***Chapter 7, Channel Profile Adjustment***.

Very low banks or no banks at all, indicate heavy aggradation. The crossing is likely located at a grade break or on an alluvial fan. This is a very challenging condition and the stream, without the road crossing determining the location of the channel, would move laterally to lower ground. Maintaining a static location often leads to designing a larger crossing to accommodate the sediment load, raising the road to allow sediment to build and scour, or the construction of an instream sediment trap (see *Salmon Habitat Restoration Guidelines*, http://wdfw.wa.gov/conservation/habitat/planning/ahg/) to maintain the crossing.

Constraints

Constraints are infrastructure or land ownership issues that interfere with natural stream processes. Many of the principles of crossing design assume natural conditions, which can often be approximated even in highly altered environments. But sometimes constraints are so challenging that more engineered approaches to fish passage at road crossings must be employed. These situations might include, but are certainly not limited to:

- Culvert retrofits, which are the temporary modification of an existing crossing to provide fish passage without replacing the culvert. The constraint consists of the lack of immediate funds, a sequence of events that precludes replacement at the present time, or other factors that require the owner to seek temporary measures. Full replacement with an appropriate design is assumed to follow in the near future.
- Homes or other structures built close to the upstream banks that prevent regrade. If buildings are constructed on the edge of the stream they would be endangered if the streambank was steepened or undercut, which is what occurs when the bed is lowered as a result of regrade.
- Shallow pipeline crossing upstream, such as a regional petroleum pipeline or major municipal water supply or sewer line. Smaller lines should be relocated lower to allow a natural channel profile, but major pipelines are exceptionally difficult and expensive to move.
- An uncooperative neighbor who will not grant easement or access for construction, channel work or regrade.
- Occasionally, habitat considerations force over-steepening the channel to prevent the loss of a wetland, spawning area or other valuable habitat.

Constraints are also discussed in ***Chapter 4*** where they directly affect the design of bridges, such as levees and floodplain management.

SELECTING A CROSSING METHOD

The flow chart in ***Figure 1.2*** outlines the general sequence in selecting a crossing method.

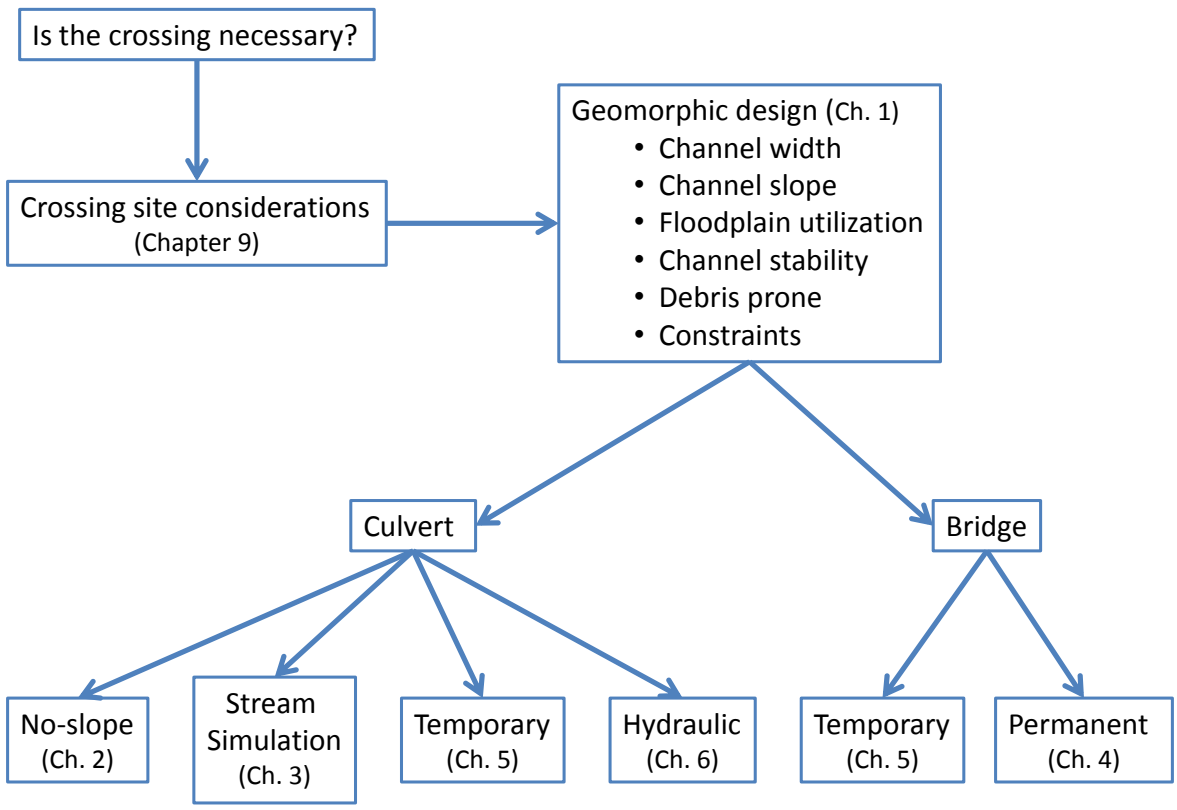


Figure 1.2: Flow chart for selecting a crossing method.

The crossing design methods at the bottom of Figure 1.2 are:

No-slope Culvert, ***Chapter 2.*** Small culverts laid on a flat grade used for small, simple installations on low gradient streams.

Stream Simulation Culvert, ***Chapter 3.*** Culverts placed at the same grade as the stream and appropriate for larger, more complex projects on low gradient streams and most projects on high gradient streams.

Bridge, ***Chapter 4.*** Bridges are designed to accommodate natural channel processes and provide better in-stream habitat and ecological connectivity than culverts for all streams.

Temporary Culverts or bridges, ***Chapter 5.*** Crossings in place for a short period of time, such as one time resource extraction or construction access.

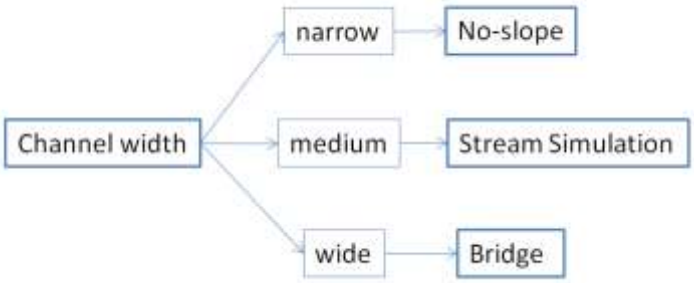
Hydraulic Design Fishways, ***Chapter 6.*** Mostly culvert retrofits, baffle design for exceptionally long culverts or retrofits, and roughened channels for culverts that exceed the maximum stream simulation slope ratio

The steps ***in Figure 1.2*** are discussed here in detail.

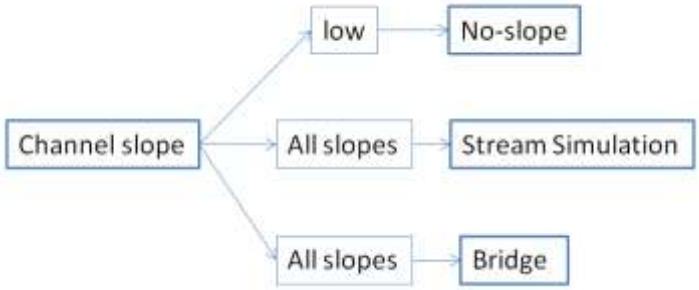
- In cases where the owner has the ability to manage the road system, abandoning and constructing roads to optimize use, cost, and impacts, they can decide whether a crossing is necessary.
- Site considerations are discussed in ***Chapter 9***. This is the point where the designer considers cumulative impacts caused by the crossing and the ways that proper planning can avoid or minimize them. Important issues are singled out here:
    - Use interdisciplinary teams to evaluate and plan crossing replacement projects
    - Cross streams by the most direct route where the stream is straight and uniform and at right angles to the natural flow of the stream
    - Avoid critical areas such as wetlands and spawning habitat
    - Avoid reaches showing signs of channel instability
    - Avoid areas that require constraining, re-aligning, or altering the natural channel
    - Design crossings to allow for natural stream processes
- The core of the selection method is based on the topics discussed in the preceding sections of this chapter. Below are criteria to assist the designer with selecting a method.
    - **Bankfull width**
        - Smalls streams, less than 10 feet[1] or so, are suitable for no-slope culverts
        - Streams between 10 and 15 feet are often crossed with stream simulation culverts.
        - Large streams over 15[2] feet usually require a bridge.
        - The width categories shown here are given as general guidelines. Site specific characteristics have a strong influence on crossing design so that a stream simulation culvert would work perfectly well on a 17 ft BFW stream. Likewise, there may be good reason not to use a no-slope culvert on a 5 ft stream.

[1] There are no unique characteristics of channels less than 10 feet which distinguish them from larger streams. This is simply a rule of thumb for a "small stream" to be used as a guide. Keep in mind that as stream width increases, often the proportion of overbank flow also increases and the size of no-slope culverts is based on the bankfull channel.

[2] Fifteen feet is used here because stream simulation culverts based on this width are 20 feet, often considered to be a "bridge."



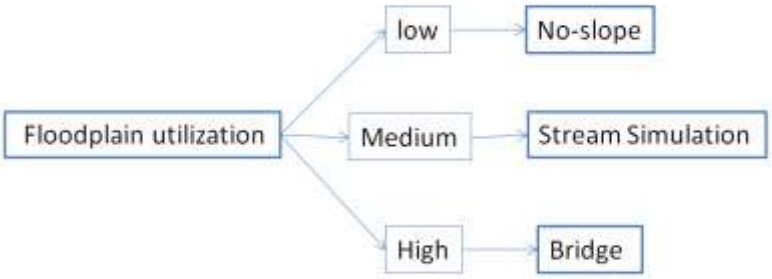
- **Slope**
  - Low slope channels < 3%; generally any crossing method can be used on these channels and other considerations, such as bankfull width, usually govern the decision.
  - Higher slope channels >3% lead away from no-slope and push the designer toward stream simulation or a bridge. The no-slope design option is recommended for channel slopes generally <3%[3] although there are situations where no-slope culverts may be acceptable for higher gradient channels.



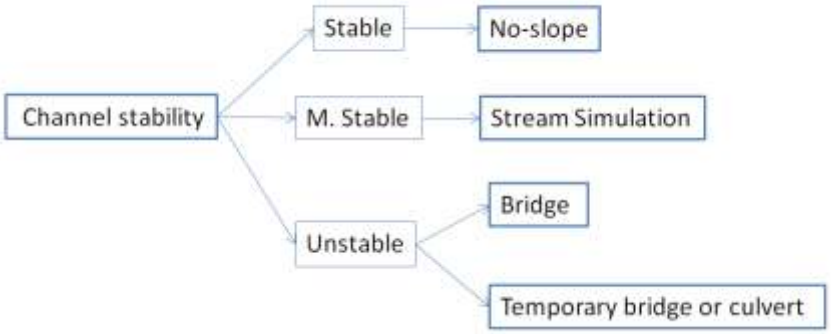
- **Floodplain utilization**
  - Floodplain utilization ratios (FUR) less than 3[4] indicate a confined channel where a culvert is better suited
  - FUR greater than 3 is an unconfined channel better suited for a bridge crossing.

[3] The "generally < 3%" recommendation gives the designer plenty of room to adapt the no-slope method to a variety of rise and length combinations. Steeper slope channels generally warrant a deeper fill and a sloped culvert (stream simulation) although low energy, stable streams that are over 3% may be appropriate for no-slope culverts.

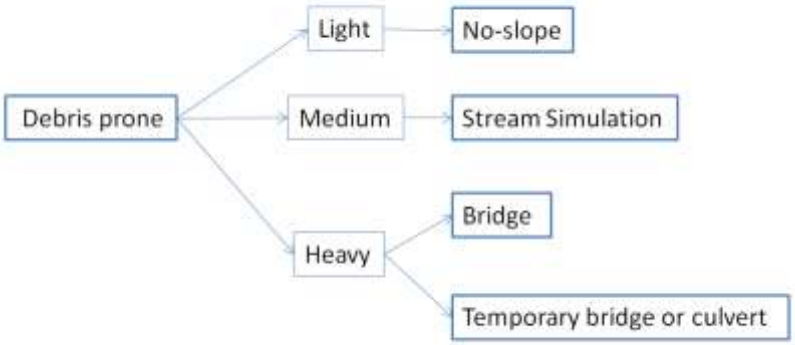
[4] FUR is on a continuum of steadily increasing floodplain width. Rosgen considered it a "well-developed floodplain" when this ratio exceeded 2.2 (Rosgen, D. L., 1994)..



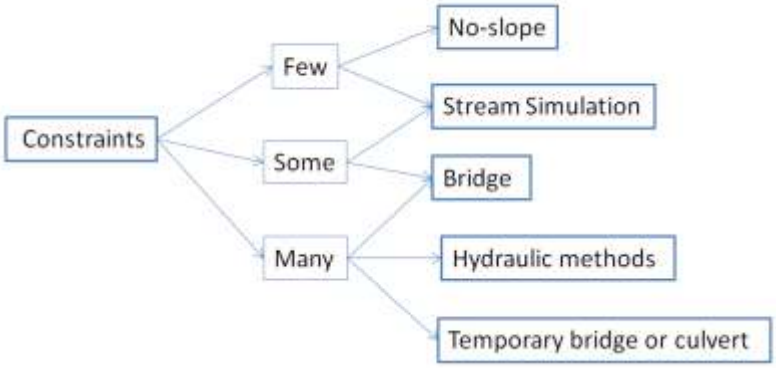
- **Unstable channel** is a channel that tends to rapidly or chronically change elevation or lateral location
  - Vertically unstable channels need a crossing design that can accommodate that change without compromising the structure, like exposing the culvert bottom or undermining a footing. In severe cases a bridge is best.
  - Horizontally unstable channels, channels that are meandering or prone to avulsion, usually require a bridge or temporary crossing.
  - The owner may want to consider moving the crossing to a new location if possible. See ***Chapter 9***.



- **Debris prone** channels commonly transport large wood and/or abundant sediment.
  - Channels that are debris prone are best crossed with a stream simulation culvert (medium amount of debris) or a bridge with high clearance between the bottom cord and the predicted flood surface.



- **Constraints** are infrastructure or land ownership issues that prevent the use of natural processes in crossing design.



There are a number of difficult sites that come up frequently. For channels with no discernable bankfull width, see ***Appendix C*** for methods to deal with this. For tidal sites, see ***Appendix D***. For roads that cross deltas or depositional areas, there are several alternatives:

- Move crossing upstream of depositional area
- Oversize the crossing to accommodate sediment deposition
- Raise crossing to allow for deposition
- Construct in-stream sediment trap

Roads that cross wetlands don't easily fit into the two culvert design methods described here for more confined channels, no-slope and stream simulation. Wetlands have unconfined channels where FUR (see page 37) is often much greater than 3. Nevertheless, culverts can sometimes serve effectively in these locations if designed appropriately. First, check to see if the wetland is artificially impounded by the road embankment, described in ***Appendix F.*** This appendix also explains an assessment process and alternatives for various conditions. If the road is built over a natural wetland, then the road crossing should provide both fish passage and ecological continuity, minimizing impacts to the channel and adjacent wetlands. A first step is to estimate channel width based on confined conditions (***Appendix C*** regression **Equation C.1**). By comparing this estimate with the measured bankfull width in the wetland, one can approximate the relative role of the floodplain wetland in the down-valley movement of flood water. If the measured width is similar to the estimated, then one would expect that the wetland is flooded but does not play significant part in the movement of water downstream. On the other hand, if the wetland channel is much smaller than the estimated bankfull width, then the wetland floodplain is part of the downstream flow and we should not use the wetland channel width for crossing size calculations. One possible solution to this problem is to use the bridge span method associated with unconfined channels (***Chapter 4***, **Floodplain and Overbank Areas**). An example using this strategy follows.

The wetland channel shown in Figure C.7 in ***Appendix C*** is 8 ft wide. The FUR is 11.9 but the wetland is heavily vegetated with saw grass. The watershed area is 0.34 square miles and the average annual rainfall is 118 inches per year. From **Equation C.1** the expected confined channel width would be from 8 to 13 feet. The range includes a 16% standard error. The measured channel width is within the range for a confined channel implying that the channel conveys the majority of the water, not the floodplain (which one would guess based on the dense vegetation). The culvert

that crosses this stream was recently replaced with a deeply countersunk 9 ft culvert based on the no-slope method. This culvert is well-suited to the situation and maintains a fine gravel bed. Had the measured channel width been much less than the predicted confined width, then the culvert width would have to be increased relative to the measured channel width to accommodate the overbank flow.

CHAPTER 2: NO-SLOPE CULVERT DESIGN OPTION

Figure 2.1: No-slope culvert, Johnson Ck, Mosquito Lake Rd.

SUMMARY

- No-slope culverts are appropriate for:
  - Small channels generally < 10 ft BFW (see footnote 1, page 19)
  - Low gradient channels generally < 3% but higher gradients may be acceptable (see footnote 3, page 20)
  - Culvert length generally < 75 ft[5]
- The no-slope design option is based on Washington Administrative Code provisions:
  - The culvert is installed at zero gradient
  - The width of the bed in the culvert is equal to the bankfull width (BFW is preferred to ordinary high water width as explained in ***Appendix C***)
  - The bottom of the culvert is set below the downstream bed 20% of its rise
- An additional criterion limits the inlet countersink to 40% of the rise.
- A bed should be placed in the culvert that is composed of material similar to the bed of the adjacent stream.
- Adequate clearance between the culvert bed and crown should be provided to pass expected debris during flooding events.

INTRODUCTION

Successful fish passage can be expected in certain situations if the culvert is sufficiently large and is installed flat, allowing the natural movement of bedload to maintain a stable bed inside the culvert. If velocities are low enough to allow a bed to deposit in the culvert, it is assumed that a broad range

[5] Please see page 22 for a discussion on no-slope culvert length.

of fish species and sizes will be able to move through the culvert. The no-slope design option creates just such a scenario and, when correctly applied, has been successful in Washington State since 1994 when it was set into WAC 220-110-070(3)b(i) (***Figure 2.1***). The WAC provisions state that culverts in "small streams" be placed at a flat gradient with the downstream invert countersunk below the channel bed by a minimum of 20 percent of the culvert diameter or rise and the culvert width at the bed shall be equal to or greater than the average width of the bed of the stream. Some guidance is required to turn this provision into a reliable engineering method, and that is the intent of this chapter.

The No-slope method can also be found in the National Marine Fisheries Service, Northwest Region, *ANADROMOUS SALMONID PASSAGE FACILITY DESIGN* as the *Embedded Pipe Design Method (Nordlund 2011)*.

The implied purpose of the WAC was to introduce a culvert design method that required no special design expertise or survey information. This was a method available to the private landowner so that they could provide fish passage at their road crossings in a simple, immediately understandable way at a minimum expense. Over the years since the WAC was written we have found that some additional provisions substantially improve the outcome.

To readers not familiar with methods and conventions of crossing design, this chapter does not cover all aspects of culvert design. For proper design at least three other areas should be analyzed. First, civil engineering aspects, such as culvert type and strength, soil compaction, and fill slope angle, are not covered at all in this guidance document since they are not relevant to fish passage or habitat protection. Second, culvert capacity, for both water flow and the transport of wood and sediment, must be checked. Third, the channel profile should be examined, especially in the case of culvert replacements where there is an outfall drop, see ***Chapter 7***.

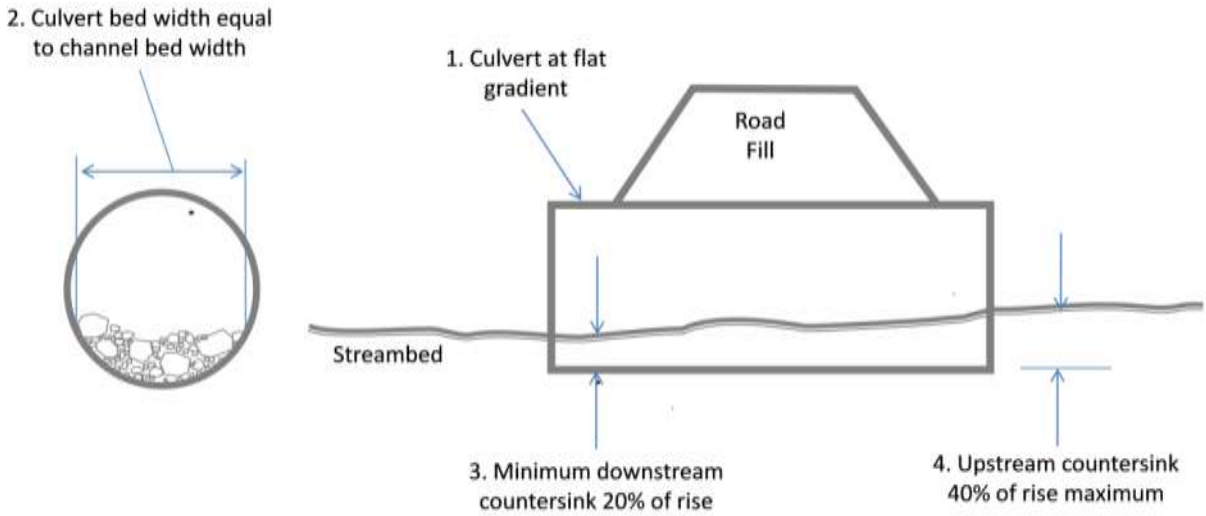


Figure 2.2: No-slope culvert schematic diagram showing the 4 principle components of the design.

As shown in ***Figure 2.2***, the no-slope culvert design is based on four criteria:

1. The culvert is set at a flat gradient. Typically, this is to a tolerance of plus or minus 0.5% as a matter of compliance.
2. The width of the bed inside the culvert (**not the culvert span**) is equal to the prevailing bankfull width of the stream in the reach where the culvert is located. The culvert will not constrict the bankfull flow and is expected to hold or replenish the streambed material similar to that found in the upstream channel.
3. The invert of the culvert is set a minimum of 20% of the culvert rise below the downstream streambed ensuring that there is bed material in the culvert, or that it returns after a major flood. Greater countersink is recommended when it does not conflict with criteria 4.
4. The inlet must not be countersunk more than 40%, restricting the method to lower gradient streams.

The no-slope design option is usually applicable in the following situations:

- New and replacement culvert installations, not for retrofits
- Simple installations with low road fill and one or two narrow lanes of traffic
- Low to moderate natural channel gradient (generally < 3% slope but may be acceptable for higher stream gradients with appropriate countersink requirements and based on site specific conditions)
- Short culvert length (generally < 75 feet)

As the name implies, no-slope culverts are not appropriate for high gradient channels. The flat culvert over steepens the upstream channel, often leading to a headcut that unnecessarily degrades habitat, destabilizes the channel and releases sediment that can fill the culvert. It can also deposit large quantities of sediment downstream forcing channel diversion (i.e. relocation of the flow outside the original bed), bank erosion, and flooding. If a steep bed is established in the culvert then there is reduced capacity at the inlet.

A reasonable upper limit of the no-slope design option is to use it at sites where the product of the channel slope (ft/ft) and the culvert length (ft) does not exceed 20 percent of the culvert diameter or rise (ft). It should be noted that this limitation can be overcome by understanding and accounting for the implications of constricting the upstream end of the culvert with the more deeply countersunk bed or by installing a larger culvert. Any culvert shape can be used (round, pipe-arch or elliptical), but it must be countersunk a minimum of 20 percent at the downstream end and a maximum of 40 percent at the upstream end (see ***Figure 2.2***). Round pipes are usually preferred since, for a given crown elevation, they provide greater embedment for a given vertical clearance. The restriction on culvert length is, obviously, a part of the previous discussion on slope, but is also central to the concept of a simple installation; the implication being that a more complex situation should require a more sophisticated method, such as stream simulation. The length of a culvert is a function of the fill height, number of lanes of travel and the fill slope angle. A culvert longer than 75 feet probably has a fill height in excess of 15 feet and/or more than one lane of traffic; an expensive situation that deserves more careful design than provided for in this method.

In certain instances, the vertical clearance between the culvert bed surface and the crown can be low enough that debris may be caught between the flood water surface and the top of the culvert. As a general rule, the distance between the bed of the culvert and the crown should be at least 50% of span or a minimum clearance of 4 feet. For round, no-slope culverts, the former condition is guaranteed to be the case since the upstream countersink is limited to 40% of the rise. But for culverts less than about 5 feet in diameter, the latter measure may be impossible to meet without increasing culvert size. These recommendations are probably most useful in guiding the design of horizontal ellipse and box culverts where clearance can be arbitrarily reduced. For reference, the *NMFS fish passage guidelines (Nordlund, 2011) specify a 4 foot clearance to accommodate debris*

Information needed for the no-slope design option includes:

- The bankfull width as described in ***Appendix C***
- The natural channel slope through the reach containing the proposed culvert (discussed in ***Chapter 7***)
- The elevation of the natural channel bed at the culvert outlet
- The potential for channel regrade and impacts upstream of the culvert (discussed in ***Chapter 7***)
- An estimate of the design discharge (the 100-year recurrence interval flood or design flow described in ***Appendix G***)

The most reliable parameter for bankfull width in alluvial channels is the distance between channel bankfull elevations. Channel bankfull elevation is the point where incipient floodplain overbank flow occurs (Dunne and Leopold 1978). For design purposes, use the average of at least three typical widths, both upstream and downstream of the culvert. Measure cross sections that describe normal conditions at straight channel sections between bends and outside the influence of any culvert or other artificial or unique channel constrictions. According to WAC 220-110-070, the bankfull width can also be the width between ordinary high water marks. However, ordinary high water marks indicate the difference between the aquatic and terrestrial environments and its location is subject to site-specific processes affecting vegetation and soil (Olson and Stockdale 2008). This may have limited importance for culvert design which is dominated by in-stream processes. ***Appendix C***, ***Measuring Channel-Bed Width***, provides guidance on selecting and measuring channel width for culvert design purposes.

For new culverts, the stream slope is simple to determine. But if a culvert is being replaced, the estimate of future channel elevation and slope are critical parameters to the design (***Figure 1.1***). If the existing culvert is either perched or undersized, it will affect the local channel slope, width, and elevation. The characteristics of the stream profile are discussed in ***Chapter 7***, as well as options for dealing with regrade. A surveyed profile of the channel will be required where there is a significant outfall drop. What "significant" means is dependent on the size of the stream and the cause of the outfall drop; a 1 foot drop is big for a small, low gradient stream prone to incision, but not significant for a larger, higher gradient stream.

Adequate culvert countersink is vital for proper performance and fish passage. While 20% is the minimum embedment, it is not the maximum. When the stream slope is low, the culvert can be

countersunk 30% at the outlet and still be within the 40% maximum at the inlet. This creates a deeper depth of fill inside the culvert which is more resistant to erosion and allows for minor changes in streambed elevation without exposing the bottom of the culvert. It is recommended that culverts be filled with streambed material up to the proper countersink elevation at the time of installation. An exception to this is wetland culverts where the streambed is composed of fine-grained sediment. In this case the culvert can be countersunk without filling and allowed to backwater.

The width of the bed of the culvert is measured at the 20% countersink elevation. Increasing the countersink to, say, 30% does not mean that the culvert diameter, in the case of round culverts, can be reduced. Combining the requirements of countersinking the outlet and the culvert width for a circular culvert, the diameter is 1.25 times the channel bed width, regardless of the actual embedment depth. There is an inherent safety factor in this sizing method that compensates for the lack of engineering analysis associated with the other culvert design methods. The culvert width for box culverts is the measured bankfull width.

It is recommended that the sediment be carefully specified and mixed to ensure that all size classes are represented and that it's placed to mimic natural channel profiles, like pool-riffle or cascade.

Even though no extensive survey is normally required for no-slope culvert design and construction, it is important to set the culvert at the correct elevation relative to the downstream bed. When the trench for the culvert is excavated and the adjacent channel has been blocked off for dewatering, it is very difficult to correctly set the bottom elevation without survey equipment. Since this is such a critical element of the design, a contractor's level, or other survey equipment, should be used to establish the elevation of the culvert bedding relative to a benchmark set before construction.

The standard of practice for culvert design dictates that the structure remains safe and serviceable up to a given design flood. WAC 220-110-070(3)d requires that the culvert must maintain structural integrity to the 100-year peak flow with consideration of debris likely to be encountered. Generally, sizing culverts using the no-slope method provides adequate conveyance for the 100-year peak flow. This does not absolve the designer of responsibility to determine that this is actually true. Recommendations for determining the design flood are given in ***Appendix G.*** Methods for calculating culvert capacity are covered in many documents and computer programs (Chow 1959; Jerome M. Norman and Associates 1985; U.S. Army Corps of Engineers 2006; The Office of Bridge Technology 2009).

CHAPTER 3: STREAM SIMULATION CULVERT DESIGN OPTION

Figure 3.1: Stream simulation culvert, Newberry Ck.

SUMMARY

- Stream simulation application:
  - Moderately confined channels
  - Bankfull width less than 15 ft, with exceptions
  - Any equilibrium stream slope
  - Stream simulation culverts with a length-to-width ratio > 10 are considered long and need special design consideration and an increase in recommended width
- Suitability of the site
  - Design requires geomorphic assessment of stream reach
  - Method tolerates little or no lateral channel movement
  - Method tolerates moderate vertical instability
  - Culvert bed slope should not be greater than 1.25 x upstream channel slope
- Culvert type and size
  - Any culvert type may be used for stream simulation

    - Width of bed inside culvert = 1.2 x BFW + 2 feet
- Scenario 1, channel slope less than 4%
    - Countersunk culvert 30-50% of its rise
    - Culvert bed should have a pool-riffle morphology
    - Bed may deform, scour, reform as the natural channel does
    - Coarse bands used to control channel shape, initiate stream structure
- Scenario 2, channel slope greater than 4%
    - Countersunk culvert 30-50% of its rise
    - Culvert bed should have a cascade or step-pool morphology
    - Bed tends to be stable over time
    - Bed structure is built-in at the time of construction
- Bed material design and specification
    - Stream simulation culvert bed material is similar to the natural channel, although there are several reasons why it should be coarser to increase stability
    - Sediment distribution should be well-graded, non-porous, with 5-10% fines
    - Sediment size can be determined by measuring the adjacent channel sediment size and/or using sediment stability analysis
    - Stream simulation bed materials are generally rounded, but there are exceptions
    - WDOT streambed sediment specifications are suitable for culverts

DESCRIPTION AND APPLICATION

Stream simulation is a design method used to create and maintain in a culvert those natural stream processes present in the adjacent channel. ***Figure 3.1*** is an example of a stream simulation culvert. Stream simulation is based on the principle that, if fish can migrate through the natural channel, they can also migrate through a man-made channel that simulates it. Taking this approach eliminates the need to consider the swimming characteristics of individual species of fish or particular life stages; those fish that are present in the channel are not expected to be challenged by the stream simulation culvert which looks and performs similarly to the stream they were just swimming through. Within limits, these processes and functions are expected to be unconstrained by a properly designed stream simulation culvert:

- Flood flow conveyance
- Transport of wood
- Sediment transport
- Fish passage
- Low flow continuity
- Hydraulic diversity
- Margin habitat
- Sediment gradation continuity

To be successful, stream simulation culverts must be designed and constructed by those familiar with stream geomorphology. The design of culverts has traditionally been done by road engineers. Without additional training they will find that their past experience with culverts will be of little help in the design of this type of structure. Under ideal conditions, the stream simulation culvert is

designed by an interdisciplinary team with knowledge of such specialties as hydrology, geomorphology, biology, civil engineering, and contract administration. This chapter describes the basic design criteria recommended for stream simulation, and some techniques to approach certain aspects of the analysis, but it does not fully prepare a designer for this complicated task. Several years of experience with natural channel assessment or design should be added to the information provided in ***Chapters 7, 9, 12,*** and ***Appendix C***.

Recent effectiveness monitoring (Barnard, Yokers et al. 2011) has revealed the role of design and construction practice in the performance of stream simulation culverts. This seems obvious, although our approach in the past has been to concentrate on supplying the appropriate materials at the right width and slope, expecting stream structure to develop over time. While the majority of the culverts in the study did have similar sediment distribution as the adjacent reference reach, and were sized correctly, they tended to have flat, featureless beds and did not form banks. It is our hope that this newly revised guidance, along with the excellent wealth of other literature about stream simulation, and the increased experience of the design and construction community, will result in stream simulation culverts that better reflect the form and function of the stream in which they occur.

A good reference for the design of stream simulation culverts is the U.S. Dept of Agriculture Forest Service publication *STREAM SIMULATION: AN ECOLOGICAL APPROACH TO PROVIDING PASSAGE FOR AQUATIC ORGANISMS AT ROAD-STREAM CROSSINGS* (Forest Service Stream-Simulation Working Group 2008). This comprehensive guidance document is available as a free download on the internet. It covers ecological concepts, assessment, geomorphology, culvert design, and construction. It is highly recommended for all designers and contractors working in this field. One note of caution is that the Forest Service guideline is written for a national audience where culvert design, environmental goals, and objectives are varied and often differ from those required in Washington State. The slope, sizing, and bed material gradation criteria recommended in this chapter reflect Washington law and rule, whereas the USFS guidelines are more universal in their application and could lead to a design which does not meet the Washington guidelines.

Generally, the Stream Simulation Design Option is best applied in the following situations:

- New and replacement-culvert installations - this method does not apply to retrofits of existing culverts
- Complex installations with moderately dynamic channels
- Nearly all natural channel gradients
- Channel width less than 15 ft; consider a bridge for larger streams
- Culvert lengths less than 15 channel widths long, unless designed as described below
- Moderately entrenched channel (floodplain utilization ratio less than about 3, please see page 29), unless designed as described below
- Culvert bed slopes that will be no more than 125 percent of the upstream channel slope (this method is not meant to limit work to within the right-of-way)

Culverts designed to simulate streambeds are sized wider than the channel width and the bed inside the culvert is sloped at a similar gradient to the adjacent stream reach (within limits, as

outlined below). These culverts are filled with a sediment mix that emulates the natural channel, erodes and deforms similar to the natural channel, and is unlikely to change grade unless specifically designed to do so. This fill material is placed in the culvert to mimic a stream channel and is allowed to adjust in minor ways to changing conditions. The most basic stream simulation culvert is a bottomless culvert placed over a natural streambed. Here, the natural streambed remains in place. In practice this is not so easily done considering that the footing must be excavated with additional clearance for construction, but the principle remains the same.

The concepts behind the Stream Simulation Design Option can be applied to the design of short reaches of channel outside of culverts as well, particularly in higher-gradient streams. Design guidance is all but absent from the general literature for how to go about designing steep channels, so the Stream Simulation Design Option provides a simple, effective approach.

The width criteria for culverts (outlined below) should not restrict the size of constructed channels. Width should be calculated based on a representative section of the natural stream. Guidance for designing the slope, structure, and bed composition of a constructed channel is discussed in the following section. However, it should be noted that constructed channels longer than about 10 channel widths should be designed using a much more rigorous and comprehensive procedure than that described here. Additional information on the design of constructed channels at an arbitrary slope can be found in ***Chapter 6: Hydraulic Design Option,*** roughened channel section.

Suitability of The Site

In the early history of stream simulation design it was thought that there was some inherent risk in culverts used in steep channels and a limit of 6% was applied to the design. Above that slope an experimental design plan was required (page 38, *Design of Road Culverts for Fish Passage*, 2003). Our experience has been that culverts can be successfully constructed at any stream gradient, providing that they are properly designed, and culverts above 6% are no longer considered experimental. Considering the fact that most high gradient channels are quite coarse and resist change, culverts on steeper channels are less likely to experience such calamities as catastrophic bed scour. Low gradient culverts are composed of finer bed materials and often have wider floodplains, they are also under wider roads and in urbanized environments, all of which complicate design, affect bed stability, and stream simulation success.

Factors that determine the suitability of a site for stream simulation culverts include:

- Vertical and horizontal stability
- Slope ratio and profile continuity
- Gradient control
- Culvert length

These factors are addressed in the paragraphs below. If any of the site criteria suggested here are exceeded, it is best to consider a bridge as a proper alternative (see ***Chapter 4***).

Expected changes in the elevation or lateral extent of the channel must be within the culvert's capacity to accommodate it and still allow natural stream processes. If the channel bed will

degrade as a result of downstream incision, then the culvert must be countersunk enough to prevent the bottom from being exposed. Likewise, channels expected to aggrade must not fill the culvert so as to restrict the movement of water, sediment, and debris. This sort of aggradation can be from an upstream source, such as a landslide, or as a response to stream incision caused either by the culvert replacement itself (see ***Chapter 7, Channel Profile Adjustment***) or from incision initiated by another cause. These are likely transitory and a culvert design by this method may still be an appropriate solution if it is sized correctly and placed at the right elevation.

Culverts, by their very nature, are long and narrow and ill-suited to meandering streams with a migration zone many times the bankfull width. No-slope and stream simulation culvert sizing methods are based on the bankfull width and are blind to the extent of floodplain and migration zone. This fact limits the applicability of the method and, as noted above, stream simulation culverts are best applied to moderately entrenched channels - channels with limited flood plains that tend not to meander. The recommended maximum floodplain utilization ratio is 3. Floodplain utilization ratio is a measure of the width of the floodplain relative to the channel and defined more clearly below and in ***Chapter 4.***

If there is an outfall drop at the existing culvert, then there will likely be some channel response to the replacement culvert. This response, and alternatives for dealing with it, is covered in ***Chapter 7.*** The pertinent issue here is that all possible alternatives will include one that over-steepens the culvert to connect the down and upstream channel beds with a slope that is in excess of the prevailing stream gradient. This is an inappropriate application of the stream simulation concept since gradient is one of the more important characteristics of a channel and stream simulation seeks to emulate all those characteristics. Gradient defines channel type, sediment distribution and transport, among other processes. By over-steepening the culvert you have changed its very nature and it no longer "simulates" the adjacent channel – the basic precept of the method.

As a way to limit the slope of stream simulation culverts, the slope ratio, *SR*, is defined as the ratio of the culvert bed gradient, S_{culv} and the natural channel gradient, S_{ch}, see ***Equation 3.1.*** These slopes are shown in ***Figure 3.2***. One must differentiate between the culvert bed gradient and the slope of the culvert itself as they can be different.

$SR = S_{culv}/S_{ch}$ **Equation 3.1**

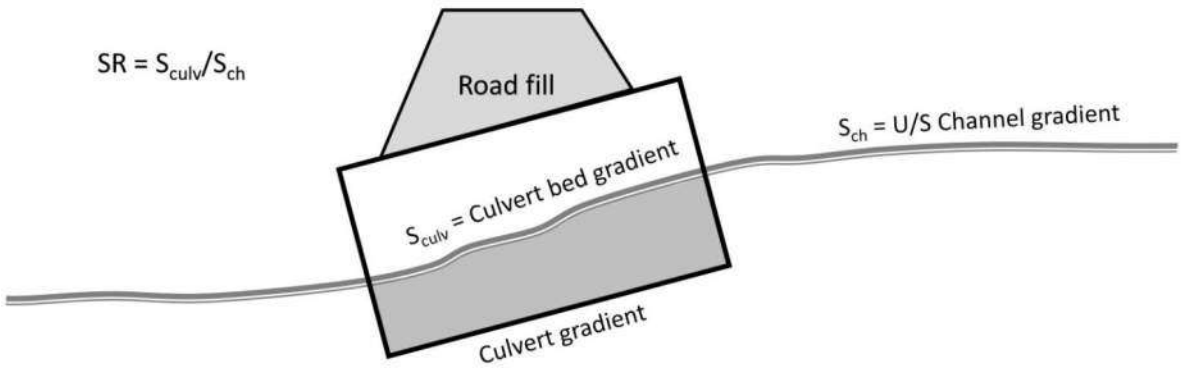


Figure 3.2: Stream profile showing the different gradients needed to determine the slope ratio.

For new culverts, the channel slope to be used in ***Equation 3.1*** is the slope that would occur in the absence of the culvert. For replacing an existing culvert, the upstream channel slope is generally used in this equation, since it is the upstream reach that supplies the bedload to the culvert. The channel downstream of an existing culvert is often incised or otherwise modified by the presence of the existing culvert and will not likely reflect natural conditions. Even so, either the upstream or downstream channel can be used; whichever best reflects the natural slope at the culvert site. Undersized culverts can significantly influence the channel slope immediately upstream; therefore, a long profile is necessary to discern the true gradient (see ***Chapter 7***). Stream simulation cannot be used to connect significantly dissimilar upstream and downstream reaches in order to keep the project within the road right-of-way. This is shown in ***Figure 3.3*** where the upstream channel is maintained at a higher elevation than it would normally assume should the culvert be replaced with one that is lower. This is an inappropriate application of the design method.

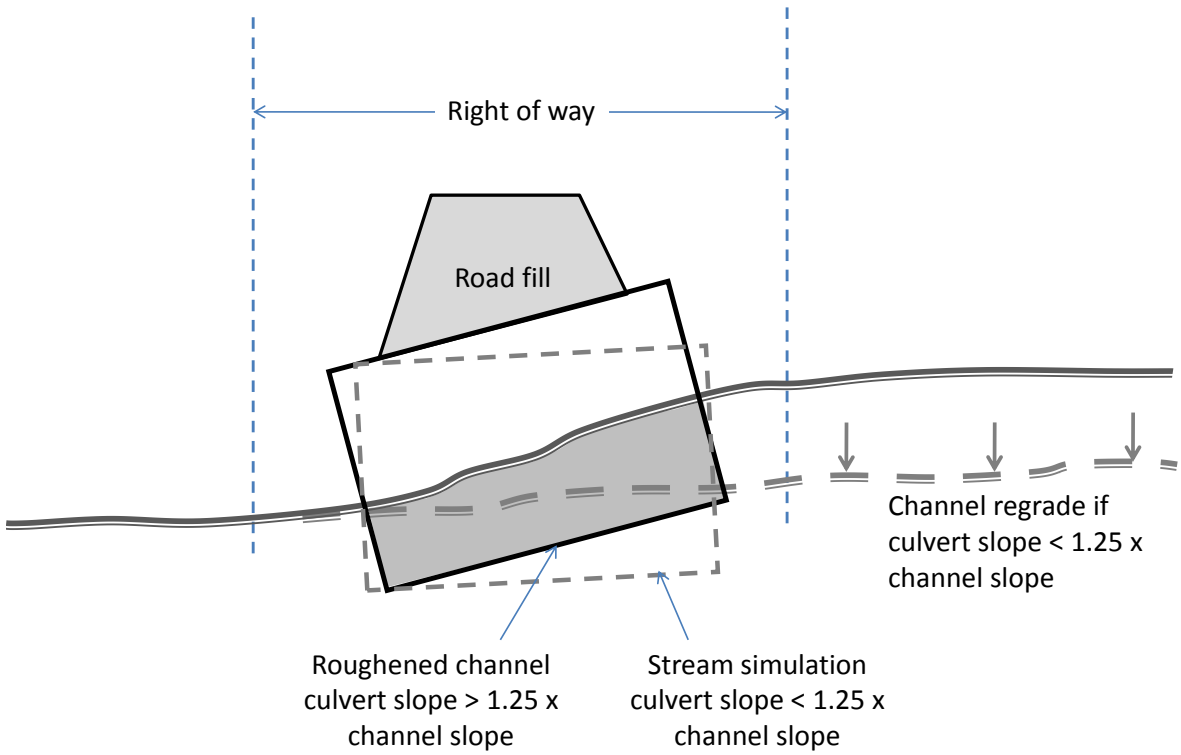


Figure 3.3: A stream profile showing a roughened channel culvert used to artificially maintain an upstream bed elevation and the regrade that would occur if a stream simulation culvert were used and the slope ratio criteria maintained at less than 1.25.

For a culvert to be designed using the stream simulation approach, the slope ratio must be less than or equal to 1.25. Slope ratios greater than 1.25 require a bridge or the application of the Hydraulic Design Option, ***Chapter 6***, specifically, the *roughened channel* option.

By the same token, a culvert slope that is substantially *less* than the prevailing gradient creates a depositional zone, among other possible problems. While no specific minimum slope ratio is suggested, the goal is to place the bed in the culvert at the same gradient as the stream – not to over- or under-steepen it. The assumption in this paragraph is that the culvert is at the same gradient as the bed inside it.

There is another scenario where the streambed inside the culvert is initially set at a lower gradient than the culvert so that it can regrade in response to the predicted loss of a downstream control. This is a sophisticated design feature and should be used only after careful consideration.

It may be advisable to restrict the slope ratio to values less than 1.25 if, through hydraulic analysis, it is found that the flow regime changes inside or at the outlet of the culvert. Such changes, from subcritical to supercritical flow or the reverse, result in a large release in energy and subsequent

scour. Turbulent, subcritical flow usually occurs in natural channels, although, during large floods, this may not be the case. If a hydraulic jump is anticipated, then channel geometry should be altered (such as reducing the culvert slope or increasing width) to avoid it.

At this stage in the evolution of stream simulation design, "similarity" is defined, in part, by the lack of disparity in the size and distribution of sediment in the culvert relative to the adjacent channel; we look to the stream to tell us what would be appropriate to put in the culvert. But the natural stream gradient can be controlled by either sediment or large wood. For instance, the gradient of a step-pool stream may be controlled by a boulder step or by a log. Wood control tends to make stream surface sediment finer than it would be if there was only sediment there to control gradient. Since we do not recommend placing large wood in a culvert, we cannot reasonably use the sediment size found in a wood-forced stream to design a culvert at the prevailing stream slope. On this basis, wood-forced stream types are not suitable for stream simulation culverts (Montgomery and Buffington. 1993). Fortunately, most streams in Washington are not exclusively wood or sediment controlled and we have many successful stream simulation culverts on steams with abundant wood. This mixing of stream types is found especially in landscapes modified by logging. Designers who find themselves working in truly wood-forced streams may want to consider a bridge as an alternative, or an alternative method developed to suit the site conditions.

Assessment Of The Adjacent Stream Reach

An assessment of the channel will provide the information needed for stream simulation design. The upstream reach adjacent to the culvert site is typically used for the assessment, with the considerations mentioned previously regarding the slope ratio. In the case of replacement culverts, an undersized original culvert will have caused aggradation and fining of the bed material so that one must move upstream to find an appropriate reference site.

For new culvert installations, there is no need for a reach assessment if the natural channel is to serve as the stream simulation channel. In the case of a bottomless arch culvert, the natural channel would then remain in place, although somewhat affected by the installation of the culvert over it.

The important aspects of channel geomorphology for stream simulation culvert design are:

- Channel type
- Bankfull width
- Flood plain utilization ratio
- Prevailing stream gradient
- Long profile
- Bed material gradation

Streams can be subdivided into two general categories for the purposes of stream simulation design. Both are appropriate for stream simulation, but they have different characteristics. The first category contains low-gradient, alluvial channels. These are generally pool-riffle streams having a slope of less than four percent (classified by D. L. Rosgen as types C, E or F (Rosgen 1994)). At this slope, bed particles are of a size that move easily during common storms so that stream

simulation in these cases implies a mobile bed and the designer must keep this in mind as they make decisions about culvert type (e.g. bottomless arch vs. full round) and size. ***Scenario 1*** culverts (described later in this chapter) are suitable for this category of stream.

The use of a four-percent slope as a threshold is somewhat arbitrary. Current experience has been that streams and their stream simulation culverts having slopes of four percent or less tend to have mobile beds at frequent storm intervals. It is conceivable that a flatter-sloped channel can have a very stable bed, in which case the culvert design should reflect that.

Streams in the second category have a higher-gradient, step-pool or cascade-type channel, with a slope of greater than four percent and with conditions matching Rosgen's stream classifications of A, B, F or G. The beds of these channels are very stable and adjust only during rare storm events. These are ***Scenario 2*** culverts.

Bankfull width (BFW) is the main parameter in stream simulation design and refers to the natural unaltered top width of the stream channel. For confined or non-alluvial channels there may not be a bankfull channel in the strict sense and one must use channel width indicators to determine this measurement. Techniques to determine the bankfull width are presented in ***Appendix C***.

Floodplain Utilization Ratio (FUR) refers to **t**he width of the floodplain relative to the main channel. This can be quantified by the floodplain utilization ratio, which is defined here as the flood-prone width (FPW) divided by the bankfull width. (The Floodplain Utilization Ratio is referred to as the "entrenchment ratio", ER, (Rosgen 1996)). As a rule-of-thumb, flood-prone width is defined as the water surface width at a height above the bed of twice the bankfull depth (Rosgen 1996). Read more about FUR in ***Chapter 4***. Streams appropriate for stream simulation, and culverts in general, have a FUR less than approximately 3. There are exceptions in low gradient wetlands with limited meander migration and negligible down-valley movement of water on the floodplain.

The **prevailing stream gradient** is defined by the water surface slope over the reach where the culvert is located. This gradient is measured outside the influence of the existing culvert where the slope is determined by natural alluvial forces.

For the most part, new culverts should be installed at the natural channel gradient. Where the stream simulation culvert is to be placed at the same gradient as the channel, the bed composition and pattern of the adjacent channel (outside the influence of structures) will suggest what the bed in the culvert should look like. As discussed above, the exception is where channels are dominated by large pieces of wood. See ***Chapter 7*** for more information on channel profiles.

While stream simulation culverts are probably the best culvert alternative for streams with high debris potential, there is still the risk that wood will form a jam inside the pipe and back up flow. Bridges are much better than culverts for allowing the movement of debris where there is a high potential for large-wood movement or debris flows. See ***Chapter 4*** for bridge design.

In situations where the downstream channel has degraded, it is tempting to install a replacement culvert at a steeper gradient than the upstream channel to connect the dissimilar channel

elevations. This is acceptable up to a slope ratio of 1.25 but it is important to recognize that streams tend toward an equilibrium gradient in a powerful and inevitable way: if you put a culvert in at 5% in a 4% stream, there is a strong likelihood that over time the culvert bed will end up at 4%. This steeper gradient can be designed to regrade over time, although this is really governed by the probability of a certain storm event occurring in a given period of time – not a very reliable engineering strategy.

The **long profile** is discussed extensively in ***Chapter 7***. Of particular importance here are significant discontinuities caused by the existing culvert or natural features, such as log jam, and how they will affect the culvert bed, at a given countersink, over time. As discussed earlier, the culvert must have the vertical capacity to accommodate the changes caused by these discontinuities.

Bed material gradation is very important for proper stream simulation design since this is what creates the stream bed inside the culvert. The natural channel bed can be assessed a number of ways and how this fits into the design process is discussed below.

Culvert Type and Size

The exact type of culvert used for stream simulation is largely a matter of preference. All types of corrugated metal pipes (CMP), bottomless culverts, and concrete boxes have been used. Bottomless structures at new crossing sites can be placed over the native bed, allowing it to remain in place, with the understanding that there will be construction impacts to the channel that will need to be repaired. Low profile bottomless arch culverts have the disadvantage of allowing only minor changes in bed elevation before either the footing is exposed or the inlet area is reduced to an unacceptable size. Bottomless arch culverts usually require riprap at the footings to ensure their safety and such materials are not in keeping with stream simulation goals. The use of stem walls can move the footing down below scour depth so that no armor rock is required. Concrete boxes come in a wide variety of sizes and have a long life span. Two-piece 4-sided box culverts can be inverted, with the U shaped piece on the bottom and a lid on top. This allows the bed to be installed inside the culvert from the top before it is backfilled.

Single-piece round corrugated metal pipes are preferred to pipe arches for several reasons. A round pipe of a diameter similar to a given pipe-arch span will have greater depth of fill inside the culvert for the same bed and crown elevations, allowing more vertical bed change before the pipe bottom is exposed. These two types of pipes will cost roughly the same. Assembly and installation of the round pipe is easier than the corresponding pipe arch, although the excavation is deeper.

In larger sizes the horizontal ellipse CMP is preferred to the round culvert because it uses less metal and still allows an adequate depth of fill in the culvert to protect the stream simulation structure.

The width of a stream simulation culvert can be determined through an analysis of stream geomorphology, as recommended in the USFS stream simulation guide (Forest Service Stream-Simulation Working Group 2008), or it can be determined by using the method suggested here. The advantage of using the method described below is its simplicity. The USFS assessment and design process is comprehensive and time consuming. It is likely that the result will be similar. Those

using the USFS approach should look carefully at Chapters 4, 5, and 6 in order to comprehensively design the crossing.

The minimum width of the bed in any type of culvert, $W_{culvert\ bed}$, in feet, should be determined by

$$W_{culvert\ bed} = 1.2W_{ch} + 2 \text{ (in feet)} \qquad \textbf{\textit{Equation 3.2}}$$

Where: W_{ch} = the width of the bankfull channel, which is further described in ***Appendix C, Channel Width Measurement***.

The result, $W_{culvert\ bed}$, is rounded up to the next whole foot. It must be emphasized that $W_{culvert\ bed}$ is the width of the bed inside the culvert, not the culvert diameter. The diameter of a round culvert is 10 percent greater than the width of the bed occupying the bottom 30 percent of the culvert. At the 50 percent countersink the diameter equals the bed width. ***Equation 3.2*** is shown schematically in ***Figure 3.4*** for both a round and a bottomless arch culvert. The relationship is similar for 3 and 4-sided box culverts as well.

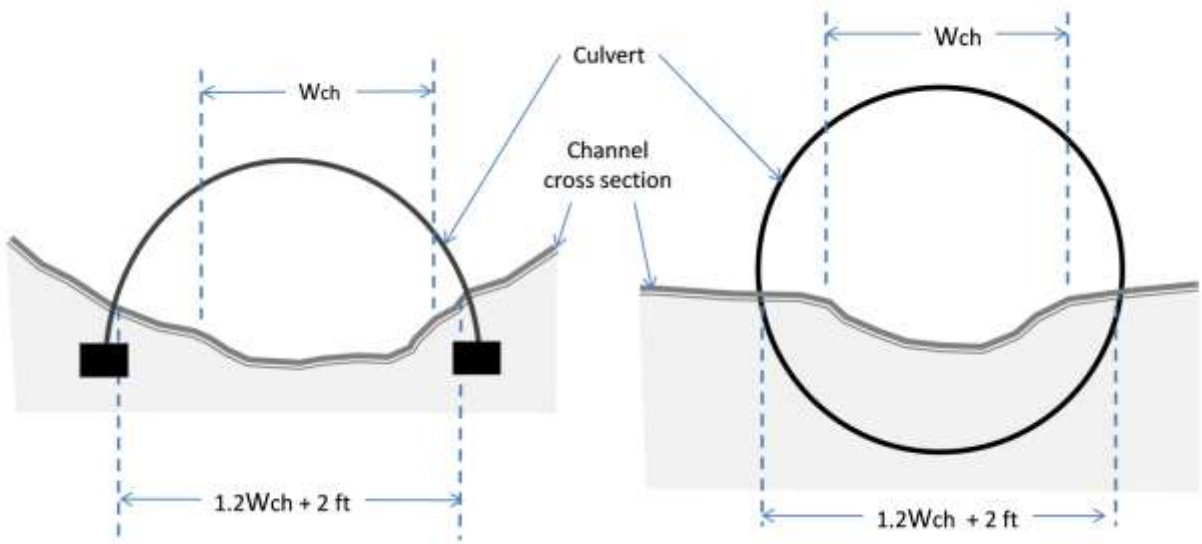


Figure 3.4: Stream and culvert cross sections showing the relationship between the channel width and the span of a stream simulation culvert for a bottomless arch culvert and a round culvert that has been countersunk 50%.

There are a number of reasons for the relationship in ***Equation 3.2***, and there are some exceptions. It is generally accepted that natural channels need width over and above their active channel to function normally (Dunne and Leopold 1978; Thorne, Hey et al. 1997). The degree to which the culvert sides must extend beyond this width is a matter of debate, although the performance of this equation for the design of culverts has proven to be remarkably successful. This equation creates a bed width between 33 and 70% wider than the bankfull width on 15 and 4 foot channels, respectively. This compares with the median FUR of 1.6 for the 50 streams studied as part

of the stream simulation effectiveness study culverts (Barnard, Yokers et al. 2011); the prescribed width tends not to constrict the channel at high flow, a basic precept of the method.

Equation 3.2 was designed to create a minimum size of culvert of 6 ft to allow construction equipment access. Some designers and contractors only start with stream simulation culverts greater than 7 feet to facilitate the use of skid-steer (Bobcat®) style front end loaders.

If the designer can demonstrate that a culvert needs to be wider or narrower than provided by the above equation, then that width may be acceptable. The following paragraphs suggest some aspects of design that should be considered when deviating from ***Equation 3.2***.

To be completely general, the criteria for culvert bed width should be tied to channel type and floodplain utilization ratio (FUR). ***Equation 3.2*** prescribes a suitable culvert bed width for the range of channel types it has been applied to, primarily small, steep streams with a FUR less than 3. The implication is that a FUR significantly greater than 3 would need a wider culvert, and significantly less than 3 would need a narrower culvert.

Before deviating from ***Equation 3.2***, several concerns will need to be addressed. Contraction at the inlet is a potentially serious source of bed scour. This scour will occur at greater-than-bankfull flows and could alter the characteristics of the stream simulation bed and adjacent channel. These effects must be assessed before recommending the use of a pipe that is smaller than what ***Equation 3.2*** suggests. A worst-case scenario would involve a low-gradient, unconfined, alluvial channel upstream of the culvert (similar to Figures C.4 and C.7 found in ***Appendix C***). The active channel width may contain only a fraction of the total flow during a greater-than-bankfull discharge. Inlet contraction in this case would be severe, and it may be advisable to size the culvert wider than the width given by ***Equation 3.2***. Inlet modifications, such as wing walls, may reduce contraction-induced turbulence, but velocities can still remain high enough to scour the bed. In severe cases, a bridge is recommended. A simple method to determine the contribution of floodplain to total flow is given in ***Chapter 1***, Selecting a Crossing Method.

In a confined valley channel where the stream width does not change substantially with stage, FUR less than approximately 1.5, the culvert may not need to be any wider than the channel as long as it is sized to pass flood flows with accompanying wood and sediment. A safely factor should be applied, as is discussed in ***Chapter 4***, bridge span for ***Confined Channels***. There is a lower limit to this, however. That limitation is where the culvert is just too small to construct a channel in. Depending upon length, a diameter or span of six feet is a minimum for shorter culverts. As a word of caution, incised channels may look narrow early in their development but will widen with age. Stream simulation culverts should be sized to anticipate this future widening.

A motivating factor for developing stream simulation culverts is to facilitate juvenile fish passage. These fish use stream margins and a variety of migration pathways where low levels of velocity and turbulence occur. ***Equation 3.2*** allows for some of the channel width to be reserved for margins. In effect, the stream simulation culvert has "banks" inside for the majority of flows that facilitate juvenile fish passage for all but peak events. As discussed below, these banks must be formed at the time of construction.

Some vertical and plan form variation can take place in a stream simulation culvert that is wider than the channel width. There will be some meander and/or step-pool formation inside. In the existing stream simulation installations, low-flow channels meander within the length of the pipe, and step pools provide energy dissipation at high flow. As discussed below, exceptionally long culverts may require additional width to simulate natural conditions.

Wildlife passage under roads can be provided by large stream simulation culverts. The combination of large size, dry bank, natural substrate, adequate illumination and lower stream velocities provide attractive conditions for animals to move through. If vertical clearance is adequate (generally > 8 ft), deer will use them for safe passage under the road. Birds are also known to fly through them. Amphibians and small animals likely can pass using the banks and shallow water areas inside. In one stream simulation culvert, grass grows on the margin a short distance into the pipe, indicating the stability of the stream margin. Coho have spawned in this style of culvert.

CULVERT LENGTH

When the length of the crossing structure is longer than the longest straight reach in the adjacent channel, then the roughness associated with the planform variation is not replicated and the culvert may not dissipate hydraulic energy at the same rate as the natural channel, leading to acceleration and scour. The culvert wall is straight and smooth, the bank line is not. In addition, longer culverts are less forgiving when errors have been made in the design or construction of the culvert. See (Forest Service Stream-Simulation Working Group 2008) Chapter 6.1.1.1. *Risks of longer culverts*. Small failures in a long culvert bed structure during a flood event may cause a headcut within the culvert which may expose the bottom – a critical condition which creates a fish passage barrier and prevents culvert bed recovery.

The major concern for long culverts is that the quantity of kinetic energy of flow at the inlet should be the same throughout the culvert – flow should not be allowed to accelerate. The kinetic energy of flow is dissipated largely through turbulence created by roughness. The factors affecting channel roughness are the surface roughness, vegetation, channel irregularity, channel alignment, silting and scouring, shape and size of a channel, and the stage-discharge relationship (Chow 1959). We can manipulate only two of these effectively in a culvert – surface roughness and channel size and shape. For longer sections of channel, irregularity and alignment play a larger role and, if we are going to use culverts in longer applications, we must compensate for this loss of roughness.

Relatively little is known about the design and performance of long stream simulation culverts. In order to provide a threshold, culverts with a length-to-span ratio of greater than 10 are considered long and special consideration should be given to their design.

Three alternatives for long culverts are proposed. The first two suggest increasing width and the third a change of crossing type.

1. Increase culvert width using geomorphological features as a guide to sizing. For instance, for low gradient, pool-riffle channels create enough width to accommodate a point bar on

one side when length is greater than 15 times BFW. This point bar should alternate sides, as it would in a meandering channel, and be reinforced to resist erosion.

2. Increase culvert roughness by decreasing hydraulic radius. Research work shows that meandering increases Manning's n by 13-30% (Chow 1959; Khatua, Patra et al. 2010). Using the relationships in the Manning's equation, for a given slope, the hydraulic radius must be decreased 17% to compensate for a 13% increase in n. This corresponds to a roughly 30% increase in width, which would be added to the results given by **Equation 3.2**. This should be a 30% increase in the width of the area outside the bankfull channel, which would remain the same width.
3. Use a bridge instead of a culvert.

Culvert Bed Configuration

Two stream simulation scenarios are depicted schematically in ***Figures 3.6.*** The scenarios characterize the upstream channel and are based on information gathered from the upstream reach assessment. Each scenario leads to a different approach for designing the streambed.

If the channel is in equilibrium (neither aggrading nor degrading) and the slope is maintained by sediment, the composition of the channel should be described by a sample of the bed material or by a surface pebble count. If wood or roots dominate the slope, bed material must be specified using a reference reach method or a sediment stability model. A reference reach in another channel with similar slope and width can be used as an example or design template, although this must be thoroughly tested with hydraulic modeling to show that it remains applicable to the culvert design in question. The sediment gradation is to be designed using natural streambed gradation.

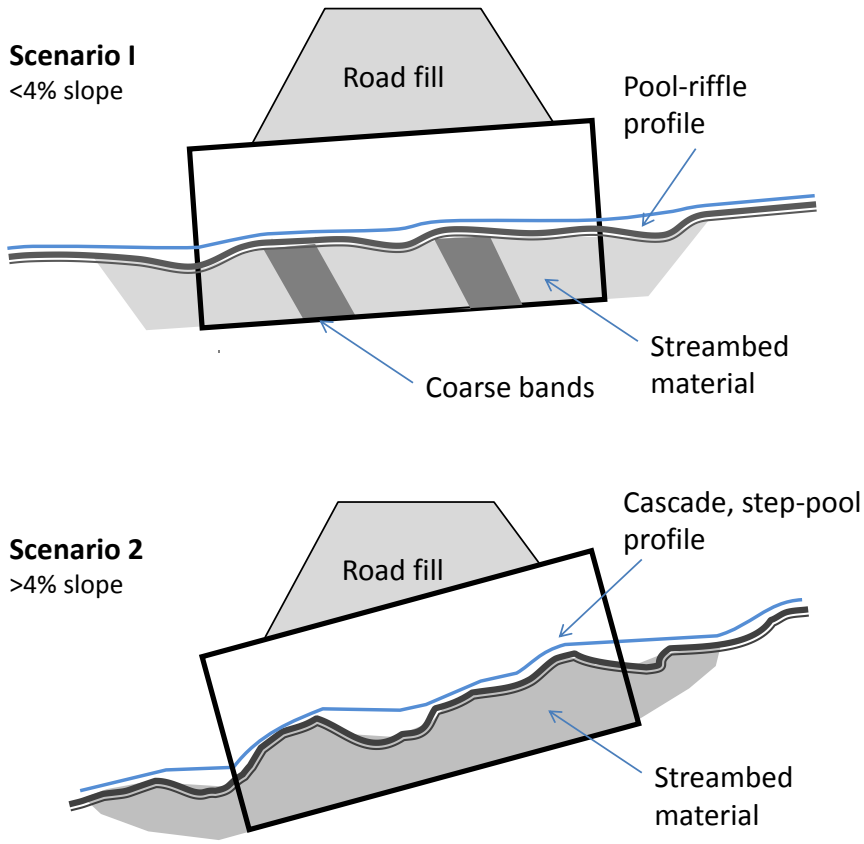


Figure 3.6: Stream simulation culvert profiles, Scenarios 1 and 2.

The WDFW stream simulation effectiveness study (Barnard, Yokers et al. 2011) found that the majority of studied culverts had flat channel cross sections and very few had banks of any appreciable height and width. While average velocity and shear stress are lower in low hydraulic radius cross sections, they do not look or act like natural channels which have a defined channel and variation in velocity and particle size across the width. This study concluded that channel cross section is largely determined at the time of construction and the shape of the bed must be carefully built as the bed material is loaded into the culvert. In the past, we assumed that if the proper materials were supplied in an appropriately sized culvert at the proper gradient, the bed shape would form on its own. Evidently, this is not the case and careful attention to cross sectional shape during construction is the only remedy. ***Figure 3.7*** shows a stream-like cross section in three culvert types. By placing larger sediment sizes at the sides of the culvert this shape can be created and maintained over time. Remember that the size of the sediment and its gradation is a function of slope and discharge and the relative sizes and their placement in ***Figure 3.7*** is for illustration purposes only.

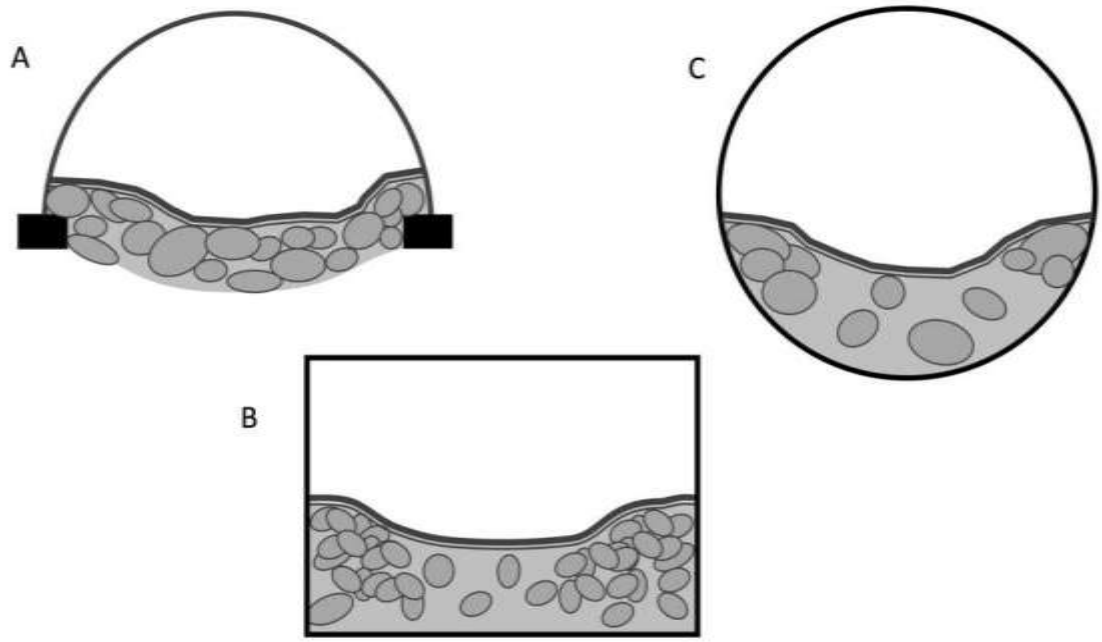


Figure 3.7: Stream simulation culvert cross sections for three culvert types: *A*, bottomless arch culvert; *B*, box culvert; and *C*, circular.

SCENARIO 1

The culvert bed gradient is less than four percent, and the bed is predominantly native material with bands of coarser particles to control structure and channel cross-section shape. In lower-gradient channels, bed forms are fluid, and it may be some time before channel structure is formed. There is also a tendency for the deepest part of the channel to follow a wall of the culvert because of the smoothness of the wall. The bands of coarser sediment help form structure and maintain gradient. The crest of these bands is lower in the middle, encouraging the channel to stay in the central part of the culvert, ***Figure 3.7***. In wider, low-gradient culverts, the low-flow channel should meander but still remain in the middle third of the culvert. The bands are composed of well-graded stream bed sediment that is one to two times D_{100} (the largest particle found in the bed).

There are alternative ways to accomplish the same thing without coarse bands. For instance, in vertically stable streams, coarser material could be placed along the wall of the culvert defining the channel in the center of the culvert.

In smaller streams (width of channel less than about eight feet), D_{100} is adequate for these coarse bands. Wider streams will require larger particles. This is only a general rule and the designer should carefully look at expected discharge and slope to guide the specification of material. Spacing of the bands depends upon slope and channel width. The distance between coarse bands is the lesser of five times the width of the channel or as necessary to provide a vertical difference between crests less than or equal to 0.8 feet.

Coarse bands are not intended to be grade control or rigid structures that do not deform over time. There should be no need to maintain or repair them since their role should diminish over time. Bands are to be constructed of rounded material graded similarly to the sediment found in the adjacent channel, not from riprap or other quarried stone. There are instances where quarry stone is appropriate for coarse bands, just as there are cases where it can be used to build the bed inside a stream simulation culvert (see discussion on page 50). Generally, this is in basalt bedrock streams or in cases where larger rounded material is not available.

Spacing starts at the naturally occurring, or intentionally placed, downstream grade control. These bands should never be closer than one channel width or 15 feet (whichever is less) from the inlet or outlet of the culvert. Partially spanning rock clusters or similar structures may be substituted for the coarse bands. Care must be taken that these do not create undue scour at high flow and force bed material out of the culvert.

Scenario 2

The culvert bed gradient is greater than four percent. Native or engineered bed material is used throughout the fill. No coarse bands are needed since beds at these gradients are very coarse and stable, although the shape of the bed must be established at the time of construction.

The bed has a monolithic structure where the largest particles are in contact with each other, forming a network of continuous support along the whole length of the culvert and depth of the fill inside. The concept is that gravel beds "flow" and changes in the bed elevation quickly move through the bed. Coarse cobble and boulder beds are resistant to change because the largest particles support each other in a more "monolithic" structure and changes in elevation at one point don't necessarily result in changes to another part. The result is a step-pool (abrupt change in elevation supporting itself) or cascade type channel.

Generally, Scenario 2 culverts are of a step-pool or cascade channel type. The shape of this channel is defined at the time of construction; the steps are formed of the larger pieces in the mix, the pools are hollows below the average grade line. These stream units should be composed of materials that are not generally mobile at any but the highest expected flows. ***Equation 3.6*** below shows the relationship between the size particle that will be moved at the design flow and the largest particles found in the stream. The profile can be painted on the side of the culvert wall to aid the contractor during construction. Careful staging and delivery of the materials to those working in the culvert makes for efficient work flow and a quality product.

Culvert Bed Design

The simplest case for using the stream simulation for culverts is where the slope of the bed in the culvert matches the slope of the adjacent reach. In this instance, there will be little, if any, discontinuity in sediment-transport characteristics. The bedload transported through the upstream reach will continuously supply the bed in the culvert with materials for form adjustments and rebuilding after large floods. If the culvert is sized appropriately, then the bed material placed inside the culvert will be the same as that found in the upstream bed. More challenging cases are where:

- The slope ratio approaches 1.25
- The FUR is greater than 3
- In fine-grained beds, like wetlands

If the culvert is steeper than the upstream channel (slope ratio > 1), the coarser bed material needed to support that slope is not supplied by the upstream channel and, over time, is winnowed out and not replaced, increasing the likelihood of failure over time. It is best to avoid this situation but if it proves to be necessary, special attention should be paid to the sizing and arrangement of materials in the culvert. This can be done by observing the following precautions:

- Verify that the sizing of the materials is appropriate for the slope and discharge of the stream (see sections below on sizing)
- Carefully select the source and gradation of the materials by visiting the pit and requiring sieve analysis
- Have an experienced engineer on site to supervise the construction of the culvert bed

A wide upstream floodplain increases the discharge in the main channel when it is confined, increasing the hydraulic stress. The bed material must be sized to accommodate this increase or, as in the case of a high slope ratio, it will winnow out and eventually scour. By far the best method to reduce this stress is to increase the culvert span beyond that required by ***Equation 3.2***.

Culverts in wetlands have a unique set of design challenges. The bed is usually fine-grained silt or clay. It is impossible to simulate this bed inside the culvert since it would be mucky as it is placed and likely to flow out when saturated, causing a water quality violation. So, the culvert must be either filled with a material that is dissimilar to the adjacent channel or left empty to fill over time. Filling is recommended since it removes the possibility of stranding fish in the unfilled pool during low flow periods. A well-graded mixture of small gravel, sand and fines such as **9-03.11(1) Streambed Sediment** suggested in the section at the end of this chapter can be used as fill. While we do not recommend stratified fills, the designer could make a case for filling the lower portion of the culvert with on site materials and placing a top course of streambed gravel. However, any change in downstream bed elevation will result in regrade of this top course and the possible exposure of the unsuitable stuff below.

The selection and gradation of channel fill material must address bed stability at high flows and must be well-graded (includes all size classes) to prevent loss of significant surface flow. Where the bed is placed at the gradient of the adjacent channel, native size and gradation may be used as a guide for the fill mix. This is done with the understanding that conditions inside the culvert during peak flows may be more severe than those in the natural channel. The designer should begin with an accurate description of the upstream channel bed material, using a pebble count or some other method.

In order to determine with some level of certainty whether the prescribed bed will be appropriate for the given design storm, an engineer must be able to evaluate the stability of the bed on the basis of hydraulic analysis. To be thorough, hydraulic analysis may also be necessary to verify that the native streambed material is not appropriate for the culvert design. There are several established

approaches that analyze critical shear stress to evaluate bed stability in gravel bed streams. These approaches should be used in the design of stream simulation culvert beds having a gradient of less than one or two percent. It has been suggested that shear-stress analysis is unsuitable for slopes that exceed one percent and where relative roughness is high (where the 84th percentile particle is greater than 1/10 the water depth)(Grant, Swanson et al. 1990). Clearly, conditions in high-gradient, stream simulation culverts are outside the range for shear stress analysis. Several other approaches are available, four of which are outlined here. None of these methods are fool-proof. They have been applied over the last 10 years or so and, depending on assumptions, have been successful. Over and above their application, they do have a solid theoretical foundation and produce conclusions that are similar to each other. We recommend that the designer approach each stream and crossing as a new case and use all the design aids and sediment-stability methods available. Considering the huge range of site specific differences at stream crossings, all sorts of outcomes are possible; the best analyzed design may fail as readily as any. Of the 50 culverts analyzed in the stream simulation effectiveness study, only one experienced a bed failure and that was designed outside the standards suggested in this document.

Reference Reach Approach

The reference reach approach is preferred for sediment sizing in stream simulation culverts. Maximum particle size and appropriate distribution can be determined by examining reaches directly upstream from the culvert or nearby reaches with similar characteristics (e.g., unit discharge, slope, geometry, relative stability) to the design channel. In situations where the hydraulic conditions and natural bedload movement inside the culvert need to be the same as those in the upstream reach, the native sediment gradation can be duplicated in the culvert fill without modification. Where the hydraulic conditions need to be more severe and transport capacity greater, the native sediments will have to be modified by a factor of safety to ensure that the bed can achieve stability. This factor of safety will be a function of the contraction ratio (the width of flow inside the culvert divided by the average width of flow in the channel upstream), the headwater-to-culvert-rise ratio, and the slope. There are no specific relationships yet defined between these ratios, nor is there a safety factor yet defined to be applied in sizing the bed material.

The culvert entrance conditions must be analyzed, particularly when a floodplain is present upstream. An indication of conditions that warrant careful attention would be when the contraction ratio is less than 1:1 at the bed-changing flow. When there is a significant contraction of flow at the culvert entrance or a high headwater-to-culvert-rise ratio, the culvert bed will experience greater scour and the culvert width should, therefore, contain larger sediment sizes. Where this contraction is pronounced, the culvert width should be increased. Likewise, when the culvert bed is at a significantly greater slope than the upstream channel, the bed material must be heavy enough to resist flow acceleration, given the lack of bedload to replenish scoured materials.

As a guide, the larger particles in a natural step-pool channel are roughly similar in size to the depth of flow at its bankfull condition (Grant, Swanson et al. 1990; Montgomery and Buffington 1998). As one would suspect, the size of the largest mobile particle (D_{84}) would be less than the bankfull depth, as shown in ***Figure 3.8***. On the other hand, the largest immobile particles (D_{100}) have various origins (colluvial or alluvial and transported by exceptional events such as infrequent

storms or mass wasting) and have an indeterminate relationship to the bankfull depth. The data provided in Figure 3.8 should be used in combination with the other design approaches described here and in the many references used in this chapter.

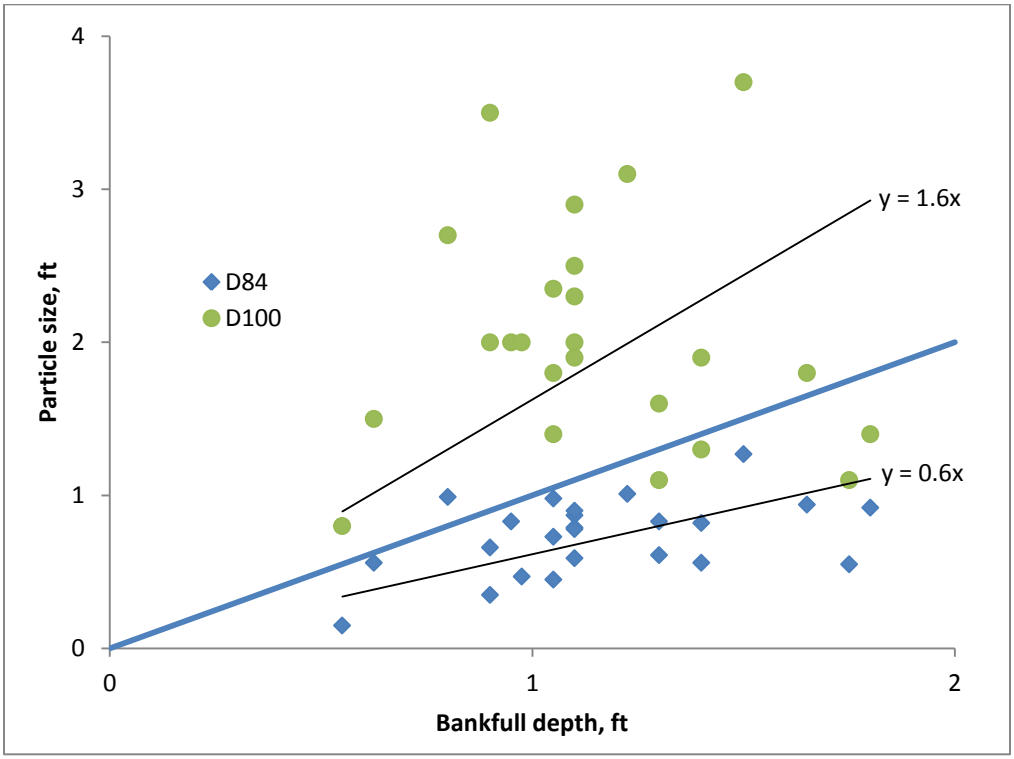


Figure 3.8: particle size as a function of bankfull depth for 24 streams of the cascade or step-pool type with slopes of greater than 2% in Washington; blue diamonds are the 84th percentile particles and the green filled circles are the 100th percentile particle. The thick blue line indicates y = x.

Naturally occurring steep channel beds can be composed of material that is not placed or formed by normal stream processes. Comparatively large, glacial sediments or landslide debris may be exposed by erosion but not actually transported under the current hydrologic regime. These under-fit channels may indicate a much larger sediment size than is necessary to maintain gradient. Using such large sediment sizes inside a culvert is conservative, but too big is also a problem. The largest particle should not exceed one quarter of the culvert bed width in order to avoid constrictions within the culvert. Considering the relatively shallow depths in tributary channels, ***Figure 3.8,*** and the largest size of transported particles, ***Table 3.1***, it is rare to see boulders larger than 3.5 ft in alluvial channels. Constrictions may reduce migration-path opportunities and make the culvert more vulnerable to debris blockages.

Riprap-sizing techniques abound in the literature. Most assume normal flow conditions in larger, low-gradient rivers where shear stress is the predominant mechanism of failure and relative roughness is small. Most stream simulation applications, where we are concerned with bed stability, are found at higher gradients. ***Chapter 6, Hydraulic Culvert Design***, contains a section on designing roughened channels which includes a review of some of the more relevant riprap sizing equations for use in stream channels.

UNIT-DISCHARGE BED DESIGN

J. C. Bathurst (Bathurst 1987) studied the initial motion of sediment in high-gradient channels and developed an equation for the critical unit discharge for the movement of coarse particles. His equation has been rearranged to predict the size of a D_{84} particle that would be on the threshold of motion for a given critical unit discharge, **Equation 3.3**. This equation reflects conditions in coarse, high-gradient streams with heterogeneous beds.

$D_{84} = 3.54S^{0.747}(1.25q_c)^{2/3}/g^{1/3}$ **Equation 3.3**

Where:

D_{84} = intermediate axis of the 84th percentile particle in the sediment distribution, expressed in feet

S = energy slope of the proposed channel, ft/ft.

q_c = the critical unit discharge (total design discharge divided by the width of the bankfull channel) at which incipient motion of D84 occurs, in cubic feet per second per foot.

G = The acceleration due to gravity, feet/sec^2.

As a starting point for the development of sediment mixes for high-gradient, constructed stream channels, it is recommended that the above equation be used. There are two categories of design discharge based on slope. First, in channels with a slope greater than four percent or in under fit channels, the 100-year storm should be used as the design flow. When used in this way, this equation will closely predict the same size of particle as that found in natural channels with similar Q_{100} and W_{ch}. This is the goal of the Stream Simulation Design Option.

Second, in streams having a gradient of less than four percent, the frequency of bed-changing flows varies widely. In under fit channels, the bed may not change for hundreds of years. On the other hand, in recently incised channels, the bed may be restructured many times each year. If it is unclear how the bed should be designed, J. E. Costa's paleohydraulic analysis (Costa 1983) can be used to determine the magnitude of the bed-changing flow for a given particle size. As shown in the next section, velocity, expressed in feet per second, is given by:

$V = 9.57D^{0.487}$ **Equation 3.4**

Where D (expressed in feet) = the median dimension of the average of the five largest particle sizes found in a natural channel reach whose slope is determined to be controlled by the bed materials.

Depth, read from ***Table 3.1***, is also a function of D. From a cross section of the channel, the area in flow is found at depth D. Flow area times velocity gives the discharge required to mobilize the bed.

The results of the Bathurst equation and Costa's paleohydraulic analysis generally agree; however, both should be checked. It is worth re-emphasizing that these are mobile or nearly mobile particles at these flows. If, for some reason, it is advisable to create a bed that is more stable, then particle sizes should be increased.

BED DESIGN BY PALEOHYDRAULIC ANALYSIS

Costa (Costa 1983) developed a relationship between maximum particle size and flood depth, ***Equation 3.5***. This work was done to determine the discharge of flash floods, but it has been useful in the design of stream channels. He used four different approaches to determine the incipient motion of the largest particles and, in combination with empirical relationships, averaged their results.

For determining depth, velocity (expressed in feet per second) is given by,

$$V = 9.57(D_{84})^{0.487} \qquad \textbf{Equation 3.5}$$

Where: D_{84} is derived by an iterative procedure and expressed in feet. Equation 3.5 is the same as Equation 3.4 although used in a different manner.

D_{84} is first assumed, and then velocity is calculated by ***Equation 3.5***. Dividing the design flow by velocity results in the cross-sectional area in flow. From the proposed channel cross section, the depth for this area is found, and ***Table 3-1*** shows the associated particle size, which is then compared to the assumed size, and so on. When the resulting particle size agrees with the initial estimate, the particle size is considered suitable for a design value of D_{84}.

It should be noted that the velocities from ***Equation 3.5*** are relatively high, reflecting the severity of the flow associated with restructuring high-gradient streambeds. The Froude number is frequently greater than 1.0, as predicted by (Grant, Swanson et al. 1990) which indicates confidence in this estimate.

Table 3.1. Prediction of water depth for a given maximum particle size that has been moved. Data has been converted to English Units; some values are log-interpolated, adapted from (Costa 1983).

Particle Size (ft)	Slope (ft/ft)										
	0.005	0.01	0.02	0.03	0.04	0.05	0.06	0.07	0.08	0.09	0.1
	Depth (ft)										
0.2	1.2	0.9	0.6	0.5	0.5	0.4	0.4	0.4	0.4	0.4	0.4
0.5	3	2.1	1.5	1.3	1.2	1	1	0.9	0.9	0.9	0.8
1	6	4.1	2.9	2.5	2.2	1.9	1.8	1.8	1.7	1.6	1.5
1.5	8.8	5.9	4.1	3.6	3.1	2.7	2.6	2.5	2.4	2.2	2.1
2	11.3	7.4	5.2	4.5	3.9	3.4	3.2	3.1	2.9	2.8	2.7
2.5	13.6	8.9	6.2	5.4	4.7	4.1	3.9	3.7	3.5	3.3	3.2
3	15.6	10.2	7.1	6.1	5.3	4.6	4.4	4.2	4	3.8	3.6
3.5	17.6	11.4	7.9	6.9	6	5.2	4.9	4.7	4.5	4.3	4.1
4	19.5	12.6	8.7	7.5	6.6	5.7	5.4	5.2	4.9	4.7	4.5
4.5	21.3	13.7	9.4	8.2	7.2	6.2	5.9	5.7	5.4	5.1	4.9
8.1	36.4	23.1	15.6	13.5	11.7	10.1	9.6	9.1	8.6	8.2	7.8
10.5	45.6	28.9	19.4	16.7	14.4	12.5	11.8	11.2	10.6	10	9.5

Keep in mind that Costa determined the size of the rock that had been moved by the flow at that depth and slope. At higher slopes, the Costa equation consistently indicates smaller particle sizes than the Bathurst equation, all other conditions being equal.

At these slopes, there is a much wider range of variables, and their influence on the threshold of movement is indeterminate.

Bed Material Gradation and Specification

Knowing the size of the largest material, D_{max}, or any other characteristic size, the rest of the bed mixture is to be well-graded to minimize permeability. In the case of a bottomless culvert, a well-graded bed may already be present. If the bed material must be imported, a suggested method is to use a synthetic streambed mix. Naturally sorted streambed sediments are almost always distributed in the "S" curve, as shown in ***Figure 3.9***.

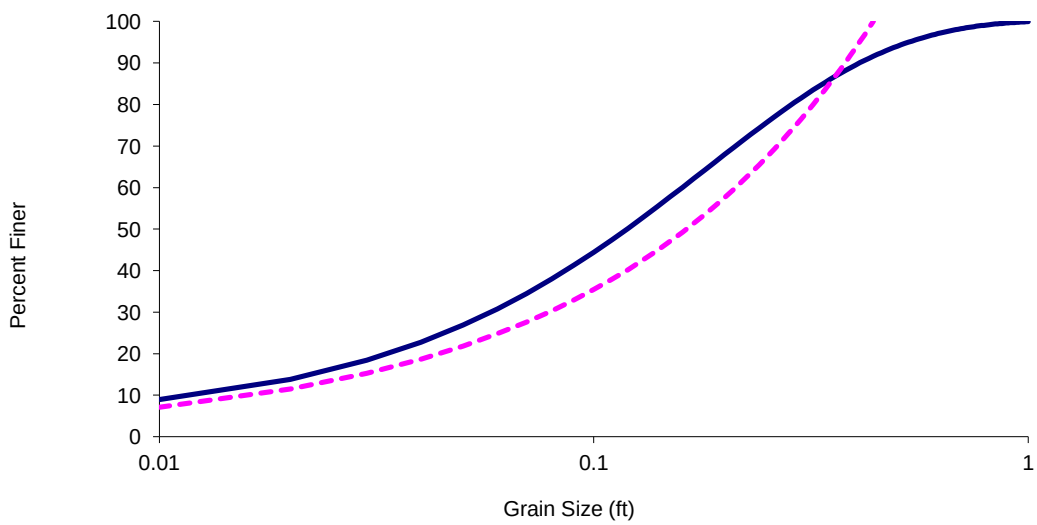


Figure 3.9: Cumulative distribution of streambed sediment sizes. Solid blue is a typical natural distribution with a maximum size of 1 foot. Dashed red line is a Fuller-Thompson maximum density curve with the same size D_{84} particle as the natural distribution.

Figure 3.8 represents a smooth curve between some basic relationships found in natural distributions, summarized in the following relationships as a function of D_{84}:

$D_{84}/D_{100} = 0.4$ **Equation 3.6**

$D_{84}/D_{50} = 2.5$ **Equation 3.7**

$D_{84}/D_{16} = 8.0$ **Equation 3.8**

In order to create a non-porous bed there must be a minimum of 5% to a maximum of 10% fines in the mix.

For comparison, a typical ratio for riprap gradations is $D_{84}/D_{16} < 2.0$. This uniform gradation creates a very narrow size distribution that is too porous for use in a stream simulation culvert. This

means that even though the size of materials used in steeper culverts may be similar to the size of riprap, the grading must not be the same as is commonly used for riprap.

These ratios in ***Equations 3.6-8*** are averaged from a wide variety of streambeds in different environments (Judd and Peterson 1969; Limerinos 1970; Jarrett 1984; Mussetter 1989; Ergenzinger 1992). Slopes ranged from about 0.3 to 22 percent. Natural distributions have a very wide range of sizes for various reasons. What is significant for the design of stream simulation culverts is that the largest 15 to 20 percent plays a major role in the stability of higher gradient channels (Costa 1983; Chin 1998). This fraction must be present, and the largest clast is significantly larger than the median size. The lower portion of the gradation fills the interstices and ensures a nonporous bed.

The gradation given by these ratios should be considered a starting point for the mixture. It can then be refined as the designer considers available materials. The result is the raw material for the streambed, so it should reflect the composition of a natural channel.

Situations arise where the application of one of the stability methods and the relative particle-size ratios given above lead to unrealistic sediment sizes. On streams less than about 20 feet wide, where stream simulation is applied, the largest particles rarely exceed 3 or 4 feet, as measured along the intermediate axis. If, by applying the suggested ratios, very large boulders are required, then adjustments may be required to create a practical prescription. For instance, if stability analysis indicates that D84 should be 1.8 feet, then, by the ratio above, D_{100} will be 4.5 feet. This is a very large boulder and not likely to be found in a tributary stream, except as a glacial remnant or a deposit of a landslide or debris flow. Clearly, if this channel is 14 feet wide with an 11-percent slope, then large material is required. But it may not be clear how large. In case of uncertainty, one should look at the adjacent channel for guidance. The presence of large, stable, moss-covered boulders should indicate that the size of such boulders is a reasonable dimension for D_{100}.

Once again, it should be emphasized that it is the largest particles that create stability in a natural channel, and they need to be of adequate size and quantity to fulfill this role.

It is not appropriate to compare sediment size estimates with channel reaches that are controlled by large wood, deeply incised, or not in equilibrium.

In the interest of creating designs and specifications that are practical and economical, gradations should not be too restrictive. As long as a broad range of sizes is represented, a suitable bed-material mix should result.

There are alternate methods to achieve a well-graded mix. In the USFS stream simulation guide (Forest Service Stream-Simulation Working Group 2008) the Fuller-Thompson method (Fuller and Thompson 1907) is recommended, ***Equation 3.9***. This results in a somewhat narrower range of sediment sizes but is acceptable for this sort of work (shown in comparison with the natural distribution in ***Figure 3.9***). The equation for percent finer using the Fuller-Thompson method is,

$P/100 = (d/D_{max})^n$ **Equation 3.9**

where *d* is any particle size of interest, *P* is the percentage of the mixture smaller than *d*, *Dmax* is the largest size material in the mix, and *n* is a parameter that determines how fine or coarse the resulting mix will be. An *n* value of 0.5 produces a maximum density mix when particles are round.

SEDIMENT-GRADATION EXAMPLE

The following example should help clarify the process of material gradation for stream simulation. Let's say that, using one of the methods described above, D_{84} has been determined to be 0.5 feet. Using the relations above, D_{16} = 0.06 ft, D_{50} = 0.2 ft, and D_{100} (the largest particle present) = 1.25 ft. What this means is that 16 percent of the material is less than three quarters of an inch, including roughly equal proportions of small gravel, sand and fines. Sixteen percent is between 0.5 to 1.25 feet, which, when viewed from above, will compose 1/6th of the channel surface. The remaining 68 percent is basically well-graded gravel and cobble. If a gravel pit is making up this mixture, then piles of material need to be assembled in proportions that approximate the desired gradation. One approach is to use parts or "scoops" of a given component. For the example mixture here, a very simple recipe could be: four scoops of six-inch-minus pit run with fines, plus one scoop of eight- to 15-inch rock.

Problems have arisen where the engineer does not examine the material at the pit. A specification such as "pit run" can describe materials with very different compositions, which, until someone actually looks at the material, may or may not meet the intent of the designer. The less a project is overseen by a qualified engineer, the more detailed the culvert fill-material specification must be.

Unless the pit supplying the materials can specifically state the composition of a given pile based on a grading test, it is often difficult to determine its composition and, therefore, its role in forming a given gradation. A simple method of doing so is to measure both the largest and smallest particles present, and gauge by eye the distribution of sizes in between. This assessment of distribution is just to determine whether the pile is well-graded or not. For instance, a pile composed solely of coarse gravel and sand is gap graded, missing the critical, intermediate-size classes that need to be present in streambed material.

The result of this "high/low" size assessment is a bracket that can be fit into the desired distribution. A far more complicated, time-consuming and probably unnecessary method is to sample the pile and count and measure all the particles in the sample or do a sieve analysis according to a standard method. For the experienced designer, the first method is probably adequate for specifying materials for stream simulation culverts.

By far the best method to specify streambed materials is to use the **WSDOT Streambed material specifications** reproduced at the end of this chapter.

Rounded material is typically used in stream simulation culverts. If one portion of the gradation is not available in rounded material, fractured rock is acceptable. In many areas gravel and cobble are available, but boulder-sized rock must be reduced from bedrock. Such a substitution is reasonable. Generally, stream simulation culvert fills composed exclusively of fractured rock are not in keeping with the principles of stream simulation since most streambeds are water rounded material which responds easily to changing hydraulic conditions. Where the adjacent stream runs through basalt bedrock and the particles are by nature angular, fractured rock can be used in the culvert. Where

the stream runs through marine deposits, such as those found in the Willapa Hills, with no streambed gravel or cobble of any size for many miles around, quarry rock sized for the slope and discharge can be used in the culvert. Quarry rock must be placed carefully to make sure that it is without voids and has a stream-like cross section and profile.

BED-MATERIAL PLACEMENT

Culvert fill material is loaded into the pipe with a small skid-steer "Bobcat® style" front-end loader, a small bulldozer, a gravel conveyor belt or a rail-mounted cart, or it is pushed into the culvert with a log manipulated by an excavator. This latter method, "muzzle-loading," is effective for small diameter and shorter structures. The 18-25 foot log is held by an excavator with a bucket thumb and is used to push piles of bed material into the culvert from both ends. Some hand labor is required to finish the appropriate contours. Four-sided concrete culverts composed of a 3-sided box with a bottom plate can be inverted so that the U faces up, the streambed can be formed inside and the fourth side placed on top and backfilled. These culvert-filling alternatives should be evaluated when choosing the culvert type since the level of difficulty and cost can change the cost/benefit computation.

In order to achieve stream simulation, fill materials must be arranged to mimic channel conditions. Avoid grid patterns or flat, paved beds made of the largest rocks. A low-flow channel and a high-flow bench on either side should be created in the culvert, ***Figure 3.7***. A step-pool profile generally occurs in the 3 to10 percent slope range (Montgomery and Buffington 1998). The spacing of steps is somewhat variable, but one to four channel widths with a maximum 0.8-foot drop between successive crests is recommended (Heiner 1991).

This type of channel ensures that stream energy is dissipated by large scale roughness and pool turbulence, creating better fish passage and more stable channels. Segregating a portion of the coarsest fraction into bands can encourage this pattern. Do not exceed 0.8 feet of drop between successive steps. The steepest channels (greater than 10-percent grade) are cascades with large roughness elements protruding into the channel, although cascades have been used in lower slope culverts with equal effectiveness.

The same material comprises the whole depth of fill. Stratification, such as placing spawning gravel over a boulder fill in a steep channel, is not appropriate. Gradations such as "streambed gravel" and "spawning gravel" in themselves are not recommended culvert fills. Such material is washed and highly permeable. These gradations could, however, be a component in the specification of a well-graded mix when combined with sand and fines.

Typically, the bed inside the stream simulation culvert is filled to 30 to 50 percent of the culvert rise. The reasons for so much material are:

- To raise the channel to the widest part of the pipe (for round or pipe arches);
- To create a deep, monolithic bed structure; and
- To allow for significant vertical bed adjustments without encountering the culvert bottom.

The following pages show a variety of stream simulation culvert beds for reference.

Figure 3.10

Site ID	3
Stream name	Coxit
Date constructed	2006
Culvert span, ft	24
Bed slope, ft/ft	0.10
D50, ft	0.33
D84, ft	0.75
D100, ft	3.6

This is a relatively new culvert on an eastern Washington headwater stream. The figure shows a cross section of a cascade type channel in a high slope culvert. The bed material is very coarse and somewhat angular, although it is in keeping with the prevailing channel conditions. During low flow conditions, as shown here, water remains on top of the coarse bed, which must be dense and nonporous. This is often a concern in creeks with very low summer flow. Proper gradation is necessary to prevent subsurface flow and avoid the fish passage barrier than can result.

Figure 3.11

Site ID	5
Stream name	Chopaka
Date constructed	2006
Culvert span, ft	12
Bed slope, ft/ft	0.09
D50, ft	0.13
D84, ft	0.52
D100, ft	2

This 2 year old culvert has a good, stream-like, cross sectional shape. This shape was clearly built at the time of construction. The bed is a steep cascade that has remained stable since construction. The bed material is well graded and this lower summer flow remains on the surface.

Figure 3.12

Site ID	6
Stream name	xtrib Woods Ck
Date constructed	2004
Culvert span, ft	14
Bed slope, ft/ft	0.05
D50, ft	0.38
D84, ft	0.79
D100, ft	2.1

Flow hugs the right wall (as seen in the photograph) for most of this 4 year old culvert's length. It spreads and crosses near the outlet. This is very common in culverts, where there are more defined bank or banks upstream and a more depositional, flatter, condition at the outlet. While not ideal, channels oriented along one culvert wall are acceptable, and in some ways preferable to uniformly flat cross sections. Bed materials are stream-like in character, rounded and well-graded.

Figure 3.13

Site ID	12
Stream name	Queets
Date constructed	2006
Culvert span, ft	9
Bed slope, ft/ft	0.05
D50, ft	0.21
D84, ft	0.83
D100, ft	1.7

In this culvert the bed is composed of a combination of rounded, naturally-occurring materials in the smaller size classes, and angular quarry rock in the largest size classes. In sufficient quantity, the rounded materials allow the bed to settle and react to changing conditions in a more natural way. The cross section is stream-like.

Figure 3.14

Site ID	15
Stream name	xtrib Nolan
Date constructed	2004
Culvert span, ft	12
Bed slope, ft/ft	0.05
D50, ft	0.26
D84, ft	0.67
D100, ft	2

The width of the culvert bed exactly conforms to **Equation 3.2** and it is countersunk 45% of its rise at the inlet, shown here. The bed material size and distribution closely conforms to that found in the adjacent channel. Culvert cross section is flatter than the previous figures. There is a defined low flow channel, but at higher flows it is shallow and wide.

Figure 3.15

Site ID	13
Stream name	Braden
Date constructed	2005
Culvert span, ft	12
Bed slope, ft/ft	0.02
D50, ft	0.23
D84, ft	0.55
D100, ft	1.4

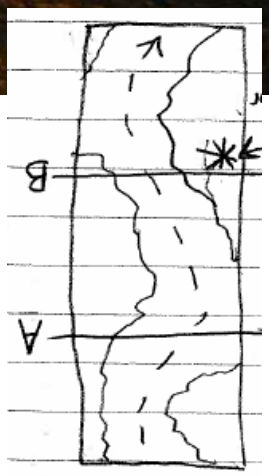
This culvert is very similar to Figure 3.14. They are both 12 foot culverts and have similar bed material to the stream channel. The bed is flat and oriented strongly to the left culvert wall. More careful attention to the placement of materials at the time of construction would have prevented this result.

Figure 3.16

Site ID	31
Stream name	Taylor Ck u/s
Date constructed	1999
Culvert span, ft	14
Bed slope, ft/ft	0.02
D50, ft	0.15
D84, ft	0.33
D100, ft	2.2

This is also an older culvert (9 years old in this photograph) and the bed has developed into this shape over that time. It runs along one wall of the culvert due to a skew at the inlet. The right bank acts more as a point bar and remains depositional. While flow along the side of the culvert is not desirable, it does provide a variety of hydraulic conditions across the width, ranging from high velocity and sediment transport to shallow, low velocity and turbulence along the right bank. This creek has an urbanized watershed and flashy flows.

Figure 3.17



Site ID	33
Stream name	Xtrib Puget Sound
Date constructed	1998
Culvert span, ft	12.3
Bed slope, ft/ft	0.03
D50, ft	0.14
D84, ft	0.56
D100, ft	1.7

This culvert is similar to Figure 3.16, although in a rural watershed. This also an older culvert (10 years old in this photograph) with a well developed bed. The low flow channel meanders through the culvert probably due to changes in the density of coarser sediment, see inset sketch from the field notes. This is either because flow has moved the larger particles into three distinct clumps or those clumps were placed during construction. This culvert has survived many high flow events and reflects prevailing channel conditions.

Figure 3.18

Site ID	41
Stream name	Dead Man Flat
Date constructed	1998
Culvert span, ft	14
Bed slope, ft/ft	0.06
D50, ft	0.27
D84, ft	0.67
D100, ft	2.9

This is another older culvert but the cross section has remained flat because it was constructed that way. The large sediment size and the way it was embedded have formed a very erosion-resistant bed. Fish passage is good and it is stable, but it is not very stream-like in character.

Figure 3.19

Site ID	47
Stream name	SF Dogfish
Date constructed	2007
Culvert span, ft	10
Bed slope, ft/ft	0.03
D50, ft	0.13
D84, ft	0.38
D100, ft	1.9

This is a recently constructed (1 year old) culvert. The bed has scoured down to expose the largest fraction. The bed has not had the time to organize into a more developed structure. Generally the cross section is flat and banks were not formed at the time of construction.

Figure 3.20

Site ID	48
Stream name	Parker Ck
Date constructed	1995
Culvert span, ft	24
Bed slope, ft/ft	0.04
D50, ft	0.27
D84, ft	0.73
D100, ft	3.5

This is the oldest culvert in this group (13 years old). It has been through many floods and vast quantity of bedload has passed through (the channel upstream has incised several feet). In terms of complexity and channel development, this is as much as can be expected in a stream simulation culvert. The bed is still somewhat flat, a condition that was undoubtedly determined during construction.

WSDOT STREAMBED MATERIAL SPECIFICATIONS

In cooperation with WDFW, WSDOT has developed a set of specifications for aggregate materials to be used in stream and culvert projects. These specifications meet the recommendations in this chapter and are printed here for convenient reference. 9-03.11 streambed aggregates are rounded materials. This requirement is for this specification only and is not required for all stream simulation culverts. Rounded rock does not occur in all streams and is not available in all regions of the state in every size class.

These specifications are used in combination to achieve a given size distribution. Low gradient channels of the pool-riffle type are generally composed of materials in the gravel size range. Such a channel may require a 2.5 inch minus well-graded gravel, described by 9-03.11(1) *streambed sediment*. This spec contains all the size classes in the proper proportion to create a dense mix and can be used alone. If larger sediment is required, the *streambed sediment* spec must be combined with cobbles or boulders in proportions that result in a dense mix, similar to either the synthetic ratios (***Equations 3.6-8***) or the Fuller-Thompson ***Equation 3.10***. One rule-of-thumb is that the void space of granular materials is about 30-40% of its volume. This means that you need to add 30 to 40% *streambed sediment* for every unit volume of cobbles. Similarly, the void space in a given volume of boulders is about one third and cobbles and streambed sediment must be added to fill those voids.

9-03.11 Streambed Aggregates

Streambed Aggregates shall be naturally occurring water rounded aggregates. Aggregates from quarries, ledge rock, and talus slopes are not acceptable for these applications. Streambed aggregates shall meet the following test requirements for quality:

Aggregate Property	Test Method	Requirement
Degradation Factor	WSDOT T 113	15 min.
Los Angeles Wear, 500 Rev.	AASHTO T 96	50% max.
Bulk Specific Gravity	AASHTO T 85	2.55 min.

9-03.11(1) Streambed Sediment

Streambed sediment shall meet the following requirements for grading when placed in hauling vehicles for delivery to the project or during manufacture and placement into temporary stockpile. The exact point of acceptance will be determined by the Engineer.

Sieve Size	Percent Passing
2 1/2" square	100
2" square	65 – 100
1" square	50 – 85
U.S. No. 4	26 – 44
U.S. No. 40	16 max.
U.S. No. 200	5.0 – 9.0

All percentages are by mass.

The portion of sediment retained on U.S. No. 4 sieve shall not contain more than 0.2 percent wood waste.

9-03.11(2) Streambed Cobbles

Streambed cobbles shall be clean, naturally occurring water rounded gravel material. Streambed cobbles shall have a well graded distribution of cobble sizes and conform to one or more of the following gradings as shown in the Plans:

Percent Passing					
Approximate Size Note 1	4″ Cobbles	6″ Cobbles	8″ Cobbles	10″ Cobbles	12″ Cobbles
12″					100
10″				100	70-90
8″			100	70-90	
6″		100	70-90		
5″		70-90			30-60.
4″	100			30-60.	
3″	70-90		30-60.		
2″		30-60.			
1½″	20-50				
¾″	10 max.	10 max.	10 max.	10 max.	10 max.

The grading of the cobbles shall be determined by the Engineer by visual inspection of the load before it is dumped into place, or, if so ordered by the Engineer, by dumping individual loads on a flat surface and sorting and measuring the individual rocks contained in the load.

9-03.11(3) Habitat Boulders

Habitat boulders shall be hard, sound and durable material, free from seams, cracks, and other defects tending to destroy its resistance to weather. Habitat boulder sizes are approximately as follows; see contract provision for sizes specified:

Rock Size	Approximate Size [Note 1]
One Man	12" - 18"
Two Man	18" - 28"
Three Man	28" - 36"
Four Man	36" - 48"
Five Man	48" - 54"
Six Man	54" - 60"

Note 1: Approximate size can be determined by taking the average dimension of the three axes of the rock; length, width, and thickness by use of the following calculation:

$$\frac{\text{Length} + \text{Width} + \text{Thickness}}{3} = \text{Approximate Size} \qquad \textbf{Equation 3.11}$$

By using the average dimension calculated in **Equation 3.11**, exceptionally thin or narrow boulders meet the specification but would weigh less, not perform in the stream channel in the same fashion as a more compact shape, and would be difficult to place. For instance, 36x36x12 meets the average dimension spec (28") for the largest 2-man rock, but it is a pancake with approximately 88% of the volume of a spheroid shape.

An alternative method for specifying habitat boulders would be to state the boulder size by the *b* axis (the intermediate dimension) as is commonly done when doing a pebble count or what is determined by sieve analysis. In addition, specify a minimum dimension which would exclude thin or narrow boulders (pancakes or pencils). An example would be: Three Man rock with an intermediate dimension of 28-36" *with no dimension less than 20."*

Bed-Retention Sills

Bed-retention sills are steel or concrete walls placed in the bottom of stream simulation culverts with the intended purpose of holding the bed material inside the pipe. In the early days of stream simulation culvert design, sills were thought to be necessary to interrupt the shear plane created between the bottom of the culvert and the fill material. Experience has shown that bed failure occurs not as a result of the fill "sliding" out of the culvert, but by the erosion of bed materials

inappropriately sized for the slope and design discharge of the culvert. Sills are not a desirable option since they provide a false security and, should the bed wash out of the culvert, create a "baffled" culvert filled with coarse sediment that would pose as much of a barrier as a bare culvert. In addition, the resulting structure would be difficult to rebuild. **We strongly discourage the use of sills and encourage the correct sizing of culvert fill materials using the methods outlined in this chapter and in other reliable engineering texts.**

CHAPTER 4: BRIDGE DESIGN GUIDELINES FOR HABITAT PROTECTION

This chapter was developed separately and at a different time from the rest of the *WATER CROSSING DESIGN GUIDELINES*. The Aquatic Habitat Guidelines would like to thank the many Washington State Departments of Fish and Wildlife, Ecology, Natural Resources, and Transportation staff, County Public Works staff, the timber industry, and others, for their honest and often critical feedback.

The Aquatic Habitat Guidelines would like to express gratitude to Mr. Jeff Johnson, Watershed Science and Engineering (then with Northwest Hydraulic Consultants, NHC) and Mr. Peter Brooks of NHC for volunteering time to help edit this chapter and for recommending modifications based upon their years of conducting hydraulic investigations for new and replacement bridge crossings within the state of Washington.

SUMMARY

- Only the provisions of the Washington Administrative Code concerning the design of bridges (WAC 220-110-070) are required in a Hydraulic Project Approval. The information in this chapter is non-binding and is intended to provide bridge owners and designers with reach assessment methods and design guidance for the protection of fish life.
- Any owner or bridge designer can use one of the many good design guidance documents mentioned in this chapter as an alternative to these guidelines, provided they identify impacts to fishlife and mitigate when they cannot avoid them. Specifically, federally funded projects may use AASHTO and FHWA guidelines.
- Reach analysis is recommended for design and habitat protection
    - Reach analysis describes the geomorphic setting for bridge design
    - Can be phased to suit design and funding process
    - Is scalable with 3 levels of analysis to suit the complexity and size of project
- Selection of bridge length is a stepwise process
    - Existing bridges with a good performance rating can be replaced in kind.
    - For confined channels, the distance between bridge abutments should be bank full width plus a safety factor determined by the designer
    - For unconfined channels with floodplain and overbank flow, the velocity in the main channel under the bridge should be close to the prevailing velocity in the main channel of the river .
    - Bridges should account for lateral channel movement (meandering) that will occur in their design life.
    - The bridge design must comply with legislation governing development within floodplains.

- Existing flood control levees often determine the lateral limits of the 100-year floodplain and therefore bridges may only need to span between levees. But, levees are sometimes set back to restore river processes.
- Other forms of non-project infrastructure that may affect location or design of the bridge include adjacent roads and railroads, road intersections, driveways, houses and businesses, and utility lines.
- Intermediate piers within OHW may be acceptable to increase overall span and reduce bridge girder depth.
- Tidally influenced bridge crossings are covered in ***Appendix D.***

- "Backwater is the increase in water surface elevation relative to the elevation occurring under natural[6] channel and floodplain conditions. It is induced by a bridge or other structure that obstructs the free flow of water in a channel."(Richardson and Davis 2001)
- General guidance for bridge clearance is that the bottom of the superstructure should be 3 feet above the 100 year flood water surface.

OBJECTIVES AND SCOPE

The purpose of this document is to make bridge designers aware of reach assessment methods and bridge design alternatives that recognize fluvial processes important for the preservation of fish life. Bridges pose a unique engineering and environmental challenge beyond those presented by most transportation projects. Each project will have multiple objectives and constraints; the key is to strike an appropriate balance between them. This document presents an approach that, if followed, will minimize impacts to fish habitat and lead to an enduring bridge design.

Any owner or bridge designer can use the many good design documents mentioned in this chapter as an alternative to these guidelines, provided they identify impacts to fishlife and mitigate when they cannot avoid them. Specifically, federally funded projects may use AASHTO and FHWA guidelines. *RIVER ENGINEERING FOR HIGHWAY ENCROACHMENTS, HIGHWAYS IN THE RIVER ENVIRONMENT*, (Richardson, Simons et al. 2001) is highly recommended and emphasizes understanding rivers and working with them. Other FHWA and AASHTO approved guidelines include HEC-20: Stream Stability at Highway Structures (2001), HEC-18: Evaluating Scour at Bridges (2001), HEC-23: Bridge Scour and Stream Instability Countermeasures (2001), and WSDOT Hydraulics Manual. Additionally, bridge designs should comply with the requirements of National Flood Insurance Program (44 CFR 60.3) and local ordinances.

These guidelines apply both to new bridges at virgin sites and to the replacement of existing crossings. They apply specifically to bridge projects, and are intended as a supplement to the general Aquatic Habitat Guidelines. They do not replace existing regulations addressing water crossings (WAC 220-110-070 and 220-110-080). These guidelines were written for the benefit of the bridge owner and designer, they are not to be required as regulation. For the purpose of these

[6] "Natural" includes manmade features in floodplain that are out of control of the owner and unlikely to change.

guidelines, a bridge is any crossing that has separate structural elements for the superstructure, piers and abutments, and foundations. In current design practice, the bridge normally has sloping "open-face" abutments that preserve a natural bank profile at high flows, but older bridges may have solid abutments. Some agencies treat certain 3-sided and bottomless structures as bridges, but for purposes of this document they are considered to be culverts.

General Considerations

Appropriately designed bridges should protect natural geomorphic and fluvial processes to preserve the environmental productive capacity of the stream. Specific goals of the design and construction process are to:

1. Prevent excessive backwater rise during floods that might lead to scour of the stream bed within the waterway or deposition of sediment upstream which may increase lateral shifting of the river channel and therefore require future bank armoring.

2. Prevent or limit local scour and coarsening of the stream substrate.

3. Allow free passage of woody debris expected to be encountered in order to reduce maintenance and distribute wood throughout the river.

4. To the extent compatible with safety of the bridge, its approach roads, and adjacent private property, allow natural evolution of the channel planform and longitudinal profile. Opportunity for reasonable and expected modifications to existing infrastructure, such as levee setbacks, should not be precluded.

5. Allow continued down-valley flow of water on the floodplain, thereby reducing flood height, providing flood refugia, and permitting side channel development and other riparian processes.

6. Reduce the risk from catastrophic floods: bridge failure affects habitat both when it occurs and in the various construction activities associated with replacement.

It is not expected that all items in this list can be applied to every bridge crossing. In many cases existing site constraints have reduced the natural level of productivity, as a result of man-made features not associated with the bridge project and not under the control of the owner of the crossing. However, acquisition of additional right-of-way is sometimes appropriate for long term infrastructure and resource protection.

Considering the site specific variability of bridges and their effects, there will never be 100% conclusive, causal connection between a given bridge design and its effects on fish and the environment. In addition, we cannot expect the full recovery of fish habitat in a given reach through bridge design alone. These guidelines are presented as a method to avoid or minimize bridge impacts in the design process.

The stream channel created or restored near and beneath the bridge should have a gradient, cross-section, and general configuration similar to the existing channel upstream and downstream of the

crossing, provided that the adjacent channel has not been modified in ways that deleteriously affect the stream processes that support fishlife, such as channelization. Floodplains adjacent to the channel also provide critical habitat for fish, therefore, impacts must be minimized. Spanning the entire width of the channel plus the floodplain is typically impractical, however, preserving natural function of the floodplain is important, therefore, the question of floodplain areas blocked or impeded by road approach embankments should be thoroughly considered. This is discussed in detail in ***Selection of Bridge Length***.

Although culverts can sometimes offer a high level of stream connectivity or continuity similar to that provided by a bridge, a bridge is generally preferred where the length exceeds 20 feet or the bankfull stream width exceeds 15 ft. Sometimes bridges are appropriate for even smaller streams if there is frequent transport of woody debris, anchor ice, or ice jams. For lengths exceeding 20 feet, the burden of proof is on the designer to show that a culvert can maintain stream processes, protect habitat and provide adequate fish passage. Also, in some cases road geometry may influence the decision to prefer a culvert, for reasons of safety or traffic flow.

GEOMORPHIC SETTING AND REACH ANALYSIS

GENERAL

Current guidelines on bridge hydraulics recommend that a design study should start with an analysis of river conditions in the vicinity of the site (Hamill 1999; Lagasse, Schall et al. 2001; Richardson, Simons et al. 2001; Lagasse, Spitz et al. 2004; Transportation Association of Canada 2004). The environmental requirements for fish passage and habitat protection stated herein can be adequately achieved only if the geomorphic context is understood. A detailed description of relevant design considerations is given in FHWA's *RIVER ENGINEERING FOR HIGHWAY ENCROACHMENTS, HIGHWAYS IN THE RIVER ENVIRONMENT*, Chapter 9 (Richardson, Simons et al. 2001). In addition, FHWA HEC 20, Stream Stability at Highway Structures, (Third Edition, March 2001) provides an equal if not higher level of analysis for the determination of the causes and mitigation of stream stability In many cases the method outlined therein can be substituted for the one proposed below, provided that the analysis maintains a focus on environmental issues.

An investigation into the geomorphic setting of the bridge is referred to in this document as a "reach analysis" – a term currently understood in various ways, and applied to many types of projects. This section aims to outline the scope and nature of the reach analysis recommended to protect fish and wildlife resources. Because reach analysis is an evolving field, different approaches are acceptable providing they demonstrate the potential impacts of the proposed project at an appropriate scale. Early communication between agencies, designers and owners is critical in the development of the reach analysis. In this way everyone knows what assessment is needed for environmental bridge design and misunderstandings are minimized.

Existing Aquatic Habitat Guidelines for project planning and implementation indicate that compensatory mitigation should offset immediate and future impacts on fish life and habitat (WAC 220-110-020(28)) (Cramer, Bates et al. 2002; Saldi-Caromile, Bates et al. 2003). If done correctly, a reach analysis should lead to a project that minimizes impacts to habitat and thereby reduces the need for off-site compensatory mitigation.

The process of reach analysis is adjustable to the size and complexity of the project. For example, a private forest landowner proposing to span a small entrenched stream that does not have an active floodplain may choose simply to use a professional expert to complete a qualitative analysis to describe the geomorphic setting and habitat impacts or lack thereof. On the other hand, a major crossing of a large lowland river will likely require a sophisticated reach analysis which may necessitate an iterative process between the bridge designer and the river specialist to develop an acceptable design. A reach analysis can be phased to suit the applicant's design process. For example, when first trying to site a crossing maybe a simple review of aerial photographs and a site visit is all that is needed to identify the most favorable crossing location. A scope can then be developed for a reach analysis that is suitable for that particular site. Several levels of reach analysis are discussed below.

Adding a geomorphic reach analysis to the bridge design process may increase upfront study costs; however, it is a necessary step that has generally been overlooked. In the long run, the benefits will outweigh the cost. Both the crossing owner and the native habitat will benefit by avoiding environmental deficiencies and infrastructure failures that may threaten habitat productivity or cost large sums to repair or maintain. By elevating environmental conservation to a primary objective in the bridge design process, the complexity of the crossing may increase which may add to construction costs. It is understood that bridge owners have limited budgets. Therefore, all stakeholders should work together to agree to a design that strikes a reasonable balance between often competing objectives. Nevertheless, designers must recognize the environmental conservation now is a critical element of design.

The reach analysis procedure should also include a historical perspective: the previous bridges, levees, development, logging, agriculture and other activities that have occurred at the site make up what it is, and how it fits into the environment and the final design.

In summary, understanding the geomorphic context is important for protecting fish life in any bridge project. There are a variety of methods and formats for this analysis and any one of these is acceptable provided that it clearly outlines the major stream processes that affect, or could be affected by, the proposed bridge design. This analysis should be scaled to the size and complexity of the project. The sequence of the assessment and analysis can be adjusted to suit the design process – there is no assumption that the entirety of the reach analysis be completed in the scoping phase of the process.

Levels of Reach Analysis

FHWA suggests a three-tiered approach to reach assessment: qualitative analysis, advanced quantitative analysis, and mathematical studies (Lagasse, Schall et al. 2001; Richardson, Simons et al. 2001). An alternative approach is suggested below in Table 1 wherein each level of analysis is based on a few readily measured attributes of the project. Each column describes an attribute independent of the others. Final determination of the required level of analysis for a particular project should be based on joint consideration of all categories.

Table 4.1: Suggested levels of reach analysis for different attributes

Level	Analysis Type	Bankfull Width, ft	Floodplain Utilization Ratio	Stream Type	*Bridge Performance	Meander Migration
1	Limited local assessment	<15	<3	Transport	Excellent	Stable
2	Qualitative reach assess.	<15	<3	Transport	Good	Stable
3	Quantitative reach assess.	>15	>3	Response	Good to Poor	Migrating

* This column applies only to bridge replacement projects and does not apply to culverts.

The column headings are explained as follows:

Bankfull Width refers to the horizontal distance from the break between channel and floodplain on one side of the channel to the other side of the channel. In streams where there is no floodplain, it is the width of a stream or river at the dominant channel forming flow with a recurrence interval in the 1 to 2 year range. . Techniques to determine the top width are presented in ***Appendix C***. A width of 15 feet has been selected to distinguish the different analysis types because smaller channels often meander less as they have lower shear stress, stream power, carry smaller debris loads and therefore may be simpler to evaluate (Richardson, Simons et al. 2001).

Floodplain Utilization Ratio (FUR) refers to **t**he width of the floodplain relative to the main channel. This can quantified by the floodplain utilization ratio, which is defined here as the flood-prone (FPW) width divided by the bankfull width (BFW) . (The Floodplain Utilization Ratio is referred to as the "entrenchment ratio", ER, in several publications). As a rule-of-thumb, flood-prone width is defined here as the water surface width at a height above the bed of twice the bankfull depth(Rosgen 1996). However, if a hydraulic model has been developed for the project, the flood-prone width should be obtained from the model output for a 50-year to 100-year flood. **Figure 1** below illustrates two different floodplain utilization ratios. High floodplain utilization ratios are associated with streams that tend to be shallow and have alluvial valleys that allow streams to meander. Streams with low floodplain utilization ratios are associated with relatively narrow floodplains and channels that are incised and are slow to migrate (Rosgen 1994). A floodplain utilization ratio of 1 indicates that the stream channel is deeply incised such that major floods are typically contained within the channel.

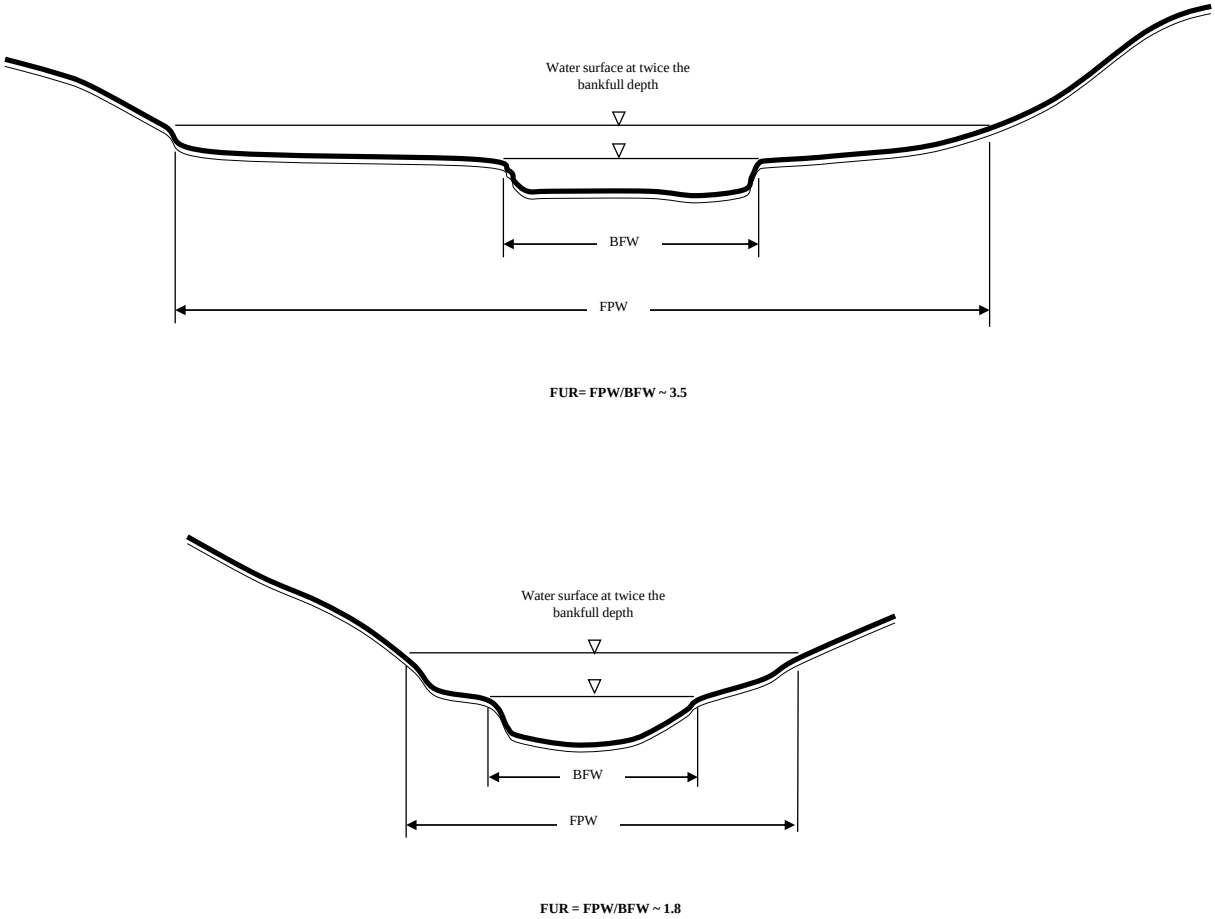


Figure 4.1: Flood-prone width and Bank-full widths for a broad floodplain and a narrow floodplain.

Stream Type refers to the Montgomery-Buffington (Montgomery and Buffington 1998) classification where the designation ***transport*** refers to morphologically resilient, supply-limited reaches, and ***response*** refers to transport-limited reaches where channels adjust frequently to changes in sediment supply. A transport reach typically has a higher gradient, a fairly resilient cross section shape, and relatively stable planform alignment. Examples would include a boulder cascade or bedrock lined reach. A response reach typically has a lower gradient and the cross section shape and planform alignment are less stable. Examples would include a pool-riffle system or braided channel. In these systems stream banks tend to erode, bars scour and build, channels avulse, log jams form and break up during large floods. Less effort is required to complete a reach analysis in transport reach than in a response reach.

Bridge performance, in the case of replacement projects, refers to the history of the previous bridge and its effect on, or interaction with, the channel (scour, bank erosion, frequency of maintenance, bridge failure, etc.). See ***Section 4.1*** below for further details.

Meander migration refers to the intensity of either lateral or translational channel migration. The classification system of Lagasse *et al.* is simplified here to separate channels into: (1) ***stable*** – those with banks sufficiently stable to generally resist peak stream power over the life of the bridge; (2) ***migrating*** - those subject to noticeable meandering or shifting under relatively frequent flows; and (3) ***avulsion risk*** **–** those prone to avulsions and chute cutoffs with major changes in channel geometry (Lagasse, Spitz et al. 2004).

The simplest case for use of ***Table 4.1*** is where all site attributes point to the same level of assessment. For instance, in the case of a small, entrenched, stable channel in a transport reach where an existing bridge has had no scour problems and no significant effect on the channel, only a limited local assessment (Level 1) may be required. Cases with mixed attributes are more difficult to interpret: a full reach analysis (Level 3) is probably advisable for a large, non-entrenched meandering stream even if the existing bridge has a good performance history. For sites with mixed attributes, the recommended approach is to apply the higher level of analysis.

Sites where bridges are to replace culverts often have serious sediment and profile adjustment problems and should be analyzed in a more comprehensive manner using **Level 2** or **3,** even though they otherwise qualify for this **Level 1**. Typically, the channel downstream of undersized culverts has lowered (due to incision or scour) and the culvert now acts as a nick point in the profile. Sediment often accumulates above these culverts as well. Removing the culvert removes the grade control and causes stream regrade and the complex series of events that creates.

The three levels of assessment in ***Table 1*** are described in greater detail below.

Level 1, Limited local assessment can be used where the performance of an existing bridge has been excellent (see ***Section 4.1 Bridge condition and history***) and all parties agree that replacement of the bridge with a similar structure would not adversely impact the stream. **Level 1** is not to be applied to new crossings at virgin sites

A **Level 1** assessment should at least include a pre- and post-project description and drawings of the site to indicate the proposed changes for planning, design and permitting purposes. Relevant natural and infrastructure features should be included. As understood, the proposed bridge will have minor interaction with the stream and extensive topography and assessment is unnecessary.

Level 2, Qualitative reach assessment relies on the technical expertise and judgment of the design team but considers the crossing in a reach context. The project is low risk and the reach is easily understood. All the reach characteristics listed in ***Section 3.2*** below should be considered, but field measurements are limited to simple instruments and relatively few data points. If a numerical model is developed to assist with the hydraulic design of the bridge, the results should be utilized to aid in the assessment. . A typical **Level 2** assessment would include a basic description of the reach which includes the bridge and the factors that will influence its design and the impacts on stream morphology and habitat.

An abbreviated example of this level of assessment is given here.

The channel pattern of Noname Creek is relatively straight with little lateral migration due to bank materials of cemented glacial till; the longitudinal profile has a moderate gradient, 1%, with no significant grade breaks or nick points; the elevation of the high water marks are 4 feet above the bed and the width of the channel at this water surface is about 29 feet. Bankfull width is 18 feet. Sediment supply appears to be limited and the channel is in equilibrium under current conditions. Potential debris loading is moderate to high due to the mature riparian and bank erosion further upstream. This channel is incised and expected flood flows will remain within the banks. Bridge design should begin by spanning the channel from bank to bank to maintain adequate flood capacity and clearance. Considering the lateral stability little toe or abutment armor will be necessary.

Level 3, Quantitative reach assessment implies sufficient data collection and analysis to define the geomorphic and habitat character of the reach. All the reach characteristics listed in ***Section 3.2*** below should be considered and detailed data collection is required. This should include a comprehensive field inspection and survey, including cross sections and a longitudinal profile, analysis of surficial geology, aerial photos, satellite imagery and/or topographic ground surface mapping. It should also consider flood frequency and magnitude and should include detailed one- or two-dimensional numerical hydraulic modeling.

The approach and results of the geomorphic investigation should be included in the final bridge hydraulic report (see ***Section 6. Documentation***).

Reach Characteristics

Reach characteristics and features that should normally be considered during the geomorphic investigation are listed below and described in the paragraphs to follow. Each site will be unique so that the designer will have to decide which features need to be considered. In the past, designers have focused mainly on the performance of the main stream channel and its ability to convey flood flows; however, floodplain function is critical and cannot be ignored. Therefore, the list is divided into two parts.

Channel Features

- Channel pattern type; straight, regular meandering, anabranch, braided, etc.
- Channel planform migration; lateral and translational
- Longitudinal profile; the elevation of the bed, water surface and banks
- Types of channel bank and bed material
- Sediment supply and transport
- Potential debris loading
- Bankfull width

Floodplain Features

- Floodplain width
- Floodplain down-valley flow conveyance
- Remnant Slough and Side Channel Presence and Conductivity
- Avulsion potential
- Extent and types of vegetation
- Presence of flood control levees and transverse embankments
- Extent and nature of floodplain use and development

CHANNEL FEATURE DESCRIPTIONS

Channel Pattern Type

Common channel types, associated features, and typical stability problems are listed in Table 2.

Table 4.2: Some Stream Channel Types and Their Characteristic Stability Problems (modified from (U. S. Army Corps of Engineers 1994))

Channel Type	Typical Features	Stability Problems
Mountain torrents	Steep slopes Boulders Drops and chutes	Bed scour and degradation Potential for debris flows
Alluvial fans	Multiple channels Coarse deposits	Sudden channel shifts Deposition Degradation
Braided rivers	Interlacing channels Coarse sediments (usually) High bedload	Frequent shifts of main channel Scour and deposition
Meandering rivers	Alternating bends Flat slopes Wide floodplains	Bank erosion Meander migration Scour and deposition
Modified streams	Previously channelized Altered base levels	Meander development Degradation and aggradation Bank erosion
Regulated rivers	Upstream reservoirs Irrigation diversions	Reduced activity Degradation below dams Lowered base level for tributaries Aggradation at tributary mouths
Deltas	Multiple channels Fine deposits	Channel shifts Deposition and extension

Underfit streams	Sinuous planform Low slope or glacial remnants	Meander migration Stable channels
Cohesive channels	Irregular or unusual planform	Variable

Channel Planform Migration

Migrating channels pose one of the most challenging engineering problems for bridge designers. Providing adequate width to allow channel migration can increase initial construction costs dramatically. However, installing a bridge that is too short may require future erosion protection or flow guidance structures that may not only be expensive but also have unacceptable impacts on habitat. Investigation of meander migration and associated lateral channel shifting at the site requires consideration of a reach extending for a distance of 10 or more channel widths upstream and downstream of the crossing site. It usually involves examining a historical sequence of aerial photographs and topographic terrain data, or satellite imagery in the case of larger rivers (Lagasse, Spitz et al. 2004). Migration rates are loosely correlated with drainage basin size, so this issue frequently is more of a concern on larger rivers than smaller streams (Lawler, Thorne et al. 1997).

Longitudinal Profile

The longitudinal profile reveals important aspects of the river's current and future stability. A figure should be created that shows the elevation and slope of the river bed and current water surface. Preferably this would represent average bed elevations, but may show the river thalweg (locus of deepest points along the channel). It may also include top-of-bank profiles to show changing levels of incision. These should extend a sufficient distance upstream and downstream to define the channel slope and to reveal features that may indicate instability such as natural migrating nick points, or stability such as bedrock weirs. The bed profiles should also be compared to historical profiles to determine if it is stable or is/has adjusted to natural or external influences.

Channel Bank and Bed Material Type

The soils that compose the bed and banks of channel strongly influence its size, shape, and behavior. For instance, non-cohesive un-vegetated fine grained soils are typically highly erodible and often promote rapid and significant planform changes, while a stream flowing through consolidated glacial till may be slow to change (Lawler, Thorne et al. 1997). At a minimum, bed and bank soil properties should be examined to determine if they present unique characteristics that will influence the long-term stability of the channel.

Sediment Supply and Transport

Some understanding of channel equilibrium and of sediment supply and transport is important. A channel in equilibrium or "regime" is one which all or most of the bed sediment supplied to the reach is transported through; it is neither aggrading or degrading over the long term, although there may be cyclical changes in bed elevations related to the sequencing of bed-mobilizing discharges. Long-term disequilibrium, on the other hand, is a progressive condition where bed and water-surface elevations are rising or falling due to externally imposed changes in sediment supply, downstream water-level controls, or geologic and climatic factors.

When a new bridge replaces an undersized bridge or culvert, the upstream channel has often aggraded and will then scour down to a new equilibrium slope. The duration of and response to such a temporary disequilibrium may be difficult to predict. This is discussed briefly below and covered more completely in ***Chapter 7: Profile Adjustment***.

Potential Debris Loading

There are two levels of debris expected at a given site. First is the loading from standard recurrence interval storms that produce the debris associated with regular maintenance activities. Second are the occasional external disturbances such as debris flows, fires, landslides, earthquakes and extreme floods can have a powerful effect on channel morphology and evolution. The general standard of designing for a statistically derived 100-year flood estimate covers the first category, but does not inform designs that accommodate the second. The channel valley often shows evidence of both types of events and its response to them. The longer the expected life of the structure, the more likely it is to experience a disturbance event.

The most common practice used is a visual assessment of existing large woody material that was transported by the stream in previous flood events. Often, a site assessment up and downstream from the project site reveals wrack and debris mobilized during past flood events. Photos, permits for past debris removal, and maintenance records from past flood events are valuable in assessing the size and type of large woody material or ice likely to be encountered at a project site.

Crossing sites with notable higher risk to debris flows or landslides need special consideration. Feasible alternatives to bridges in such situations include engineered fords, temporary bridges, bridges with high clearance, and/or re-location of the crossing.

FLOODPLAIN FEATURE DESCRIPTIONS

The floodplain can be defined in a variety of ways. Generally, it is a relatively flat length of land adjacent to the stream that is flooded during high water (Leopold, Wolman et al. 1964). Floodplain features can provide valuable habitat for fish and therefore, the impact a crossing may have on the floodplain should be carefully considered. Floodplain characteristics and features that should be considered when designing a crossing are described below.

These characteristics should be examined along a reach that extends 10 to 20 channel widths upstream and 10 to 20 channel widths downstream of the bridge, but not all of this length need be examined with equal intensity. A length within 5 to 10 channel widths of the site should normally examined in more detail. In large dynamic rivers longer lengths may be required to investigate avulsion risk, meander migration rates, large-scale planform systems, and longitudinal profile discontinuities.

Floodplain Width

The lateral extent of the floodplain is often defined by the valley walls, but the active floodplain may or may not extend across an entire valley. For example, the active floodplain may be narrower due to the prior construction of dikes or elevated fills to support transportation features such as railroads; or the magnitude and frequency of channel forming flows may have been reduced by the

construction of flood control reservoirs in the upper watershed (Dunne and Leopold 1978). For bridge design, a good starting point is to estimate floodplain width by determining the flooding extent of a 50- or 100-year recurrence interval flood. Topographic maps, aerial photographs, vegetation surveys, etc. should then be reviewed to make sure the floodplain includes all functional habitat features such as remnant sloughs, back channels, and swales.

Floodplain Down-Valley Flow Conveyance

During floods, floodplains often convey a significant portion of the total flow. If road fills extend into a floodplain they may intercept or block the down-valley flow of water. This may alter flow patterns and change hydraulic conditions which may lead to scour and erosion within the bridge waterway, or to loss of function in remnant sloughs or swales. Sometimes it can be difficult to determine whether certain areas of the floodplain carry flow. In these areas field indicators can sometimes help. For example following a flood look for laid down grass, scarred trees, sediment embedded in tree moss, leaf litter and debris against fences or lodged in standing vegetation, or evidence of scour or sediment deposition in fields or swales.

Remnant Slough and Side Channel Presence and Conductivity

Remnant sloughs and side channels are valuable for many species of fish and other wildlife, as well as important hydraulic features in the floodplain. Identifying and mapping these, along with their connection at both ends to the river, is important. Every effort should be made to avoid impacting the surface or hyporheic continuity of flow into and through these features by the crossing project.

Avulsion Potential

The floodplain and channel should be examined to determine if an avulsion may occur during the life of the crossings. Avulsions can occur abruptly and are often initiated by the blockage of one channel by debris or sediment which redirects flow into a historical swale or slough, or the cutting through an exaggerated meander. This can activate floodplain areas formerly dormant, creating new hydraulic conditions at a bridge site. See *INTEGRATED STREAMBANK PROTECTION GUIDELINES* (I***SPG)*** for a more in-depth discussion of avulsion (Cramer, Bates et al. 2002)

Flood Refuge

When river flow rises during a flood, fish move out of the main channel and into lower velocity areas (Schwartz and Herricks 2005). Refuge areas are important for maintaining healthy fish populations (Benda, Miller et al. 2001). Floodplains provide important refuge areas, especially in areas of slow moving water such as within stands of timber or fallen woody debris, weedy sloughs, behind historical log jams, etc. It is very important to maintain easy access to these areas by fish.

Vegetation Extent and Types

Riparian vegetation is very important for both fish health and channel stability. Vegetation is a main source of primary production in a stream ecosystem, it slows water which creates refuge areas, and it strongly influences bank stability and therefore rates of meander migration. Vegetation communities should be identified by examining aerial photographs and conducting a field inspection. Every effort should be made to preserve and/or minimize impacts to productive existing vegetation.

Once the geomorphic characteristics of the reach are fully understood, the bridge design team can make informed decisions on alternative crossing configurations and their potential impacts or benefit on fish habitat protection and conservation. Bridge design considerations are discussed in the next chapter.

SELECTION OF BRIDGE LENGTH

Bridge length refers here to the total distance spanned by the bridge superstructure at right angles to the watercourse. (Where the crossing is significantly skewed, the length of the installed bridge is necessarily greater.)

Bridge length should be selected considering the bridge in its landscape context, which may vary from a natural rural valley to a highly developed urban setting. Hydrotechnical factors affecting the selection of bridge span or length are outlined in several excellent publications cited in the ***References*** (Hamill 1999; Richardson, Simons et al. 2001; Transportation Association of Canada 2004) and are not repeated here. This section is meant to complement these publications and discusses bridge length in the context of factors that need to be considered to preserve the environmental productive capacity of the stream and floodplain. This should be done by considering the items listed below and discussed in the sub-sections that follow:

1. Existing bridge condition and history (in case of replacement projects)
2. Confined channels
3. Floodplain and overbank flow
4. Lateral Channel Movement
5. Floodplain management
6. Flood Control Features
7. Infrastructure
8. Approach road elevation
9. Tidal influences: this topic is covered in ***Appendix D: Tidally Influenced Crossings***

EXISTING BRIDGE CONDITION AND HISTORY

Bridges being replaced for reasons of structural or traffic-capacity deficiencies, but which have a satisfactory performance history with respect to the river, may require little change in length or other design parameters to protect natural processes. On the other hand, re-assessment is required where a bridge is being replaced because of performance and/or environmental problems.

A bridge located elsewhere on the same river, with a good performance history and in a similar physical environment, may sometimes be used to indicate a suitable span for the proposed crossing. Although such a comparison is sometimes listed as a first consideration for design (Hamill 1999; Transportation Association of Canada 2004; McEnroe 2009), identical bridges may perform

differently at different locations on the same stream because of significantly different geomorphic and hydraulic conditions (Hamill 1999).

For the purposes of these guidelines, bridge sites with the following performance characteristics are considered to be acceptable and will preserve the environmental productive capacity of the stream and floodplain:

1. The bridge has not received regular or annual maintenance for debris removal, ice removal, or sediment accumulation.
2. Countermeasures have not been required for approach, abutment or pier scour.
3. The channel in the vicinity of the bridge has not scoured below prevailing pool depth or the sediment coarsened relative to undisturbed natural conditions.
4. Channel migration has not been interrupted as identified in a time series of aerial photographs.

Many of these characteristics can be easily identified during an inspection of the site or through the comparison of historical maps and aerial photographs. In addition, bridge maintenance inspection reports often provide valuable insight into the long-term performance history of a site. For example the Washington State Dept. of Transportation bridge inspection checklist includes categories on scour and erosion, waterway adequacy, debris and sediment accumulation, and countermeasure presence (Washington (State) Dept of Transportation 2009).

CONFINED CHANNELS

Where there is a confined or deeply entrenched single-thread channel without significant overbank areas, the minimum bridge span is the bankfull width as defined in Appendix C. At these sites most flood flows are contained within the banks, and restricting the span to the bankfull width has no significant adverse effect on the stream. However, the dimensions of alluvial channels may evolve within the life span of the crossing in response to changes in channel forming flows, sediment transport and deposition, large woody debris loading, and other factors (Werritty 1997; Montgomery and Buffington 1998). A channel may narrow or widen during the life of the crossing. For example it may gradually narrow during long periods of relatively low flood discharges as vegetation grows and restricts the channel (Anderson, Bledsoe et al. 2004). However, it may widen again during periods of larger and more frequent floods. A second example that may cause an entrenched channel to widen is down cutting of the stream's longitudinal profile. If the bed of the stream is degrading, the toe of the banks will gradually erode and in turn the banks will collapse and the top width to expand (Schumm, Harvey et al. 1984). This second example highlights the importance of conducting at least a minimal geomorphic analysis to ensure that potential future channel changes are considered and appropriately accounted for in the selection of the bridge length. Due to such uncertainties, it is suggested that the bridge span in such streams should be increased. This increase, or factor of safety, is intended to compensate for changing geomorphology, chance events, error in measurements and error in modeling hydraulics and hydrology. The magnitude of this factor should be determined by the designer based on their assessment of the situation.

Figure 4.2 illustrates the relationship between bankfull width and the bridge structure for confined channels. This figure shows a bridge founded on spread footings and the abutment protection required to protect them. Other foundation and abutment protection methods are possible, and preferred, but the width required between them remains the same.

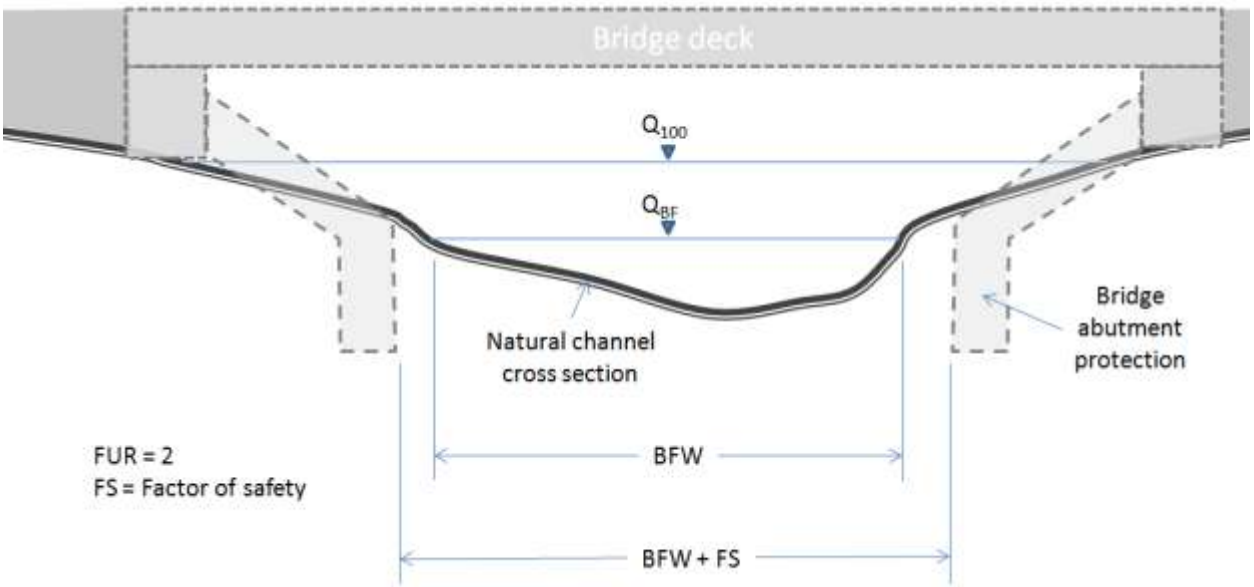


Figure 4.2: Bridge cross section over a confined channel showing the relationship between the bankfull width and the recommended width between abutment protection. The factor of safety is determined by the designer. The bridge may also be founded on piling or drilled shafts and the scour risk would be eliminated.

Floodplain and Overbank Areas

For streams where floodplain areas provide significant flow capacity and habitat, overbank flow should be taken into account in the hydraulic design of the bridge (Transportation Association of Canada 2004). From an environmental point of view, ideally the entire floodplain width would be bridged, but this is seldom feasible for economic reasons. A careful analysis is then required to select an acceptable main bridge length, possibly supplemented with relief bridges or culverts through the approach embankments, to ensure fish passage and habitat protection.

Key hydraulic and environmental functions of floodplains are as follows:

1. They provide cross-sectional area over and above the bankfull channel to absorb excess flood flows, sediment, ice and large woody debris (Benda, Miller et al. 2001).

2. They provide a wide area within which channel shifting and meander migration take place. Evidence of past shifts is often seen in the form of oxbows, meander scrolls, and sloughs that indicate past channel locations (Leopold, Wolman et al. 1964).

3. Features such as side channels, meander scroll depressions, and backwater deposits create complexity and habitat for fish and wildlife (Beechie and Sibley 1997).

4. Riparian and wetland vegetation often depend on the floodplain and floodplain processes (Naiman, Fetherston et al. 1998).

5. Floodplains provide shelter areas where fish and wildlife can seek refuge to avoid high velocities during flood events (Cederholm and Scarlett 1981; Schwartz and Herricks 2005).

Selection of a bridge span or spans must balance the engineering and environmental requirements of the crossing in order to avoid or reduce impacts to these floodplain functions.

Some floodplains may not be active in down-valley transport of water, debris and sediment, and may not exhibit features created by channel shifting. Such inactive floodplains often serve as important storage areas that are inundated seasonally. Encroachment into floodplains of this type may not have significant effects on the hydraulics and morphology of the river, provided that lateral channel movement over the life of the bridge does not significantly cut into the floodplain. On the other hand, blockage of such floodplains by bridge approach embankments may still affect riparian vegetation, wetlands, or other habitats.

Whenever blockage of floodplain areas is contemplated, it should be demonstrated that this will not impact backwater rise, stream morphology and processes, and fish habitat. Considering the permanent nature of such impacts, avoidance rather than mitigation is the preferred option. In practice, however, some degree of floodplain blockage or encroachment may be acceptable if the impacts can be shown to be minor and easily mitigated. Several steps and considerations relevant to determining acceptable encroachment are as follows:

1. Using information from the reach analysis, the river corridor in the vicinity of the bridge should be examined to determine the presence and continuity of floodplain flow over a range of overbank discharge conditions. If major channel-like features, such as oxbows, sloughs, or tributary streams are present they should be spanned either by the main bridge or by additional relief spans, road dips, and/or culverts. (Caution is advised however, as isolated floodplain relief bridges are often susceptible to deep scour because floodplain soils are typically fine grained and inflows from the floodplain are devoid of bed sediment and often such relief structures are prone to debris occlusion.)

2. Where significant floodplain flow is prevented because of blockage by natural features such as bedrock outcrops or other topographic features, even major floodplain encroachments may not impact significantly on flood discharge capacity and environmental functions. As in the case of confined channels (***Section 4.2*** above), however, the bridge span should be made greater than the current bankfull width to allow for future morphologic changes. Where floodplain flow is prevented by manmade features such as nearby crossings, dikes or other infrastructure, special consideration is required. This is discussed in the ***Floodplain Management and Infrastructure*** section below.

3. In cases where the floodplain does transport water down the valley, relatively simple velocity calculations can be used to estimate the size of the bridge span required to minimize impacts to habitat. One of the primary engines of change in a river channel is velocity. If the velocity increases significantly, scour and erosion may occur which can

adversely impact habitat in multiple ways. For example it may coarsen the bed substrate which could harm spawning beds and erode the stream banks requiring the installation of future bank protection countermeasures. By comparing the velocity in the main channel under the proposed bridge with the velocity in the channel in good condition[7], one can gain insight into whether the proposed crossing is likely to cause scour and/or erosion. The velocity ratio is V_B/V_N, where V_B in the average velocity in the main channel of the proposed bridge waterway and V_N is average velocity within the unobstructed river channel. Velocity should be calculated for a major flood such as a 100-year event, but can be computed based upon the elevation of clearly defined high water marks so long as they represent a justifiable design event. Velocity ratios close to 1 will likely have minimal impacts to fish habitat. Bridge designs that result in high velocity ratios should be reevaluated to reduce impacts. High velocity ratios do not necessarily mean the proposed bridge is unacceptable, but rather there is cause for concern and additional analysis are needed.

Figure 4.3 below is presented to help illustrate how to compute V_N and V_B. The top figure, **A**, shows the unobstructed natural cross section broken into the overbank areas and the main channel. The bottom figure, **B**, is the bridge cross section, also broken into overbank and channel areas. The velocity ratio is the average velocity in *Section 5,* V_B, divided by the average velocity in *Section 2,* V_N .

The velocity computation can be done using simple Manning's equation methods, so long as relatively simple gradually varied flow conditions are maintained (see *OPEN CHANNEL HYDRAULICS* (Chow 1959)). Manning's "n" values which are used in the equation to represent headloss due to friction can be determined from a variety of resources including Chow, or *ROUGHNESS CHARACTERISTICS OF NATURAL CHANNELS (BARNES 1967)*, or *ROUGHNESS CHARACTERISTICS OF NEW ZEALAND RIVERS* (Hicks and Mason 1998).

The preferred method, however, is to use a one- or two-dimensional model because these will adjust the velocity to reflect changes in discharge distribution across the bridge waterway and also will account for any rise in the upstream water surface elevation caused by the crossing. The more sophisticated the model, generally speaking, the closer it can approach real conditions. The most common model used today for bridge projects is the U.S. Army Corps of Engineers one-dimensional HEC-RAS water surface profile code (U.S. Army Corps of Engineers 2006). Two-dimensional models should be considered for sites with relatively complex hydraulic characteristics. Two-dimensional codes are becoming more common and easier to use, but just as with one-dimensional models should only be applied by those with considerable experience in their application.

This velocity ratio method is presented because it provides a means to estimate a bridge span or habitat protection that is relatively simple. However, it is NOT a substitute for a

[7] Good means channel conditions that have not been modified in ways that deleteriously affect the stream processes that support fishlife, such as channelization.

thorough hydrotechnical engineering evaluation to satisfy concerns about safety and to properly design bridge elements.

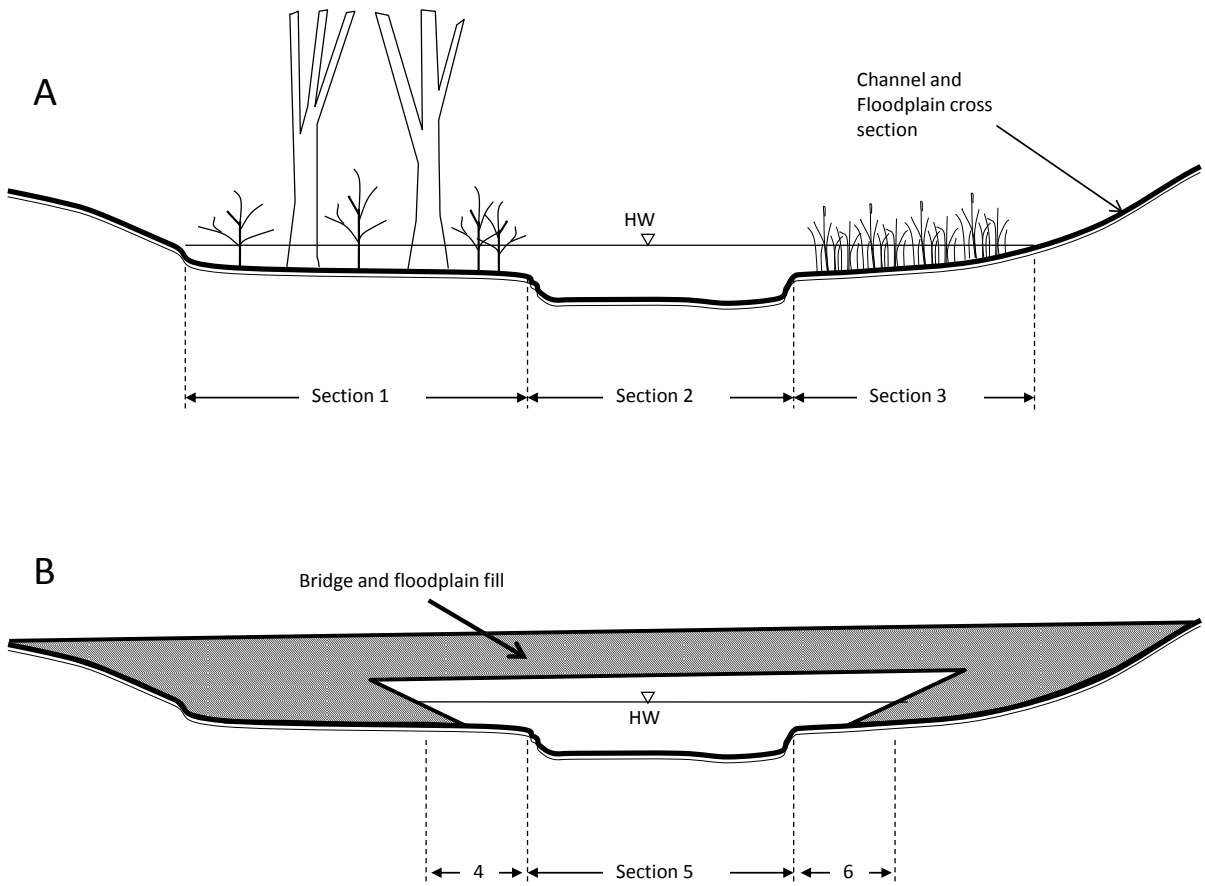


Figure 4.3: Cross sections of a typical floodplain river, A, divided into sections with differing roughness and hydraulic radius in the main channel and overbank areas, Section 2 is the main channel where V_N is calculated; and a cross section of a bridge, B, which spans the same river shown in A, similarly subdivided. Section 5 is where V_B is calculated.

4. If the designer finds that the velocity method above unsatisfactory, they can compute the span length required to satisfy the requirements of WAC 220-110-070(1)h. This requires the designer to demonstrate that the backwater caused by the bridge and all its components will not exceed 0.2 ft (see ***Section5.1 Hydraulic Requirements of WAC 220-110-070(1)(h)***). Special Note - Bridges that cross a stream that flows in supercritical mode during the design event may not show backwater rise, but still can cause significant scour and therefore adversely impact habitat (Hamill 1999). In these situations the design team should complete the appropriate hydraulic analyses to demonstrate that the crossing will not adversely impact habitat.

5. The use of floodplain relief bridges separated from the main bridge may raise difficult problems of hydraulics and scour, depending on the topography of the floodplain, the extent

of floodplain flows under design flood conditions, and the nature of the floodplain soils and vegetation. Their design should be done only by persons suitably qualified and experienced in open channel hydraulics and scour. Their use is recommended where there are defined channels within the floodplain that should be maintained for environmental reasons. In other cases they may be contemplated as a means of reducing backwater or assisting drainage of ponded floodplain areas after overbank flow events.

6. Multiple spans are acceptable to protect essential stream habitat, decrease structure depth, approach fill height, and to create a more economical design. When possible, place piers outside of the main channel to reduce maintenance and scour issues.

Lateral Channel Movement

Bank erosion at a crossing often is a consequence of past and continuing channel shifting that involves erosion of one bank combined with deposition of sediment on or near the opposite bank. A common geomorphic process contributing to the latter effects is systematic migration of meanders either down-valley or across the floodplain. Switching of channels in multi-channel or braided streams produces similar effects.

The preferred approach is to span the entire width of the geomorphically active floodplain or, as it is often referred, the active channel migration zone. This may not be practical for economic reasons, but encroaching into this zone can have significant impacts not only on the future health of the ecosystem, but also may lead to the installation of expensive and undesirable bank protection or channel stabilization features. Determining the width of this zone should be done only by persons suitably qualified and experienced in river processes and morphology. The Washington State Department of Ecology has published a useful guide titled *A Framework for Delineating Channel Migration Zones* (Rapp and Abbe 2003). Also the *Handbook for predicting stream meander migration* is good resource (Lagasse, Spitz et al. 2004).

Allowing a stream or river the freedom to adjust within its migration zone is important to environmental productivity. Tangible benefits for fish include:

1. The continuity of floodplain processes: interrupting meander migration has many off-site implications that jeopardize fish habitat; often leading to bank protection measures and an increased risk of avulsion, among other complications that require countermeasures.

2. Sediment recruitment to preserve natural channel stability through maintaining sediment transport and deposition equilibrium. Gravel recruitment is also key to maintaining productive downstream spawning. Recruitment of fine sediment which is deposited on and maintains the health of downstream floodplains

3. Riparian vegetation succession and LWD recruitment. These have many documented benefits such as increased channel complexity, refugia, and invertebrate populations (Florsheim, Mount et al. 2008)

At certain sites erosion countermeasures may be unavoidable. Every effort should be made to reduce their local impacts on fish life and habitat. This is best achieved through consultation and

cooperation between bridge designers and environmental agencies at the design and construction stages of the project, and whenever post-construction maintenance is required. Where the works cause local loss of habitat, provision of compensation habitat elsewhere may be required. The designer should utilize the *ISPG* (Cramer, Bates et al. 2002) to identify acceptable bank protection alternatives.

Failure of any bank protection or channel control work is likely to have significant impacts on habitat from emergency work or more aggressive countermeasures. It is therefore important for works to be designed and constructed to a high standard to work with fluvial processes at the site and avoid challenging hydraulic conditions expected during design flood conditions. This applies to both conventional treatments such as rock revetments as well as softer bio-engineered features that may include anchored LWD and vegetative treatments.

Floodplain Management Regulations

The bridge project must comply with legislation governing development within floodplains. Each jurisdiction will have its own set of unique requirements, but in Washington most must uphold minimum standards set by the Federal Emergency Management Agency's (FEMA) Flood Insurance Program, the State's Shoreline Management Act and Growth Management Act and local shoreline master programs and critical areas ordinances.

FEMA Region X, which monitors floodplain development activities within Washington, recently released a document entitled *Policy on Fish Enhancement Structures in the Floodway* (FEMA 2009). FEMA regulations require local communities to prohibit encroachments in a regulated floodway unless the proponent can demonstrate that structure will cause "No-Rise" in base flood elevations. FEMA recognizes that placing fish habitat structures within a floodway, or modifying existing structures to enhance habitat can result in a rise in base flood elevations. Therefore, they have crafted the said policy which will allow a rise under certain circumstances (see **Appendix E)**.

Flood Control Features

Existing flood control levees often determine the lateral limits of the 100-year floodplain and therefore bridges may only need to span between levees.

The potential for levee setbacks should be considered in the design of a replacement or new crossing if the local floodplain jurisdictional authority has an active levee setback program included in its Comprehensive Flood Hazard Management Plan (CFHMP) or Floodplain Ordinance.

Existing levees and road embankments can have a strong influence on a stream system and therefore environmental productivity. Unfortunately, sometimes a bridge designer or owner may have no authority to modify these features and therefore it may not be possible to size the bridge span using recommendations outlined in this guidance. However, acquisition of additional right-of-way may be justified to ensure that the bridge design meets expected performance standards. Several examples of such scenarios are discussed below.

a. Levees have been built on both sides of the stream and floodplain development extends to the landward toe of the levees. Since homes and businesses are dependent on the levees for flood protection, it reasonable to assume that they are permanent and that it will be impossible to set the levees back from the river's edge in the future. For this case the bridge need only span between levee crests.

b. Levees have constricted the stream, creating a scour condition that requires repeated bank protection measures and associated loss of habitat. In this case levee setback should be considered by the responsible agencies. The bridge should be designed to span the increased width. Levees may also lead to bed aggredation, which may be remedied by levee setback and bridges should be designed to accommodate this condition as well.

c. A levee with dependent infrastructure exists on only one side of the stream, with a non-leveed floodplain bench on the other side. The bridge should span the main channel and the bench.

Other Infrastructure

Other forms of non-project infrastructure that may affect location or design of the bridge project include adjacent roads and railroads, road intersections, driveways, houses and businesses, and utility lines. These are not owned or controlled by the bridge owner and therefore, it may or may not be possible to modify them as part of the bridge project. However, modifications to these facilities should not be dismissed out-of-hand. The designer may want assess the effects of these facilities on their bridge and explore ways in which they may be changed for the overall benefit of both parties and the protection of fish habitat. An alternative can then be chosen that includes modifications to these facilities as part of the crossing project or at a minimum provides allowances for modification that may occur in the future.

It is common to find undersized road or railroad crossings upstream or downstream of the project site. Ideally, the new crossing should be designed as if these undersized structures were not there, since they may be widened during the expected project life. In these cases the design must be evaluated by persons suitably qualified and experienced in river processes to ensure that the new crossing will not endanger the adjacent structure.

It is also common to find that channel has been "locked" in place or its lateral movement severely restricted by a series of independent bank armor revetments; often placed over a period of many years to halt lateral erosion. In some cases, levees have been in place for generations and substantial infrastructure has been built behind them. If there is no expectation that these levees would ever be moved, the designer would simply span between them. If, on the other hand, the armor was placed to support an undersized crossing or protect areas that no longer require it, then the designer may want to consider setting them back and increasing the bridge span. This would allow the river freedom to migrate, a desired action with regard to habitat. Within reason, the crossing should either be made wide enough to accommodate these potential future lateral movements, or the abutments should be designed to accommodate additional future spans. The abutments and floodplain piers should be designed to be safe from scour should the river channel eventually migrate and flow around them.

If reach assessment or hydraulic modeling shows impact to downstream land owners and infrastructure by following the recommendations in Section 4, the crossing owner may propose a replacement structure that reduces this liability.

Height of Bridge, Approach Roads and Intermediate Piers

Bridge designers should avoid placing piers within the river channel; however, in some situations it may be acceptable. For example, spanning an entire channel may require deep structural girders. Add to this freeboard requirements for floating debris, ice, or navigation, and the bridge deck may have to be elevated high above the floodplain. The bridge span may avoid impacts, but the approach fills at each end may cause significant damage to sensitive riparian and wetland habitats or the fills may extend laterally beyond the limits of the owners right-of-way. In this case multiple spans supported by intermediate piers should be considered to reduce bridge deck depth and therefore the height and extent of the approach fills. Every attempt should be made to place the intermediate piers landward of the OHW and out of the thalweg. If this cannot be avoided, the bridge owner should consult regulatory agencies and stakeholders to determine the best course of action.

Additional Requirements and Considerations

Hydraulic Requirements of WAC 220-110-070

WAC 220-110-070(1)(h) states that

> "abutments, piers, piling, sills, approach fills, etc., shall not constrict the flow so as to cause any appreciable increase (not to exceed 0.2 feet) in backwater elevation (calculated at the 100- year flood) or channel wide scour and shall be aligned to cause the least effect on the hydraulics of the water course."

"Backwater" is defined in the nationally recognized and often-cited FHWA documents *HEC 18*(Richardson and Davis 2001) and *HEC 20*(Lagasse, Schall et al. 2001) as

> "the increase in water surface elevation relative to the elevation occurring under natural[8] channel and floodplain conditions. It is induced by a bridge or other structure that obstructs the free flow of water in a channel."

The purpose of the quoted WAC 220-110-070(1)(h) clause is to avoid or at least limit any disturbing effect of the bridge on the hydraulics and morphology of the channel and therefore fish habitat. The apparent value of the 0.2-foot rise requirement in WAC rule is because it is a quantifiable measure, but it alone is insufficient to demonstrate that the crossing will not harm fish habitat. The preferred approach is to conduct a thorough analysis using the principals described in the preceding sections of this document, combined with demonstration of compliance with WAC 220-110-070.

[8] "Natural" includes manmade features in floodplain that are out of control of the owner and unlikely to change.

WAC 220-110-070 also requires that the bridge project "achieve no-net-loss of productive capacity of fish and shellfish habitat", implying that any such loss must be compensated by creating equivalent habitat elsewhere.

The backwater rise due to a proposed new bridge or bridge replacement is usually estimated by numerical modeling of the water surface profile corresponding to the design flood, over a distance equivalent to 10 channel widths or more both upstream and downstream of the site. The computed profile with the project – which includes the bridge structures and any associated river training and erosion protection works – is compared to the profile without the project (***Figure 3***). In a bridge replacement case, existing works associated with the bridge should not be included in the without-project analysis.

Specific site conditions can be complex with features that affect hydraulic conditions yet are not part of "the bridge" (see ***Sections 4.6 and 4.7*** above). In these situations judgment must be used and it is recommended that the modeler contact the WDFW permitting biologist early to obtain input on which existing man-made features should be included in the model In this way, the design team, those involved in the permitting process, as well as other stakeholders, can objectively assess the hydraulic impact of the proposed crossings on the reach. This will allow the team to make a reasoned decision on a crossing configuration that will minimize impacts to environmental productivity over the life of the bridge.

The numerical model generally must be calibrated for the hydraulic roughness of the channel boundaries, by matching it to a known or observed water surface profile corresponding to a known high flow. If the estimated backwater rise exceeds the specified 0.2 ft maximum, some modification of the bridge span and / or waterway may be required.

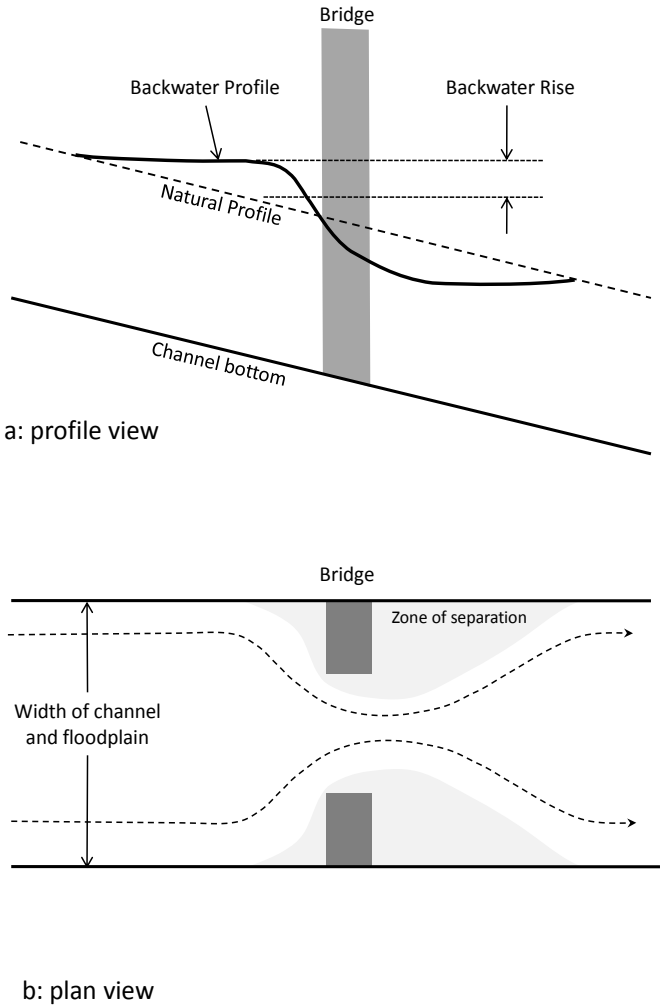


Figure 4.4: Illustration of bridge backwater, after (Hamill 1999). (a) Diagrammatic longitudinal profile of uniform flow at normal depth in the absence of the bridge structure with a superimposed surface profile that results from the bridge structure. (b) Plan view showing the contraction of the flow lines into the bridge opening and the formation of a zone of separation where water is of a lower velocity and often flowing counter to the main body of water.

The number of surveyed cross-sections required to establish a reliable numerical model of the channel and floodplain through the bridge reach depends on the nature of the stream and the variability of its geometry. An example layout for a fairly regular situation is shown in ***Figure 4.5***. Cross-sections should encompass the width of floodplain judged to carry significant overbank flows.

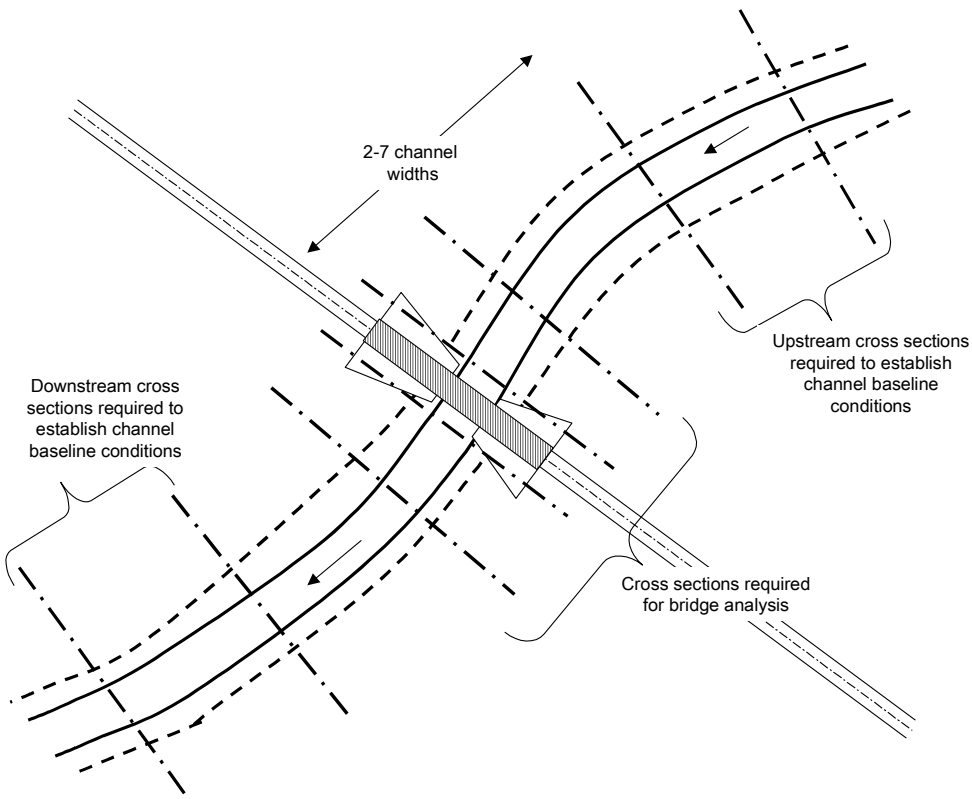


Figure 4.5: A suggested arrangement of channel cross sections to determine bridge backwater effects.

Various modeling programs or codes available for backwater analysis include the popular one-dimensional HEC-RAS by the US Army Corps of Engineers (Bonner and et. al. 2006), and other one-dimensional programs by USGS (Matthai 1967) and USBPR(Bradley 1978). Two-dimensional models are more common for difficult cases, and can provide greater accuracy if adequately designed and calibrated. Use of all computational models requires appropriate training and experience. Estimating water surface elevations using hand calculations is acceptable, but the use of computational models is preferred.

Note – the method described above is only intended to address impacts to fish habitat, it does not address bridge safety and performance. This will require a thorough hydraulic analysis such as is described in the *GUIDE TO BRIDGE HYDRAULICS* (Transportation Association of Canada 2004).

ENVIRONMENTAL ASPECTS OF BRIDGE FOUNDATIONS AND EROSION PROTECTION MEASURES

The type of bridge foundation selected depends on a number of structural, geotechnical, constructional and environmental considerations. Other things being equal, the type chosen should have the least impacts to habitat and involve the least construction-related disruption of the stream. For example, pile foundations or drilled shafts do not require scour protection and are preferred over spread footings requiring heavy riprap protection. In-channel piers should be

avoided, but if they cannot, they should be designed to reduce scour and limit debris accumulation. Bank protection should not be extended farther than necessary to satisfy expected erosional attack, and bioengineering techniques should be considered where possible in the light of the stream hydraulics. Encroachment of abutments or embankment end slopes into the bankfull channel is unacceptable.

Bridge Clearance

General guidance for bridge clearance is that the bottom of the superstructure should be 3 feet above the 100 year flood water surface. This is a widely used criterion and allows for uncertainty predicting water surface and the presence of floating debris and ice that may hang up on the bridge. This criterion should be used with caution since the size of the river influences the size of the debris carried. Generally, major rivers will need greater clearance and smaller rivers less. In some instances, the designer may increase the clearance or decrease the clearance as acceptable to the local or state roadway bridge design authority.

Bridge Replacements and Channel Modifications

When an old structure is replaced by a new one, the channel plan and profile are often modified. The old bridge may have had adverse effects on the natural channel or been protected with rock riprap placed in the channel. The new bridge designer may want to take advantage of the changing situation to reduce these adverse impacts on habitat. Rock or artificial materials used for past remedial measures should generally be removed and replaced by natural channel materials if hydraulic conditions allow.

When an undersized bridge or culvert is replaced with a bridge sized to accommodate fluvial processes important for fish life, some upstream channel instability may occur due to re-mobilization of sediment stored above the old structure or to channel incision below it. Consideration should be given to the likelihood of channel headcutting and regrading upstream and the impact it may have on existing in-stream structures, channel banks, and floodplain facilities.

When a new bridge is installed, all the components of the old bridge should be removed from the stream channel and floodplain to restore all stream processes. The majority of the impacts may be eliminated by removing those components within the ordinary high water marks or bankfull width. The practice of leaving old abutments and pier foundations in the channel is generally harmful and unnecessary, since even when cut off at the bed line, old footings can often be exposed to cause scour and catch debris. Old footings should be removed unless it can be shown that there are important safety, engineering, or ecological reasons for not doing so.

Bridge Rehabilitation

Owners of older bridges are often confronted with a decision either to rehabilitate a failing structure or replace it. This decision is relatively easy to make when the bridge is, for whatever reason, in poor condition or obsolete and replacement is a clear choice. It becomes more difficult when the repairs are relatively inexpensive but they maintain a facility that may have serious environmental impacts. This latter scenario often leads to conflicts between natural resource agencies and bridge owners.

Bridge rehabilitation should be evaluated as one alternative in a comprehensive planning document which addresses

1. Safety
2. Traffic flow and road geometry
3. Expected bridge life considering structural deficiencies and channel dynamics
4. One-time maintenance activities
5. Chronic maintenance activities evaluated over the remaining expected bridge life(such as, scour countermeasures and wood debris removal)
6. Environmental impacts
7. Annualized cost of replacement versus rehabilitation

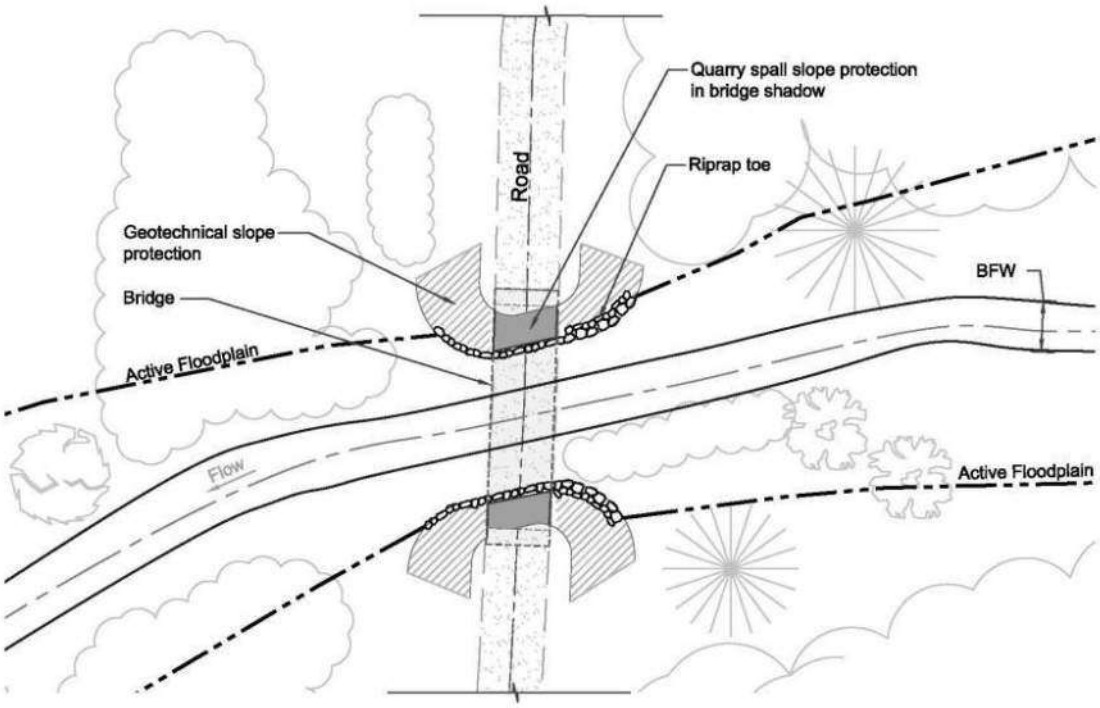
When evaluated over this broad range of factors, decisions concerning an older bridge can be made in a rational atmosphere and readily explained to funding and natural resource agencies. It benefits all parties if the bridge owner presents a comprehensive assessment rather than simply proposing the repairs.

Generally speaking, bridges with observable impacts (categorized by the 6 goals in ***General Considerations*** section) should be evaluated before proposing repairs that significantly increase their lifespan.

Miscellaneous Design and Construction Considerations

In most cases, a suitably designed bridge crossing should not require massive bank and abutment protection. Where possible, the use of heavy rock should be minimized, possibly with the use of geotechnical and bioengineering methods of slope stabilization. ***Figure 4.6*** shows a plan view of a hypothetical site that incorporates this approach with riprap toe protection, riprap abutment protection in the shadow of the bridge where vegetation-based techniques would not work for lack of sunlight, and geotechnical slope stabilization on the rest of the embankment. This said, every crossing may experience unique hydraulic conditions which will require erosion and scour protection countermeasures tailored to the site. Riprap placed above Q_{100} (or a suitable design flow) elevation does not require mitigation for in-stream functions unless the bridge span is inadequate to allow meander migration or the rock significantly affects riparian vegetation. The design of these features should be carried out by experienced engineering professionals.

Figure 4.6: A plan view of a bridge showing reinforcements to the road embankment.



All major bridge construction work must be isolated from flowing water. Proper practices should be followed for flow bypassing, sediment containment and fish removal. Contaminated water should be pumped out and filtered or disposed of without impacting on downstream habitat.

Sediment delivery from unpaved roads deteriorates stream water quality. References and standards for sediment handling are available from the forest industry, the U.S. Forest Service and Washington Department of Natural Resources. As general guidance, the bridge should be elevated above common flood flows, and curbs should be installed to prevent fine sediment from running off the deck into the stream. Ditches and frequent cross drains can prevent direct delivery of runoff to the channel. Ditchwater should be filtered before it enters the stream.

Bars may form in alluvial channels under some bridges. These do not necessarily reduce flow capacity since they are composed of mobile bedload and tend to wash out in large floods, so normally they need not be removed. Sometimes they become heavily vegetated with perennial plants like willows and become resistant to erosion. Such vegetated bars constitute important fish

habitat for fish and wildlife. Therefore, to preserve the productive capacity of the stream, the bridge owner should balance the need to restore flow capacity against the requirement to preserve habitat.

Potential habitat impacts of a project can occur throughout the life of the structure, which could be many decades. Regular monitoring and maintenance is generally necessary to ensure proper performance over time. Various maintenance issues should be addressed in the design, permitting and long-term care of the crossing. For any work that affects fish and fish habitat within the natural flow or bed of waters of the state, a Hydraulic Permit Application (HPA) must be submitted to and approved by WDFW.

DOCUMENTATION

A hydraulic design report is typically prepared at the end of an investigation to document the methods used and results determined. The level of reporting will vary with the size and complexity of the project and the needs of the client or bridge owner. Reports for simple sites may only require a short technical memorandum, while complex sites may require a detailed comprehensive report. A typical report will include the following:

- Documentation of existing site conditions observed during field inspection. This would include information on the performance of the existing crossing (replacement projects only), channel planform stability, scour, bed and bank material, debris, ice loading, size and volume of large woody material previously removed, existing revetments, highwater marks, adjacent man-made features, etc.
- Historical stream flows and flood discharge estimates
- Interpretation of historical aerial photographs and maps to document channel migration or other historic channel planform adjustments
- Historic bridge performance based upon a review of maintenance records (replacement projects only)
- Existing Hydraulic characteristics in the vicinity of the proposed crossing site (typically based upon computer modeling)
- Bridge dimensions and features – length, height, pier and abutment configuration etc.
- Hydraulic impacts of the proposed crossing and documentation it will meet the requirements of WAC 220-110-070(1)(h).
- Erosion and scour countermeasure recommendations

We now recommend that this report be expanded to include a section or chapter that describes the type of reach analysis that was completed, the conclusions that were drawn from the analysis, and how these conclusions have been addressed in the crossing design to enhance or preserve fish habitat.

CHAPTER 5: TEMPORARY CULVERT AND BRIDGE DESIGN

Crossings needed for only a short period of time are considered temporary culverts and bridges. They are typically used for one time resource extraction or construction access and remain in place for a season or longer when designed appropriately.

Temporary crossings, when assessed over the long-term, have the least effect on stream processes and fish habitat. There are short-term impacts associated with their construction and removal, but this can be minor when compared to the potential disruption caused by a permanent structure and associated maintenance. In this context, temporary crossings are preferred to permanent ones whenever possible because they do not result in long-term impacts to the stream.

As with permanent crossings, temporary crossings are generally divided between bridges and culverts by stream size. The line is somewhat fuzzier in that the domain of culverts may be extended to larger streams when they are installed for a short period of time.

Generally, temporary crossings are used during the low flow period of the year, although they can be used over a number of seasons to extract timber or provide temporary access. If a crossing is to remain in place for longer than July to September, it should be designed to provide additional functions as described below.

Temporary culverts should be designed and installed to:

- Minimize the disturbance to the bed and bank of the stream
- Safely pass the flows and debris expected during the time they will be in place
- Provide passage for fish migrating in the stream at that time

The simplest way to approach the design of a temporary culvert is to use the permanent crossing design recommendations as a starting point since these recommendations account for all the relevant design parameters: fish passage, high flow capacity, debris passage, etc. For smaller streams, say a three or four foot bankfull width, a no-slope design is all that's necessary. This size pipe is inexpensive and can be removed and reused easily. Larger streams can be efficiently crossed with much smaller pipes than would be required for permanent crossings and it is these that will benefit from a more detailed engineering analysis.

Temporary crossing must provide adequate fish passage for the species present. In low gradient streams this can easily be accomplished by placing the culvert at zero gradient and countersinking 1 foot or so (this offered as a nominal value). The reason for countersinking is to provide water depth in the culvert for fish passage, or to allow a bed to form in the pipe. If the stream is dry and there will be no fish migrating in the reach for the duration of the installation, then countersinking is not necessary and the pipe can be laid directly on the streambed.

When water and fish are present and the culvert must be at a slope greater than zero, the average velocity should not exceed 4 feet per second at the 10% exceedance flow (WAC 220-110-070, Table 1). A bare pipe must also maintain a minimum water depth of 0.8 ft, whereas a countersunk culvert

with bed material inside does not need to meet this requirement if the material in the culvert is sealed correctly and is non-porous.

The first design step is to determine expected flow conditions for the period the crossing is installed.

Design hydrology for fish passage can be developed from gage data for the months of installation, or estimated from ***Appendix G*** for ungaged streams. For culverts installed during the summer, the May fish passage design flow can be used. If values are considered too high for the situation, the designer can develop a more specific regression to determine the 10% exceedance flow using local gaged streams for the month(s) of installation.

For high-flow capacity, it has generally been found that complying with fish passage design (e.g. the no-slope method) usually yields a culvert size that accommodates the design flood (e.g. the 100 year recurrence interval event). If a temporary culvert is designed using the hydraulic method, then the design flood from available gage data for the months of installation needs to be determined and checked to see whether the proposed culvert will safely pass this flow.

The site chosen for the temporary crossing should have a low approach elevation, narrow channel and riparian width and located to minimize impacts to sensitive or valuable habitats.

The project should be designed and constructed to minimize disturbance to the bed and banks using native materials wherever possible. This includes rounded streambed aggregates similar in size and distribution to that found in the adjacent channel (see ***Chapter 3*** for a discussion of culvert-bed design and sediment specification). A recommended technique is to place a layer of geotextile fabric under the fill materials to easily restore the original ground surface after removal. The culvert should be installed in the dry, or in isolation from stream flow, and fish excluded from the work area (see ***Chapter 12***).

If the temporary crossing is only permitted for a brief period, under certain circumstances it may be possible to forego both the 100-year capacity and fish passage requirements. This can result in a smaller pipe size and significantly less channel disturbance. If the pipe is laid on top of mesh or fabric and covered with clean fill, then it can be removed with very little disturbance to the channel. The overall success of the project is increased if the proper location is chosen to limit impacts. Temporary culverts are best cited in straight reaches with low banks.

Since many of these sites are remote or inaccessible, it is recommended that photos be taken showing the site before the temporary installation, while it is installed, and after it has been removed. Natural resource agencies and managers can then see what has occurred without visiting the site.

Examples of temporary crossings are shown in the following figures.

Figure 8.1: Temporary culvert. Non-merchantable logs are used as fill around the culvert to make it easier to remove and to protect the culvert. Road fill is kept to a minimum and native soils are used.

Figure 8.2: Temporary culvert site after removal. All traces of the temporary fill are removed and straw is placed on the banks to decrease erosion. Wood and slash are added to improve habitat.

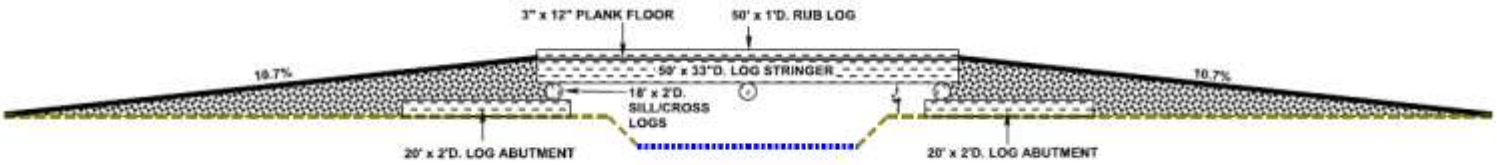


Figure 8.3: Cross section of temporary bridge used on a forest road (Greg Jones, Forest Pro, Inc). Components are sized to suit the loading requirements and bridge span.

CHAPTER 6: HYDRAULIC DESIGN OPTION

SUMMARY

- Hydraulic design option culverts have limited application in exceptional circumstances where constraints prevent the use of bridges, no-slope and stream simulation culverts. Hydraulic design techniques include:
    - Temporary culvert retrofits
    - Baffled culverts
    - Roughened channels
- Primary design criteria for hydraulic design option culverts is found in Washington Administrative Code 220-110-070:
    - Size and species of fish: passage of a 6 inch trout is the adult standard, juvenile passage may also be required
    - Velocity criteria is based on species, size and culvert length, as shown in Table 1 based on the 10% exceedance flow for the months of migration
        - Assume January for adult salmon
        - Assume May for trout
    - Minimum depth for culvert without sediment is 0.8 ft. at the 2-year 7-day low flow or the no flow condition
    - No minimum depth requirement for culverts with a bed
    - Maximum hydraulic drop 0.8 ft
- Energy Dissipation Factor (EDF) is an additional criterion applied to artificially roughened culverts using baffles or roughened channel techniques.
    - EDF is calculated at the 10% exceedance flow for months of migration
    - Maximum EDF for baffles is 5.0 ft-lb/ft^3/sec
    - Maximum EDF for roughened channel based on water surface slope, EDF < 250 x slope
- Baffles are used for exceptionally long or steep culverts, retrofits or when constraints limit culvert size
    - Maximum recommended slope for a baffled culvert is 3.5%
    - Headroom for maintenance minimum 5 feet, 6 feet for culverts in excess of 200 feet.
- Roughened channel design is based on
    - Average velocity at the 10% exceedance flow not to exceed Table 1
    - Bed stability during the 100-year recurrence interval flow event
    - Limiting turbulence to the EDF stated above
    - Bed porosity; in order for low flows to remain on the surface of the culvert bed and not percolate through a course permeable substrate, bed porosity must be minimized through the use of well-graded sediment mixes
    - As a compliance standard to insure adequate roughness, the average velocity should be measured in the completed project at a flow at or above the fish passage design flow. This velocity must be below the design velocity.

- Hydraulic design option culverts are considered fishways that should be inspected on a regular basis and maintained when they are no longer within design criteria

DESCRIPTION AND APPLICATION

The second culvert design option provided in WAC 220-110-070 (see ***Appendix B***: ***Washington Culvert Regulation***) is based on the swimming abilities of a target fish species and age class. As mentioned in the ***Introduction***, the Hydraulic Design Option has largely been superseded by culvert design based on geomorphology (***Chapters 1, 2*** and ***3***). Very early in the history of culvert design for fish passage we realized the shortcomings of a method tied to a single life stage of a specific species and the hydraulic performance of the structure, rather than the continuity of stream processes. The hydraulic design method now can be used in rare instances for the temporary retrofit of existing culverts (using baffles or backwater) as well as the design of replacement baffled or roughened channel culverts when the situation requires it and impacts are mitigated. Any of these applications require a working knowledge of open-channel flow and sediment transport, as well as a familiarity with the fish passage literature and practice. These are very sophisticated designs best left to an engineer thoroughly trained in fish passage.

It is now common to categorize Hydraulic Design Option culverts as "fishways" – specifically designed to pass fish. This specificity implies that other stream functions may be constrained and, in fact, most often are. The transport of large in-stream wood and normal sediment transport depend on a geomorphologically appropriate channel cross section, roughness and slope, and it is these aspects of the channel that the Hydraulic Design Option manipulates to provide fish passage under anomalous conditions. Contrary to expectations, the most difficult design criterion to accommodate in this type of culvert is the passage, not of fish, but of water-borne debris and sediment. As a result, fishways require inspection and maintenance to function properly and the owner must assume the responsibility to maintain them under State law (RCW 77.57.030). The requirements of an inspection and maintenance plan are discussed at the end of this chapter.

Proper culvert design must simultaneously consider the hydraulic effects of culvert size, slope, material and elevation to create depths, velocities, and a hydraulic profile suitable for fish swimming abilities. It must be understood that there are consequences to every assumption; adequate information allows optimum design. The following sequence of steps is suggested for the Hydraulic Design Option for fish passage through culverts:

1. Length of Culvert: Find the culvert length based on geometry of the road fill.
2. Fish-Passage Requirements: Determine target species, sizes, and swimming capabilities of fish requiring passage. Species and size of fish determine velocity criteria. Allowable maximum velocity depends upon species and length of culvert.
3. Hydrology: Determine the fish-passage design flows at which the fish-passage criteria must be satisfied.
4. Velocity, Depth and Turbulence: Find size, shape, roughness, and slope of culvert to satisfy velocity criteria. Verify that the flow is subcritical throughout the range of fish-

passage flows, that is provides adequate depth and that the energy dissipation criteria is met.

5. Channel-Backwater Depth: Determine the backwater elevation at the culvert outlet for fish passage at both low and high fish-passage design-flow conditions.
6. Culvert Elevation: Set the culvert elevation so the low and high flows for channel backwater are at least as high as the water surface in the culvert.
7. Flood Capacity: Verify that the culvert span and rise are adequate to pass flood flow and associated large wood and sediment.
8. Channel Profile: If necessary, adjust the upstream and/or downstream channel profiles to match the culvert elevation.

Several iterations of Steps 4 through 8 may be required to achieve the optimum design. The following sections describe each of the design steps in more detail.

The Hydraulic Design Option is based on the maximum water velocity that target fish species are able to swim against as they negotiate the full length of the culvert. The longer the culvert, the lower the maximum allowable velocity. Determine the overall length of the culvert. The length can be minimized by adding headwalls to each end of the culvert, by narrowing the road or by steepening the fill embankments.

Fish Passage Requirements

Species And Size Of Fish

The Hydraulic Design Option creates hydraulic conditions through the culvert that accommodate the swimming ability and migration timing of target species and sizes of fish. Fish-passage design is based on the weakest species or size of fish requiring passage and is intended to accommodate the weakest individuals within that group. The types of species that are potentially present and the time of year when they are present can be obtained by contacting the Washington Department of Fish and Wildlife Area Habitat Biologist or Regional Fish Biologist.

The passage of adult trout as small as six inches in fork length (150 mm) is a design requirement in most areas of Washington State. It is assumed to be a requirement at each site unless it can be shown that, by distribution of species or habitat, it is not justified. Upstream migration of juvenile salmonids (50- to 120-mm salmon and steelhead) and the myriad of non-game fish is also important. These fish are small and weak, therefore they require a very low passage velocity and a low level of turbulence. Generally, the Hydraulic Design Option cannot usually satisfy the limitations of very low velocity and turbulence. The exceptions are baffles and the roughened channel methods when they are carefully designed and constructed. It has been shown that juvenile coho (94-104 mm) can swim upstream in a baffled culvert with reasonable success (up to 70% in a narrow range of discharge). These tests were limited to a culvert at a low slope (1.1%) and the passage characteristics of higher gradient baffles is unknown (Pearson et al 2006).

Passage requirements are also unknown for the many resident fresh water species in Washington streams. With this in mind, it is not practical to use the Hydraulic Design Option for all-species fish passage for the general case. Instead, either the no-slope or stream simulation design option, or a bridge, may be more appropriate where the crossing must pass all fish. All-fish passage may not be

necessary in every situation; the biological needs at the site should be clearly stipulated by qualified biological experts before a design is attempted.

In the past we believed that a culvert specifically designed by the Hydraulic Design Option for six-inch trout would also provide passage for juvenile salmonids during lower flow conditions. If the hydraulic characteristics necessary for adult trout passage are achieved during peak flows, it was thought that adequate juvenile passage is provided at lesser flows. It is also believed that juvenile fish can tolerate some delay; and, because of their normal migration timing, they will be subjected to less severe hydraulic conditions than adult migrants. This assumption provided a background to justify the use of the hydraulic method when it became obvious that simply adult passage was not good enough for species recovery and maintenance. But it does not satisfy the requirement for "fish" passage (RCW 77.57.030), as previously explained.

Much of this chapter is focused on the passage of salmonid fishes because they are culturally and economically valuable. However, there are tremendous ecological benefits to providing connectivity between upstream and downstream reaches for other biota and physical processes. In addition to salmon and steelhead, there are at least 15 species of migrating fish in Washington State for which there is little or no information regarding migration timing, migration motivation, or swimming ability. Ecological health of both upstream and downstream reaches depends on connectivity of physical processes such as sediment and debris transport, channel patterns and cycles, and patterns of disturbance and recovery, as well as biological connectivity (Ward and Stanford 1995; Jackson 2003). Stationary culverts at a fixed elevation may not be able to communicate these processes and may, therefore, affect overall ecosystem health.

Species and Size of Fish Determine Velocity Criteria

The allowable velocity and depth of flow for adult fish depend upon the target species and length of culvert as prescribed in WAC 220-110-070. Analysis for both velocity and depth should be performed using a factor of safety. These criteria (see ***Table 6.1***) are intended to provide passage conditions for the weakest and smallest individuals of each species.

Table 6-1. Fish-passage design criteria for culvert installations.

Culvert Length feet	**Maximum Velocity** feet/sec
10 – 100	4
100 - 200	3
> 200	2

Based on an evaluation of juvenile passage through culverts conducted by P. D. Powers (Powers and Bates 1997), the recommended design velocities for fry and fingerlings are 1.1 and 1.3 fps respectively. Fry are spring-migrating juveniles generally less than 60 mm in fork length. Fingerlings are fall-migrating fish, generally greater than 60 mm in fork length. Powers noted that allowable velocities for these fish depend upon the type of corrugation of the pipe. These velocities

are average cross-section velocities and would apply to any length of culvert. He observed that the fish swimming in waters flowing at these velocities could continue at that rate for an extended period of time. These velocities might be achieved at some low-gradient sites with large culverts or at spring-fed streams with low peak flows.

From work done by the Muckleshoot Indian Tribe Fisheries Division on the swimming ability of juvenile salmon, a maximum velocity for passage was found to be 1.0 fps with a range of 0.5 to 2 fps. This is based on 10 references (Kerr 1953; Wightman and Taylor 1976; Aaserude and Orsborn 1985; Smith and Carpenter 1987; Bell 1991; Barber and Downs 1996; Rajaratnam and Katapodis 2002; Lang 2008; Nordlund 2011)

The complexity and diversity of natural channels are better suited to providing passage opportunities for small fish. The natural channel design is the recommended option in this case; it is described in the ***Chapter 3: Stream Simulation Design Option***.

The Hydraulic Design Option uses the average velocity in the cross section of the flow (without bed material) and assumes normal, open, channel flow throughout the culvert. In reality, flow is seldom at normal depth throughout a culvert, particularly in a culvert that is on a relatively flat slope. Backwater-profile programs can be used to further refine the design. Keep in mind, however, that errors from hydrologic calculations may far outweigh differences between velocity calculation methods (see below and ***Appendix G: Design Flows***). This design method also does not account for the boundary-layer velocities that fish will use in moving through a culvert. Boundary-layer velocities cannot be used because they are difficult to predict; turbulence can become a barrier, and continuity of a boundary layer through a culvert is difficult to create.

Migration Timing

The Hydraulic Design Option criteria must be satisfied 90 percent of the time during the migration season for the target species and age class. Since migration timings vary among species and watersheds, knowledge of the specific migration timings is necessary for development of hydrology. Different species or age classes at a site may migrate at different times of the year; multiple hydrologic analyses may be needed to determine the controlling hydraulic requirements. Generally, adult salmon and steelhead migrations occur during the fall and winter months. Juvenile salmon migrations occur in the spring as fry and in the fall as fingerlings.

Hydrology

Again, the hydraulic design criteria must be satisfied 90 percent of the time during the passage season for the target species. The 10-percent exceedance flow for each target species is then considered the high fish-passage design flow. Passage criteria must be met for all flows up to the fish-passage design flow. There is a growing body of evidence that adult salmon and steelhead move at flows in excess of the 10 percent exceedance flow (Lang, Love et al. 2004). In fact, it may be just these elevated flows that create the appropriate conditions for species such as coho to penetrate deeply into the watershed. The NMFS Anadromous Salmonid Passage Facility Design guidelines use the 5% exceedance flow for design (Nordlund 2011). When applying the Hydraulic Method, it is prudent to look at the performance of the design at the 2-year recurrence interval

flood flow. Transitions to and from supercritical flow or high inlet losses at these flows could block migrating fish at a critical time in their migration.

HIGH FISH-PASSAGE DESIGN FLOW

In designing culverts for fish passage, the high-flow hydrology of the stream must be understood to make sure fish can get through the culvert during high flows. This requires a hydrologic analysis to determine the high fish-passage design flow. The mean daily flow is the parameter used for fish-passage design flow analysis. There are four types of hydraulic analysis that are acceptable for determining a range of fish-passage designs that correctly address flow. The scale and importance of the project and availability of data will dictate which level is applied to a specific project. They are, in order of preference:

1. Stream gauging
2. Continuous-flow simulation model
3. Local-regression model
4. Regional-regression model

Another option is to use data obtained from one of the above methods to calibrate a basin-to-basin correlation between recorded flows in a nearby system and spot flows measured in the stream system where design flows need to be determined. Extreme care should be used when creating this correlation; the probability of induced errors increases.

There are errors associated with each of these methods and, considering the limited operational range of some hydraulic options, these errors must be used in determining an appropriate design flow. As shown in ***Appendix G***, there are substantial errors in predicting flood flows even with a long gauging record.

Interpretation of historic stream gauging data for a specific stream is the most preferred type of analysis, but adequate data for specific sites are rare. For complex, high risk, and expensive projects, a stream gage should be set up at the site for at least 2 years to accumulate baseline data. This data can be used to determine flow duration with simple frequency analysis and compared to longer records on gauged streams in the same region to determine if recent weather conditions are anomalous.

To improve accuracy, a regional flow model can be verified and calibrated with a few flow data points. Calibration data should be within 25 percent of the fish-passage design flow to be valid. Continuous-flow simulation models are acceptable, though they are not normally justified solely for a fish-passage design. Single-event models are generally not acceptable since the fish-passage design flow is based on a flow-duration model rather than a peak flow.

For western Washington an acceptable, regional-regression model is the Powers-Saunders model, which is included in ***Appendix G***. It is based specifically on the hydrology of western Washington streams and, therefore, cannot be used in other regions, or for sites that do not fit within the range of watershed sizes and climate parameters used in the regression analysis.

For eastern Washington, the Washington Dept. of Transportation (Rowland, Hotchkiss et al. 2002) developed a model that defines a fish-passage design flow per unit drainage area. Geographical Information Systems were used to evaluate spatial data corresponding to the sixth field Hydrologic Unit Code (HUC6), with the key parameters of mean annual precipitation, mean water stress index and mean elevation.

These approaches produce reasonable estimates in most cases. However, consideration should also be given to the specific hydrology of the basin, target species for fish passage and future watershed conditions. It is recommended that, as a default, at least one standard deviation be added to the estimated flows derived from the estimated mean that was found using these formulas, unless a lower value can be justified by current and future watershed conditions. Lower values are justified for streams that have a slow response to rainfall events, such as spring-fed streams and basins with a lot of storage available. Higher estimates for Q_{HP} should be applied to steeper and urbanized or urbanizing watersheds, where land use and basin hydrology may change during the life of the project, thereby affecting the maximum and minimum flows.

Whatever model is used, future watershed conditions should be considered when choosing the fish-passage design flow. Continuous-flow simulation models and calibrated regional models most likely provide the best estimate of future conditions. Structural design of the culvert will depend on an accurate analysis of flows higher than the high fish-passage design flow.

LOW FISH-PASSAGE DESIGN FLOW

The low design flow is calculated to determine the minimum water depth within the culvert. One way of determining low design flow is to use the two-year, seven-day, low flow as described in WAC 220-110-070. A simpler option is to use the zero-flow condition as described below.

The WAC 220-110-070 low flow requirement applies only to culverts without sediment inside. Culvert backwatering and baffles are the techniques recommended in this chapter that do not require sediment to be present. In these cases the minimum depth is maintained by rigid control structures in the no flow condition. The remaining technique, roughened channel, uses bed material to create roughness and is therefore exempt from this requirement.

CULVERTS IN TIDAL AREAS

The hydrology of culverts in tidal areas is a special case. The hydraulic conditions in and downstream of the culvert change as the tide elevation changes. A complete discussion of this topic can be found in ***Appendix D***.

VELOCITY AND DEPTH

To keep the average cross-section velocity inside the culvert at or below the velocity criteria, select the appropriate combination of culvert size, roughness and slope. Several types of hydraulic analyses are acceptable for determining the right combination, though they vary in their complexity, resulting factor of safety and cost for the final design. Stage-discharge relationships can be developed by simple calculations or complex water surface profiles.

The most simple analysis is the calculation of depth and velocity, assuming uniform flow; that is, with no backwater influence. This is the depth and velocity generally derived from a calculation of Manning's roughness coefficient or from a chart of culvert-hydraulic characteristics.

Calculate the depth and velocity. The depth will be matched to the hydraulic profile of the downstream channel, as described later in the section addressing Baffles.

Computer backwater programs such as HEC-RAS (U.S. Army Corps of Engineers 2006), HY8 (The Office of Bridge Technology 2009), CULVERT MASTER®, FishXing (Furniss, Love et al. 2006), and others, can assist in the design process. The minimum amount of information needed for these programs varies with the program and complexity of the project. A backwater analysis allows the designer to optimize the design by using the lower velocities created by the backwatered condition. Without a backwater calculation, the culvert velocities are less accurate but more conservative. HEC RAS is commonly used to do backwater analysis on culverts in the stream context.

BACKWATER

The backwater retrofit technique uses a downstream control structure to increase water depth in the culvert thereby increasing cross sectional area in flow and a lower average velocity for a given discharge. This technique was commonly practiced in the 1990s but has fallen out of favor for several reasons:

- Grade control structures are rigid in dynamic stream systems and are prone to failure
- Grade control requires inspection and maintenance
- Certain grade control structures are impassible to some species and life stages of fish
- Culverts that are backwatered usually cannot adequately pass debris and sediment
- Land acquisition or an easement to construct grade control is expensive and not reliably available

Grade control structures are discussed in detail in ***Chapter 7, Channel Profile Adjustment***.

A culvert is backwatered for fish passage by following these steps:

1. Verify that the technique is approved for the site by contacting the Area Habitat Biologist at your regional WDFW office.
2. Determine that the technique is applicable to the site. One simple way to do this is to determine the fish passage design flow and divide it by the cross sectional area of the culvert half full. The result, in English units, should be less than 4 fps. If the culvert must be backwatered more than half its rise, it is considered a high risk project, since the culvert will tend to accumulate bedload when deeply backwatered. This accumulation of sediment reduces the cross sectional area, requiring chronic maintenance, and is likely to be fouled with debris and at risk of failure.
3. If culvert backwater is appropriate, then set the downstream control surface such that the depth at the culvert inlet is greater than 0.8 ft at the 2-year 7-day low flow, or the zero flow condition. Provided that the culvert has a positive downstream slope, this establishes the minimum depth required by WAC 220-110-070, Table 1. If the outlet water surface is

greater than one half the culvert rise, the technique is not applicable for the reasons cited in (2) above.

4. Next, calculate the maximum average velocity in the pipe at the fish passage design flow (10% exceedance flow). This must be less than 4 fps.
5. Finally, the profile must be adjusted downstream of the culvert, as described in ***Chapter 7***.

BAFFLES

Baffles are a series of features that, when added to a culvert, increase the hydraulic roughness of the culvert. Unlike hydraulic-control structures that work separately, such as weirs, baffles work together to reduce the average cross-section velocity inside the culvert. Flow passing over a series of baffles during high-water conditions creates a streaming pattern rather than, in the case of weirs, a plunging pattern. To create streaming flow, the baffles have to be relatively close together and short in length compared to the flow depth. Where baffles are applied, detailed stream gauging needs to be used to assess stream hydrology. The quantitative design of baffle hydraulics includes size and spacing, as described below.

At low flows, typical baffles do act as weirs, but they transition to roughness elements as the flow deepens. Baffles have often been designed inappropriately to function as weirs. Weirs are discrete, hydraulic elements that cause the flow energy to dissipate in the pools between them; this concept is very different from constructing a series of baffles that act together to create roughness. When baffles are designed to function as weirs, the fishway pool volume criteria must be complied with (see *DESIGN OF FISHWAYS FOR WASHINGTON STATE,* http://wdfw.wa.gov/publications/pub.php?id=00048).

Generally, baffles are installed inside a culvert as a temporary retrofit to dissipate flow energy until a permanent solution can be found. They are, under rare circumstances, appropriate for new or replacement culverts when all other alternatives have been exhausted. Many culverts currently undergoing retrofit to accommodate fish passage were designed only for hydraulic capacity. Adding baffles reduces this capacity and may cause upstream flooding and unsafe headwater elevations. The tendency for baffles to catch woody debris exacerbates the culvert capacity problem, potentially creates a fish barrier and may eventually plug the culvert, leading to a road fill failure. Because of the requirement for maintenance access, baffles should not be installed in culverts with less than 5 feet of headroom, 6 feet for culverts in excess of 200 feet. The designer should consider worker safety regulations when designing extremely long culverts since maintenance will be required on at least an annual basis.

The need for frequent inspection and maintenance of baffled culverts is widely acknowledged, but few maintenance programs establish the protocol or budget for adequate maintenance. Safe passage through culverts is most critical for many salmonid species during freshets in the winter months. This is also the time of greatest risk of floods and the most voluminous presence of debris. Maintenance is usually impossible during high-flow fish-passage seasons, so if culverts fail or plug, fish-passage capability is lost when it is most needed. Baffles increase the likelihood of culvert plugging or failure. And since the baffles and the potential barriers are deep inside the culvert

where they can't be seen easily, they are often not inspected at all. Please see **Maintenance and inspection plan for fishways** at the end of this chapter.

WSDOT Culvert Test Bed Juvenile Salmon Baffle Study

The Washington Dept of Transportation conducted tests on fish passage through a full scale baffled culvert under test conditions in 2005 and 2006 (Pearson, Southard et al. 2006). Juvenile coho salmon (94-104 mm fork length) were allowed to volitionally move through a 40 foot long 6 foot diameter baffled culvert set at a 1.1% slope. Various discharges were tested with batches of 100 fish.

While 34 separate test runs were performed, only 5 of them were with baffled culverts that were properly backwatered. In the other 29 tests the most downstream baffle was not backwatered by the water surface in the tailwater tank, and flow plunged off this baffle onto the floor of the culvert creating a low depth, high velocity jet that shot into the tailwater tank, flushing many fish back as they attempted to enter. Pearson *et al* calculated that this condition decreased passage 24%. As discussed below, the downstream baffle is always backwatered so that no plunge occurs, clearly improving passage.

The study was analyzed with parameters that are not the same as those used to design baffled culverts as they are in these guidelines. The following table uses approximate values for the more familiar parameters on the 5 runs using proper backwater. The energy dissipation factor (EDF) is calculated as described below.

Table 6.2: Fish passage efficiency for two different discharges in the Culvert Test Bed study (Pearson, Southard et al. 2006).

Q	Approx. normal depth	Approx. average velocity	EDF	Percent passage with baffles
Cfs	ft	fps	ft-lbs/ft3/s	
3	0.85	1.7	1.2	70%
8	1.01	2.4	1.7	45%

A couple of observations can be made about these results relative to juvenile passage through baffled culverts.

The slope of the test culvert was low and the baffles, since they were so widely spaced (15 ft), acted somewhat independently. At higher slopes they act together and the passage characteristics are likely different.

The results tell us that baffled culverts at this low slope do pass juvenile coho salmon. As shown in ***Table 6.2***, there is a fairly big difference in passage success for a relatively small change in velocity and turbulence. This may be because fish are as sensitive to unmeasured environmental and behavioral factors, such as tailwater tank conditions or migration cues, as they are to average

velocity. We may never know these things. When one looks at the bulk of the data from this study, it shows that the best passage occurs over only a narrow range of discharge. Unfortunately, culverts are placed on streams with highly variable discharge, therefore passage is poor most of the time and good only occasionally, which may or may not coincide with the fish's need to migrate.

It is a matter of debate whether the 70% success rate is good enough to satisfy the needs of a healthy fish population. WAC 220-110-070 implies that passage for the weakest swimming fish should occur 90% of the time during the migration period. But if the test culvert were to be used in a real situation and designed using the 10% exceedance flow of 3 cfs, then not every fish that attempted to pass upstream would be able to since only 70% can at 3 cfs. This baffled culvert would then act as a filter for the fish populations upstream of the culvert, keeping back weaker fish and passing the stronger upstream, reducing genetic diversity and resilience (Wofford, Gresswell et al. 2005).

Baffle Styles

In those situations where baffles are unavoidable, two basic styles of baffle are suggested; one for round culverts and one for box culverts as shown in ***Figure 6.1.*** They are each designed with a continuous alignment of the low flow point along one wall rather than alternating from one wall to the other. This allows less resistance to high flows and an uninterrupted line of fish passage along one or both sides. This is particularly important for weak fish, which would be forced to cross the high-velocity zone at every baffle in an alternating-baffle design. The detail of angled baffles is shown for box culverts; the continuously sloped baffle is generally used for juvenile fish passage and in culverts six feet wide and less.

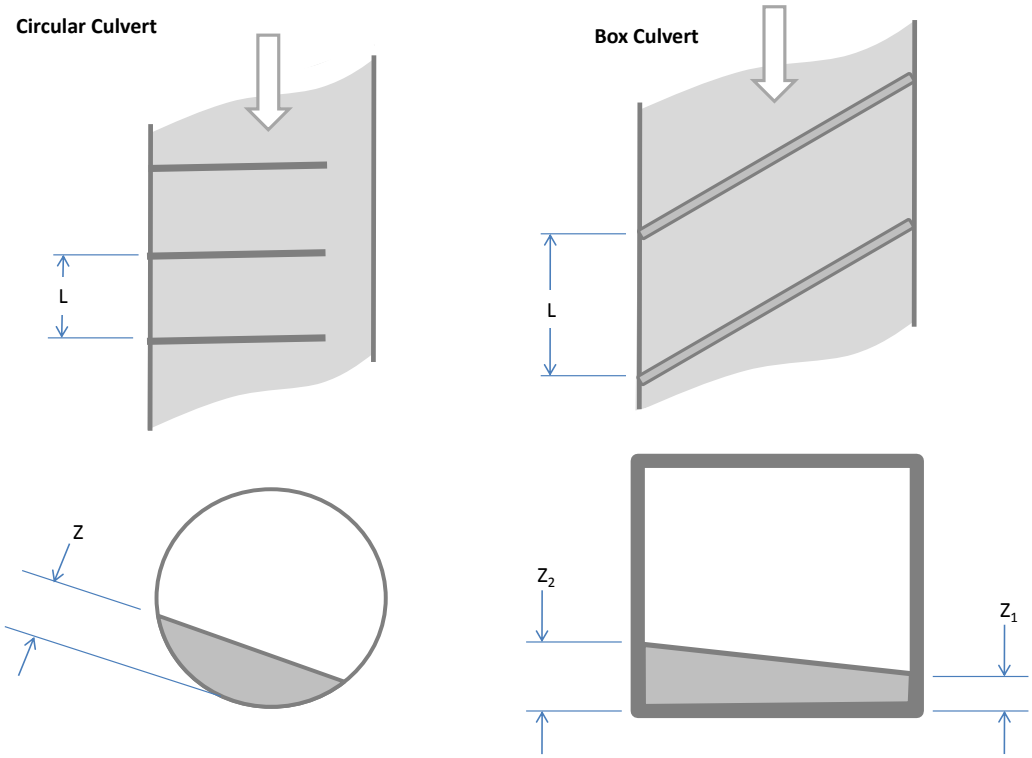


Figure 6.1: Recommended baffle styles for circular and box culverts showing dimensions used in calculations.

Baffled culverts are generally limited to slopes equal to or less than 3.5 percent. This is based on direct observation of existing baffle systems. Steeper slopes require either a stream simulation or a fishway weir design.

Baffles installed in the area of the culvert inlet contraction may significantly reduce the culvert capacity when it is in an inlet-control condition. The upstream baffle should be placed the distance of at least one culvert-diameter downstream of the inlet and should be high enough to ensure subcritical flow at the inlet at the high design flow. In addition, the culvert bottom must remain backwatered by the baffle to prevent supercritical thin flow over the invert.

BAFFLE HYDRAULICS

Baffles are added to culverts as roughness elements to reduce the internal water velocity to a level acceptable for fish passage. There are three aspects of hydraulic analysis discussed here: velocity and turbulence analyses for fish passage, and culvert capacity with baffles. Details of baffle installation are also discussed.

The velocity of flow associated with culvert baffle systems can be derived from hydraulic laboratory work conducted by several groups. N. Rajaratnam and C. Katopodis (Ead, Rajaratnam et al. 2002) studied various combinations of baffle geometries, heights, spacings, slopes and flows in models of

circular culverts. Hydraulic-model studies for weir baffles in box culverts were studied by R. Shoemaker (Shoemaker 1956). These models can be used for both the fish-passage velocity and culvert-capacity analyses. Rajaratnam and Katopodis developed flow equations for all the styles they tested. Those equations are simplified here to the form of ***Equation 6.1***.

$$Q = C(y_o/D)^a (gS_oD^5)^{1/2} \qquad \textbf{Equation 6.1}$$

Where: C = the coefficient that depends on the baffle configuration

D = the diameter of the culvert

a = the exponent that depends on the baffle configuration

Q = the discharge in cfs

y_o = the depth of water

g = the gravitational acceleration in ft/sec/sec

S_o = the nondimensional slope of the culvert

Z = the height of the baffle (as shown in **Figure 6.1**)

The dimensions and their respective coefficients and exponents for ***Equation 6.1*** are shown in ***Table 6.2***. The first column contains the labels of experimental baffles that were provided by the authors; data for those without labels have been extrapolated. The difference in styles are represented by the dimensions in the next two columns; Z is the average height of the baffle, L is the spacing between baffles and D is the diameter of the culvert. The limits shown in the table are the limits of experimental data or valid correlation for the coefficients and exponents.

Table 6.3: Baffle hydraulics.

	Z	L	C	a	Limits
WB-2	0.15D	0.6D	5.4	2.43	0.25 y0/D < 0.8
WB-1	0.15D	1.2D	6.6	2.62	0.35 y0/D < 0.8
	0.15D	2.4D	8.5	3	
WB-3	0.10D	0.6D	8.6	2.53	0.35 y0/D < 0.8
WB-4	0.10D	1.2D	9	2.36	0.20 y0/D < 0.8
	0.10D	2.4D	9.6	2.5	

Using Equation 1, calculate the depth of flow. The resulting velocity is the flow divided by the cross-section flow area between the baffles.

The weir baffles studied by Rajaratnam and Katopodis (Ead, Rajaratnam et al. 2002) were actually horizontal weirs, rather than sloping baffles as shown in ***Figure 6.1***. This is the most reliable information available for predicting the roughness of baffles recommended in this guideline and must be used with sound judgment. Box culverts were not included in this study. The models presented below for culvert capacity with baffles can be used for fish-passage analysis in box culverts.

Hydraulic model studies for weir baffles in square box culverts were studied by Shoemaker (Shoemaker 1956). Internal culvert friction loss and entrance losses were calculated from hydraulic model studies. Shoemaker used the Darcy-Weisbach friction equation (***Equation 8.2***) as a hypothetical model for culverts with baffles:

$$HW = (K_e + C_e + f\, L_c/D)V_2/2g + P - S_o L_c \qquad \textbf{Equation 6.2}$$

Where: f = the friction coefficient

L_c = the length of the culvert

D = the diameter of pipe (four times the hydraulic radius of noncircular pipes)

$V_2/2g$= the gross section velocity head in the culvert where V is the average velocity in ft/sec

P = the outlet water-surface elevation

S_o = the slope of the culvert

K_e = culvert entrance head-loss coefficient

C_e = culvert exit head-loss coefficient

The baffles tested were full-width, level baffles with rounded leading edges at a radius equal to one tenth of the culvert height. Baffle heights of 0.10, 0.20 and 0.30 times the culvert height and spacings of 1.0, 2.0 and 4.0 times the culvert height were studied.

Shoemaker's variation of the Darcy-Weisbach friction factor is depicted in ***Figure 6.2***, where Z is the baffle depth and L is the baffle spacing.

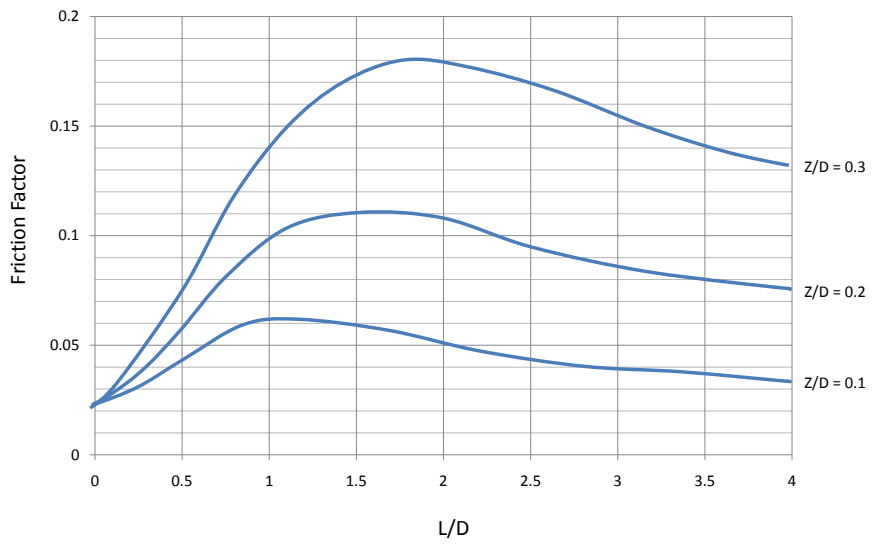


Figure 6.2: Variation of Darcy Weisback friction factor with baffle spacing.

Friction factors for short baffle spacings should be used cautiously. As would be expected, as the baffle spacing approaches zero, the baffle roughness actually decreases and the effective cross-sectional area of the culvert becomes the area of the culvert remaining above the baffles. Shoemaker, in his calculation of velocity head, used the gross culvert area.

A second analysis by Shoemaker is intended specifically for estimating culvert capacity. It provides a means for evaluating other energy components making up the hydraulic grade line through a culvert. Shoemaker made the assumption that entrance, outlet and friction losses are proportional to the velocity head. With these assumptions, the energy equation for flow through the culvert can be written using Equation 2, where HW is the headwater elevation above the invert at the culvert entrance. Other parameters are as previously defined. Shoemaker describes a reasonable approximation of P as the distance from the culvert invert to the center of the flow in the opening above a baffle.

Shoemaker derived the combined values of the head loss coefficients Ke and Ce as a single coefficient, Ca, which is shown in ***Figure 6.2*** as a function of baffle spacing and height. In Shoemaker's model, the culvert entrance and exit had aprons extending 2.5 times the culvert width, with wing walls flaring at 34 degrees from the culvert line, mitered at a 2:1 slope. The baffle that was furthest upstream was consistently placed one culvert height downstream from the culvert entrance and the downstream-most baffle was placed at the edge of the apron.

Energy Dissipation Factor

In order to maintain a desired velocity in a stream whose flow is too rapid, energy must be dissipated. Energy of moving water is dissipated by turbulence. For purposes of fish passage design, turbulence in culverts is defined by the energy dissipation per unit volume of water and is referred to as the energy dissipation factor (EDF). There is little research data available to determine the appropriate maximum EDF for fish passage. Based on observations and recorded fish passage through a number of culverts at different flows, it is recommended that the EDF be kept below a threshold of 5 foot-pounds per cubic foot per second (ft-lb/ft^3/sec) for passage of adult salmon. An exception to this guidance is acceptable if data is available from other culverts of a similar design that have been demonstrated to be successful. It is further recommended that the EDF be greater than 3 ft-lb/ft^3/sec at the high fish-passage design flow. Lower turbulence causes sediment deposition and/or debris accumulations that either make the baffles ineffective or create a direct fish-passage barrier.

The energy-dissipation factor is calculated by the following equation:

$$EDF = \gamma QS/A \qquad \textbf{Equation 6.3}$$

Where: EDF = energy-dissipation factor in ft-lb/ft^3/sec

γ = unit weight of water (62.4 lbs. per cubic foot)

Q = flow in cubic feet per second

S = the dimensionless water surface slope e.g., ft/ft)

A = the cross-sectional flow area at the flow between baffles in square feet.

DESIGN GUIDELINES FOR BAFFLES IN CULVERTS (adapted from an unpublished WDFW document, P.D. Powers, Janaury 13, 2003)

The hydraulic factors to analyze when placing baffles in culvert are velocity and turbulence at the high fish passage design flow, and sediment and debris passage at flood flows. WDFW has designed, installed and monitored baffle placements in ten projects over the last ten years. The monitoring included measuring the depth of flow in the culvert (y0), the stream flow (Q), the culvert size (D, diameter or W, width) and slope (S). These parameters were then related to the baffle height (Z), baffle spacing (L) and average velocity (Q/A) to determine the Manning's n values and make a comparative analysis of fish passage conditions relative to turbulence. From this data the following design guidelines were developed.

- Culvert slope should not exceed 3.5 percent.
- Determine the maximum velocity allowed from Table 1 of WAC 220-110-070 for the given culvert length.
- Select the baffle height (Z0), spacing (L) and Manning's n as a function of the culvert slope:

Culvert Slope (ft/ft)	Baffles Height (Z)	Baffle Spacing (L)	Mannings n
0.005 to 0.009	6 to 8 inches	0.10/slope	0.04 - 0.05
0.010 to 0.024	8 to 10 inches	0.15/slope	0.06 - 0.07
0.025 to 0.035	10 to 12 inches	0.20/slope	0.08 - 0.09

- Calculate the high fish passage design flow.
- Using Manning's equation, calculate the normal flow depth (y0) and the velocity (V).
- If the baffle height (Z) divided by the flow depth (y0) is in the range of 0.4 to 0.6, calculate the velocity (V) and compare it to maximum allowable velocity in Table 1 of WAC 220-110-070. If the calculated velocity is less than or equal to the allowable velocity the next step is to check the energy dissipation factor.

Note: If (Z0/y0) is less than 0.4, the flow depth over the baffle is significantly more than the baffle height and the flow will begin to short circuit and reach supercritical conditions. Fish passage velocities will likely be exceeded at this point. If (Z0/y0) is greater than 0.6, the baffle may be too high and will increase the risk of capturing bedload and woody debris. The baffle height can be increased to a maximum of 12 inches, to make the Z0/y0 ratio reach 0.4. Manning's n varies slightly as the height of the baffle increases. Baffle heights greater than 12 inches become weirs and need to be analyzed as a pool and weir fishway considering energy dissipation.

The energy dissipation factor (EDF) should be in the range of 3 to 5. Too low of an EDF may cause problems with sediment buildup within the culvert and too high of an EDF will likely create turbulent conditions inside the culvert which may reduce passage success. Turbulence and the effect on fish passage through culverts is not well understood at this time, but until more information is gathered the 3 to 5 range is recommended.

By adding baffles to the culvert, the roughness is increased. If the culvert is flowing under outlet control, the increased roughness will increase headwater elevation. The 100-year peak flood flow should be analyzed for potential impacts. The friction loss through the barrel of the culvert should be analyzed using a Manning's n from 0.02 to 0.03 if the culvert is flowing near full.

Passage of juvenile fish using these design guidelines at the high fish passage design flow for adult fish is not practical (velocities and turbulence). However, the pools between the baffles usually provide twice as much pool volume as is needed when looking at the pool volume criteria (See ***Design of Fishways for Washington State***).

BAFFLE INSTALLATION

Nearly all baffle installations are damaged at one time or another over their life spans. During floods large wood and bedload pummel the baffles and sooner or later they are abraded, dented, or dislodged. Even the best installations deteriorate over time. In addition, all baffles are prone to

accumulating debris and cobble and must be cleaned out; their design should facilitate this maintenance.

In concrete culverts the best design is a cast in place baffle. Rebar dowels are placed in drilled holes in the culvert wall and tied into the baffle reinforcement and the baffle is formed around the reinforcement. This is a relatively uncommon technique. More often in retrofits, bent steel plates are used, with one leg bolted to the floor and pointing downstream. Gussets are added to stiffen and strengthen baffles. Bolt anchor systems for existing culverts are difficult to install and, consequently, often fail. The anchoring systems should be carefully designed and installed by experienced crews.

In new corrugated metal culverts a steel plate baffle is placed into a slot cut in the culvert bottom and welded in place. In the smooth steel pipe used in trenchless installations (jacking, boring, or other techniques), the baffle is cut from steel plate and welded with a continuous bead directly inside the culvert after the pipe is installed.

Generally, ¼ inch steel plate is used for conservative design and long baffle life. Thicker plate should be used in areas with corrosive water or high bed-load movement.

Expansion-ring anchors have been used in round pipes and can be installed without diverting flow from the work area. These baffles are prone to failure in larger streams and culverts and should be used only for short term fish passage, with the understanding that the culvert will be replaced within a year or two. With this type of installation, the rings are expanded out against the entire pipe circumference. A rod is rolled to the shape of the culvert interior and attached to an anchor plate. The rod and anchor plate are attached to the culvert by expanding the rod into the recess of a corrugation. This is done by tightening a nut on one end of the rod against a sleeve attached to the other end of the rod. Once the rod and anchor plate are secured, the baffle is bolted to the anchor plate.

ROUGHENED CHANNEL

Figure 6.3: Roughened channel inside a culvert (photo Paul Tappel).

Roughened channels have been defined, designed and constructed in a wide variety of ways both in Washington and in Canada, Europe and Australia (***Figure 6.3)***. They are used inside culverts, in the main channel, and in partially spanning installations. The basic, unifying concept is an artificial channel constructed to increase prevailing stream gradient. This is done for various purposes, chiefly for:

- Habitat restoration (create spawning habitat, increase dissolved oxygen, etc)
- Channel restoration (restore complexity, reconnect floodplain)
- Grade control (irrigation diversions, pipeline crossings)
- Fish passage retrofits
- Culvert design

Several recent papers have been written concerning the design of roughened channels, which are cited in the references below, and further described in two recent papers (Bates and Aadland 2006; Bates and Love 2011).

For our purposes, roughened channels consist of a graded mix of sediment built into a culvert to create enough roughness and hydraulic diversity to achieve fish passage. Increased roughness creates diversity in flow velocities and patterns, which, in turn, provides migration paths and resting areas for a variety of fish sizes (Thorncraft and Harris 1996).

The stream simulation design option (see ***Chapter 6***) is a much more conservative culvert design method and does not require the level of analysis necessary for roughened channels. Stream simulation is the preferred design method, since it addresses many of the geomorphological considerations mentioned in the beginning of this guideline, whereas roughened channels only consider adult salmonid passage and grade control as the design criteria. Generally, the bed material in a roughened channel is not intended to be mobile; the bed may shift slightly as a stability adjustment, it is not meant to scour and wash away. In contrast, the stream simulation design option accommodates channel shifts as they occur in the natural stream. This rigidity causes problems in a stream which may adjust vertically over time; an abrupt drop will develop at the outlet if the downstream channel degrades.

This design technique can be used for the creation of channels outside of culverts too, although this should be approached cautiously. Reasons for using them outside of a culvert include: controlling gradient, restoring an incised channel, habitat for certain fish species and culvert backwater. Some of these applications are discussed in ***Chapter 7, Channel Profile Adjustment***. If roughened channels are located downstream of a fixed structure, such as a culvert, any degradation will cause the culvert depth or velocity criteria to be exceeded.

Figure 6.4: Crazy Ck roughened channel, constructed 1994.

Installations of this technique inside of culverts have had mixed results with regard to fish passage and stability. This is mostly due to the evolving nature of the design method over the years, but because of this, culverts designed as roughened channels should be approached cautiously. Each situation is different and what has worked in one context will not necessarily work in another.

Large-scale roughness is used to control velocity within the culvert. Ideally, channels are roughened to the point where the potential energy available at the upstream end is dissipated in turbulence through the pipe, and no excess kinetic energy of flow is present at the downstream end. It should be recognized that culverts designed as roughened channels will have greater slope and flow per unit width than the adjacent upstream channel and, therefore, higher bed stress, turbulence and velocity. As a result, roughened-channel culverts have higher sediment-transport rates than the natural stream and tend to become scoured and, unless carefully designed, fail prematurely. This situation is less likely where roughened channels are built without the confinement of culvert walls.

DESIGN OF ROUGHENED CHANNELS

The design process described here is complex and requires substantial knowledge of hydraulic modeling, sediment transport, sediment gradation specifications, channel construction techniques and geomorphology.

The most important aspects to consider in the design of roughened channels are:

- Average velocity at flows up to the fish-passage design flow. Maximum average velocity is a basic criterion of the Hydraulic Design Option.
- Bed stability during the 100-year recurrence interval flow event. The bed materials inside the culvert create the fish-passage structure and their stability is fundamental to the permanence of that structure.
- Turbulence; the effect of turbulence on fish passage can be approximated by limiting the energy dissipation factor (EDF).
- Bed porosity; in order for low flows to remain on the surface of the culvert bed and not percolate through a course permeable substrate, bed porosity must be minimized.

The following is an outline of a suggested procedure for designing roughened channels. These steps are iterative; several trials may have to be calculated to determine a final acceptable design. (Additional details of these steps are provided in subsequent sections.)

1. Assume a culvert span. Begin with a culvert bed width equal to the stream channel width (***Appendix C***). When the width of the bed in roughened channel culverts is less than the bed width of the stream, hydraulic conditions are more extreme and the channel inside the culvert is more likely to scour. As gradient and unit discharge increase, the best way to achieve stability and passability is to increase the culvert width. In addition, debris, sediment transport and the passage of non-target fish and wildlife should be considered, all of which benefit from increased structure width.
2. Size the bed material for stability on the basis of unit discharge for the 100-year event (Q_{100}), as outlined below.

3. Check to see that the largest bed-particle size, as determined by stability, is less than one quarter the culvert span. If not, increase the culvert width, which decreases the unit discharge and, in turn, the particle size.
4. Create a bed-material gradation to control porosity (see section on well-graded mixes in ***Chapter 3***).
5. Calculate the average velocity and EDF at the fish-passage design flow on the basis of culvert width and the bed D84 from gradation in Step 4 above. If the velocity or EDF exceed the criteria, increase the culvert span.
6. Check the culvert capacity for extreme flood events. This step is not detailed here, but it is required, just as it is for any new culvert or retrofit culvert design that affects the culvert's capacity.

BED STABILITY

In order for the roughened channel to be reliable as a fish-passage facility, it is essential that the bed material remain in the channel more or less as placed. It is expected that the bed material will shift slightly but not move any appreciable distance or leave the culvert. Bed stability is essential because these channels are not alluvial. Since they are often steeper and more confined than the natural, upstream channel, recruitment of larger material cannot be expected. Any channel bed elements lost will not be replaced, and the entire channel will degrade over time. The 100-year flood is suggested as a high structural-design flow, although other design flows can be substituted as required.

Slope ratio (***Equation 3.1*** and ***Figure 3.2***) has a strong influence on the stresses the roughened channel bed experiences, and its ultimate longevity. When a roughened channel is at a slope similar to the upstream channel, 1.25<SR<2 or so, there is a flow of bedload that can replace some of the material lost through sediment transport. When the slope ratio is very high, such as when the roughened channel backwaters a wetland or lake, there is no sediment of appreciable size transported into the channel and a continual winnowing-away of all mobile particles occurs. This gradually increases the porosity which reduces surface flow and fish passage. This coarsening of the bed also eliminates the matrix of support for the larger clasts – eventually only the biggest rocks are left and the displacement of one can cause a cascade of similar failures, regrading the channel. Low vs. high slope ratio is analogous to the difference between live-bed and clear-water scour used in bridge scour analysis (HEC 18). The best thing to do in roughened channel design is reduce the slope ratio as much as possible. But if it must remain high, carefully design and specify the bed materials, and make sure that they are properly mixed and placed.

Bed-stability considerations, rather than fish-passage velocities, usually dominate the design of the bed-material composition. It is, therefore, recommended that bed-stability analysis be performed before calculating the fish-passage velocity. At this time, there are no procedures that can determine the specific size of bed material needed to meet the slope and discharge for steep, roughened channels. In the case of the stream simulation design option we can use natural analogs or models of natural systems to reliably estimate bed-material size (see ***Chapter 3***). Roughened channels, on the other hand, increase hydraulic forces due to constriction and increased slope.

Unfortunately we do not have a factor to relate the two and must resort to other methods. Two general methods are reviewed here:

- the U.S. Army Corps of Engineers steep slope riprap design
- the critical-shear-stress method

U.S. ARMY CORPS OF ENGINEERS RIPRAP DESIGN

U.S. Army Corps of Engineers reference, EM 1110-2-1601, Section ***e***., steep slope riprap design (Corps of Engineers. 1994), gives this equation for cases where slopes range from two to 20 percent, and unit discharge is low:

$D_{30} = 1.95S^{0.555}(1.25q)^{2/3}/g^{1/3}$ **Equation 6.4**

Where:

D_{30}	=	the dimension of the intermediate axis of the 30th percentile particle
S	=	the bed slope
q	=	the unit discharge
g	=	acceleration due to gravity.

The recommended value of 1.25 as a safety factor may be increased. The study from which this equation was derived cautions against using it for rock sizes greater than 6 inches (Abt, Wittler et al. 1988). The equation predicts sizes reasonably in hypothetical situations above this, but it has not been specifically tested in real applications.

The U.S. Army Corps of Engineers recommends angular rock with a uniform gradation (D85/D15 = 2). This material is not preferred for use in a fish-passage structure (see the section on bed porosity, below). An approximate factor to scale D_{30} of a uniform riprap gradation for one that is appropriate for stream channels is 1.5, so that, $D_{84} = 1.5\ D_{30}$, where D_{84} is the dimension of the intermediate axis of the 84th percentile particle, and similarly for the 30th percentile.

CRITICAL-SHEAR-STRESS METHOD

Critical shear stress is a time-honored method to estimate the initial movement of particles. Several researchers have said that critical shear stress should not be applied to steep channel (Bathurst 1978; Olsen, Whitaker et al. 1997), although others (Mussetter 1989; Wittler and Abt 1995) have used it. The Federal Highway Administration developed a channel-lining design method based on critical shear stress, with data from flume and field studies (Norman 1975). The data is largely from low-gradient situations, but the design charts show slopes up to 10 percent and particle sizes up to 1.9 feet, which places it in the range of designed roughened channels.

The condition of stability is defined as the point at which the critical shear stress, τ_c, equals the maximum shear stress, τ_{max}, experienced by the channel.

The critical shear stress is the shear stress required to cause the movement of a particle of a given size and is equal to four times D50, where D50 is the 50th percentile particle, in feet. This relationship implies a critical, dimensionless shear stress of about 0.039 (Mussetter 1989) and (Wittler and Abt 1995) used 0.047. J. M Buffington and D. R. Montgomery (Buffington and Montgomery 1999) discuss the range of τ_c. The maximum shear stress is 1.5 times γRS, where γ is the unit weight of water, R the hydraulic radius and S the slope.

As the width of the roughened channel culvert decreases relative to the width of the channel, flow intensity increases, and inlet contraction plays a role in stability. The bed-material design techniques account for increases in intensity, but they do not include inlet contraction as a factor. Small increases in head loss at the inlet can result in changes in velocity large enough to significantly change bed-material size estimates. Head loss of 0.1 foot represents an approximate 1.8 feet/sec velocity increase ($h = KV^2/2g$, $K = 0.5$) at the inlet, possibly forcing supercritical flow (see next paragraph).

The movement of bed material in natural, steep channels is thought to coincide with supercritical flow (Grant, Swanson et al. 1990). If, by decreasing the width of a culvert, the Froude number is caused to approach 1.0 at flows below those used to size the particles, then it is likely that the bed may fail prematurely. Unfortunately, most of the roughness-factor models were specifically developed for subcritical flow; it is, as a result, difficult to determine how flow velocity approaches supercritical flow. K. J. Tinkler (Tinkler 1997) used an approach that calculates a specific Manning's n for the critical case, as a function of slope and depth. The Limerinos equation (Limerinos 1970) (shown below in the section on velocity) follows this closely when it is determined that the bed roughness approximates a natural channel.

In cases where inlet contraction is minimal and flow inside the culvert is not expected to go supercritical prematurely, it is recommended that the U.S. Army Corps of Engineers' ***Equation 6.4*** for steep channels be used to size bed material for roughened channels. This recommendation is made even though the equation was not considered applicable for particles over six inches in diameter. It still gives results in line with what we might expect to find in steep channels.

In addition to the methods mentioned here, theoretical work has been done by a number of researchers on the initial movement and general bedload discharge in steep, rough natural channels. Citations are shown in the references section at the end of this chapter (Nelson, Emmett et al. ; Wiberg and Smith 1987; Grant, Swanson et al. 1990; Wiberg and Smith 1991)

It is not recommended that culverts with bed material inside be designed to operate in a pressurized condition under any predicted flow. The riprap design methods suggested here assume open channel flow. They were not developed for high velocity and turbulence under pressure. Under most scenarios, it is assumed that minimum width requirements and fish-passage velocity criteria will be the limiting factors in design, not high flow capacity. But there may be cases where an unusual combination of events creates a situation where headwater depth exceeds the

crown of the culvert. In such a case a conservative stability analysis would model the culvert using a complete culvert analysis program and/or a backwater model. The hydraulic results could then used to estimate shear stress conditions and determine a stable rock size.

FISH-PASSAGE VELOCITY

The point of roughening the channel is to create an average cross-sectional velocity within the limits of the fish-passage criteria and the Hydraulic Design Option. The average velocity of a roughened channel culvert is essentially a function of:

- Stream flow
- Culvert bed width
- Bed roughness

The flow used to determine the fish-passage velocity is the fish-passage design flow as described in the Hydrology section above. As a design starting point, the width of the culvert bed should be at least the width of the natural stream-channel bed.

Steep and rough conditions present a unique challenge for hydraulic modeling. Traditional approaches to modeling open-channel flow assume normal flow over a bed having low relative roughness. In roughened channels, the height of the larger bed materials are comparable with the flow depth and complex turbulence dominates the flow (Wiberg and Smith 1987). A number of equations are available for an analysis of these conditions, but they are crude and generate widely varying results. Research to date has centered on estimating flow in natural, cobble/boulder streams and is not intended for use in engineering artificial channels.

Three researchers have used bed-material characterization and/or channel geometry to create empirical equations predicting roughness: (Jarrett 1984), (Limerinos 1970) and (Mussetter 1989). Generally, the conclusion one can draw from these studies is that friction factors in steep, rough channels are much larger than those found in lower-gradient streams. This conclusion is not surprising but it is notable just how high the roughness factors are. For instance, in Mussetter's field data on steep channels, 75 percent of the Manning's n values exceed 0.075, the highest n featured in H. H. Barnes' Roughness Characteristics of Natural Channels (Barnes 1967) which covers larger, lower-gradient streams. It remains unclear as to how natural channels compare to constructed, roughened channels.

In general, the relationship between velocity and roughness is given by:

$$V/(gRS)^{1/2} = R^{1/6}/(ng)^{1/2} = (8/f)^{1/2}$$ **Equation 6.5**

Where: V = the average velocity

g = the acceleration due to gravity

R = the hydraulic radius

n = Manning's roughness factor

f = the Darcy-Weisbach friction factor.

S = the friction slope of the channel

The use of n or f depends upon convention, but the Darcy-Weisbach equation accounts for the reduction in roughness with increasing depth, whereas Manning's equation does not.

Below is Limerinos' equation (Limerinos 1970)

$$n = (0.0926R^{1/6}) / (1.16 + 2\log(R/D_{84}))$$ **Equation 6.6**

Where: D_{84} = the dimension of the intermediate axis of the 84th percentile particle.

This equation is based on data where $0.9<R/D_{84}<69$ and $0.02<n<0.107$. The error range for $n/R^{1/6}$ is +42.9 percent to -33.7 percent. Limerinos' equation seems to produce a more accurate prediction in higher-velocity situations. It is likely to give smaller roughness values in lower-flow situations than Mussetter's equation, derived from data collected in California Rivers.

Below is Jarrette's equation (Jarrett 1984)

$$n = .039S_f^{0.38}R^{-0.16}$$ **Equation 6.7**

Where: S_f = the friction slope of the channel.

This is based on data where the slope is between 0.002 and 0.04, although predictions may extend to 0.0825 and where $0.4<R/D_{84}<11$ and $0.03<n<0.142$. Jarrette's equation does not include sediment size as a variable. It is implied that, as slope increases, sediment size increases and so does roughness. Because sediment size is not included, the error range of n on the test data is wide, +44 percent to +123 percent. In constructed channels, there is no such relationship between slope and particle size. Jarrette is included here as a comparison with the other methods where the average velocity is less than three fps.

Below is Mussetter's equation (Mussetter 1989)

$$(8/f)^{1/2} = 1.11(d_m/D_{84})^{0.46}\,(D_{84}/D_{50})^{-0.85}\,S_f^{-0.39}$$ **Equation 6.8**

Where: d_m is the mean depth.

It is derived from data where $0.0054<S<0.168$, $0.25<R/D_{84}<3.72$, $0.001<f<7.06$ ($0.036<n<4.2$). Since a relatively large amount of information is included in this equation, the error range on the test data is small, +3.8 percent to +12 percent. The equation is derived from data collected in Colorado mountain streams. Sediment distributions in these streams were very similar to those found in Washington, and they were similar to the distributions recommended in this guideline. Accuracy decreases where velocity is greater than about 3 fps, so this equation should only be used for calculating fish-passage velocity, not flood level flows.

These equations include all the roughness characteristics of natural channels, not just boundary roughness due to grain resistance. This means that as the design channel differs from the diversity of natural channels, roughness estimates must be decreased. For instance, culvert walls offer little real resistance since they are very smooth and straight.

The design and construction of roughened channels must be done in such a way that roughness is maximized and natural channel planform and profile are emulated. Otherwise, resistance equations based on natural channels will under predict the true velocity, and fish passage may not be successful.

A convincing argument states that channels, left to their own devices, form boundaries that create maximum resistance to flow (Davies 1980; Davies and Sutherland. 1980; Davies and Sutherland. 1980). There is an implied relationship between the measured resistance to flow and the natural tendency to maximize resistance.

In artificial channels, we may or may not create maximum roughness during the design and construction process. This leaves our velocity estimates subject to considerable doubt when they are based on roughness values tied to natural conditions.

A riprap surface pounded into place without any steps and pools is hydraulically very smooth and certainly nothing like a natural channel. Yet, based on a characteristic particle size of the riprap material used, say D_{84}, flow velocity estimates using Mussetter's resistance equation would be only a fraction of those that would occur in this channel.

It is important to obtain a copy of the relevant articles cited in this chapter to make sure that the basis and limitations of these equations are fully understood prior to design. It is also important to note that even though many roughened channels have been constructed here in Washington State and elsewhere, they have not been systematically monitored and these equations field-verified.

VELOCITY COMPLIANCE

The velocity calculations in the previous section assume that the constructed roughened channel bed creates the hydraulic roughness implied in the friction factor used. Since this roughness depends on the size and gradation of the bed materials, and the way in which they are configured in the channel, there is no way to know whether the project complies with the velocity criteria. As a compliance standard to insure adequate roughness, the average cross sectional velocity should be measured in the completed project at the fish passage design flow, or at a flow greater. The measured velocity must be below the design velocity.

We do not suggest that velocity must be measured in every roughened channel project. It is difficult to be at the site when the 10% exceedance flow occurs. However, in cases where there is some doubt that the project complies with the criteria set in this chapter, and with state law, then the owner, designer or contractor should measure the average cross sectional velocity to verify compliance. Standard flow estimating techniques should be used, for instance, (Harrelson, Rawlins et al. 1994).

BED CONFIGURATION

The structure of roughened channel beds has evolved over the years of practice. Initially, the profile of higher gradient channels was designed like natural step-pool channels. It is now obvious that steps formed from a single row of larger stones are relatively fragile. In a natural channel, if a step fails and is reformed at a lower elevation, or precipitates the failure of a series of steps, there are no lasting consequences. But if this were to happen inside a culvert with no similar sized material available from upstream, the culvert bed may not recover and the fishway may shift out of compliance with criteria and upstream habitat or infrastructure may be affected.

A series of cascades is a much more robust profile configuration. The cascades form a redundant grade control structure where the movement of an individual rock does not affect the overall gradient. This type of grade control is discussed in more detail in ***Chapter 7***.

Figures 6.5 – 6.8 are examples of roughened channels. They are generally of the cascade type where the bed is uniformly covered with large rock creating high relative roughness, depth is shallow with respect to the characteristic bed material size (Bathurst 1978). Notes about these sites are contained in the captions.

Figure 6.5: Fulton irrigation diversion with roughened channel. Irrigation intake is to the left in the photo. This type of roughened channel is often referred to as a rock ramp and its design has been described by Aadland (Bates and Aadland 2006) and Newberry (Newbury and Gadoury 1994).

Figure 6.6: Roughened channel culvert in California, photo Michael Love and Associates. Bed is composed of angular material, which is the least preferred, and stream banks could have been reinforced with biotechnical methods rather than rock.

Figure 6.7: Taenum Ck. Bruton irrigation diversion. This roughened channel is shown at high flow. The design must consider flow conditions like this to ensure that the channel does not degrade. Photo Paul Tappel.

Figure 6.8: Roughened channel culvert. Shown at low flow. Bed material is rounded, but the bed should be countersunk deeper to be more robust.

The bed material is placed so that a low-flow channel meanders down the center of the culvert, if enough width is available. Channel side slopes above the low flow channel should be approximately 6:1. Various alternate channel cross sections have been successful and the designer should use their own experience as a guide.

BED POROSITY

The gradation of the mix used for the bed inside roughened-channel culverts should have enough fine materials to seal the bed and provide the variety of particle sizes that are present in natural channels. The standard riprap gradation recommended by the U.S. Army Corps of Engineers (EM 1110-2-1601) is $D_{85}/D_{15} < 2$ (riprap, or quarried stone, is not recommended for roughened channels, but this type of gradation is common with coarse materials of this size range). This is very permeable and leads to subsurface flow during low-flow periods and does not create a very stream-like character. Even after years of seasoning, culverts constructed with coarse mixes lose surface flow into the bed. Specifying a well-graded mix reduces permeability but may reduce stability if the voids are overfilled and rock-to-rock contact is lost. The mix must be designed to limit the reduction of stability and the risk of failure.

Washington Dept. of Transportation specification 9-13.4 Rock for Erosion and Scour Protection creates a gradation that is well graded, although not necessarily non-porous. If angular materials (quarry stone) are acceptable, then this specification may be helpful. An additional provision would need to be added that specified the gradation of the smallest 15%.

There is an extensive discussion regarding well-graded sediment mixtures in ***Chapter 3***. Refer to that chapter for the design of culvert fills for roughened channels. The WSDOT specification for streambed materials is also included in ***Chapter 3*** and should be used for roughened channels whenever possible.

TURBULENCE

In order to maintain a desired velocity, energy must be dissipated. The energy of water "falling" down the channel is dissipated by turbulence. For an arbitrary width, the culvert slope and roughness could be continually increased so that the average velocity would meet fish-passage criteria, but, in that process, the intensity of the turbulence increases and becomes a barrier to fish passage. Turbulence in the culvert is characterized by the energy dissipation-per-unit volume of water and is referred to as the energy-dissipation factor (EDF) which is calculated using Equation 6-3.

There has always been some technical uncertainty concerning the maximum numerical value of EDF for fish passage in roughened channels. Paul Tappel, biologist and engineer responsible for the design and construction of about 40 roughened channels in Washington has suggested that maximum EDF criteria stated in the 2003 *DESIGN OF ROAD CULVERTS FOR FISH PASSAGE* is too low (Tappel 2010). Based on a visual examination of existing roughened channel culverts, WDFW recommended that EDF be equal or less than 7.0 foot-pounds per cubic foot per second (ft-lb/ft^3/sec). This recommended maximum EDF for roughened channel culverts is significantly greater than that recommended for baffled culverts (EDF 3 to 5) and fishways (EDF 4.0, pool volume criteria). This is because the diversity of the turbulence scale and flow patterns in a roughened channel provides more opportunities for low-turbulence zones for resting and passage.

We admitted at the time that the value of 7.0 ft-lb/ft^3/sec was based on very little data and thought that it would allow practical design of roughened channel culverts under reasonable circumstances. Further, we speculated that as research and experience broaden, this value may be modified. We have reached that point.

Tappel calculated the EDF for a number of roughened channel projects built between 1998 and 2009, using the formula presented above at the estimated high fish passage flow for each completed project. This data appears in ***Figure 6.9*** shown as a function of channel slope. The strong correlation of channel slope to EDF is due to the fact that slope is a factor in EDF. Limiting EDF to 7.0 ft-lb/ft^3/s would have created a design limitation for the application of this method, and would have excluded many of the successful roughened channel projects he has designed. Tappel has observed good fish passage characteristics in these channels and feels that even those with an EDF well above 7 ft-lb/ft^3/sec are passable.

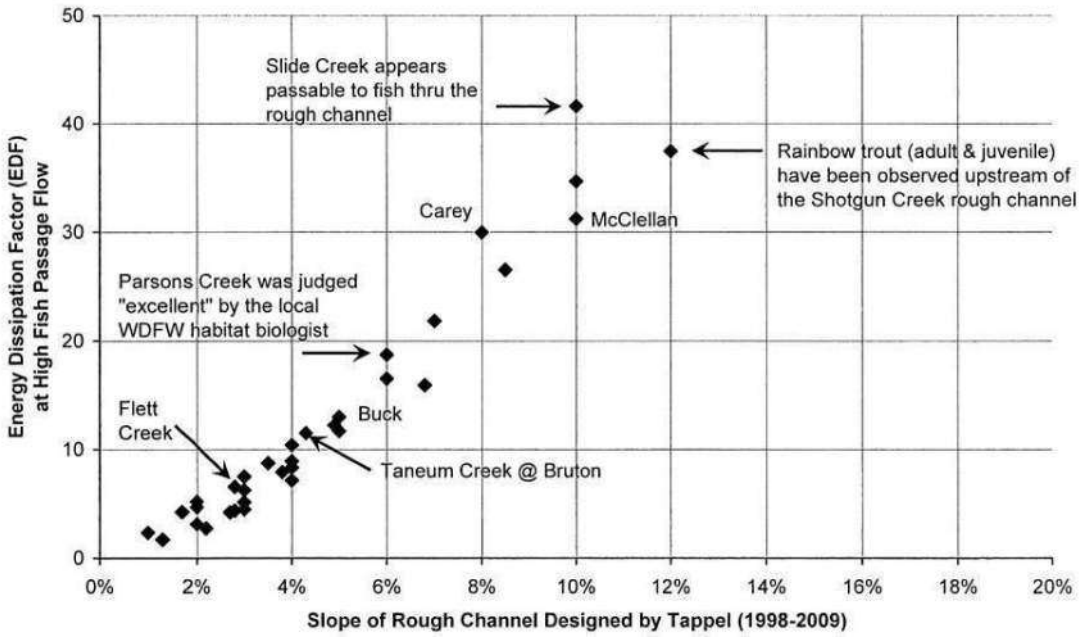


Figure 6.9: Energy dissipation factor for selected roughened channels (Tappel 2010).

As a way to approach this complex problem, it was hypothesized that the EDF generated in natural channels could form a limit to what should be expected in artificial channels. Data from the stream simulation culvert effectiveness study (Barnard, Yokers et al. 2011) was used to determine EDF in natural fish bearing streams. Velocity at the high fish passage design flow (10% exceedance flow) and the water surface slope from 50 Washington streams was used to determine EDF. This data is shown in ***Figure 6.10***. The EDF of stream simulation culverts on these study streams is also shown.

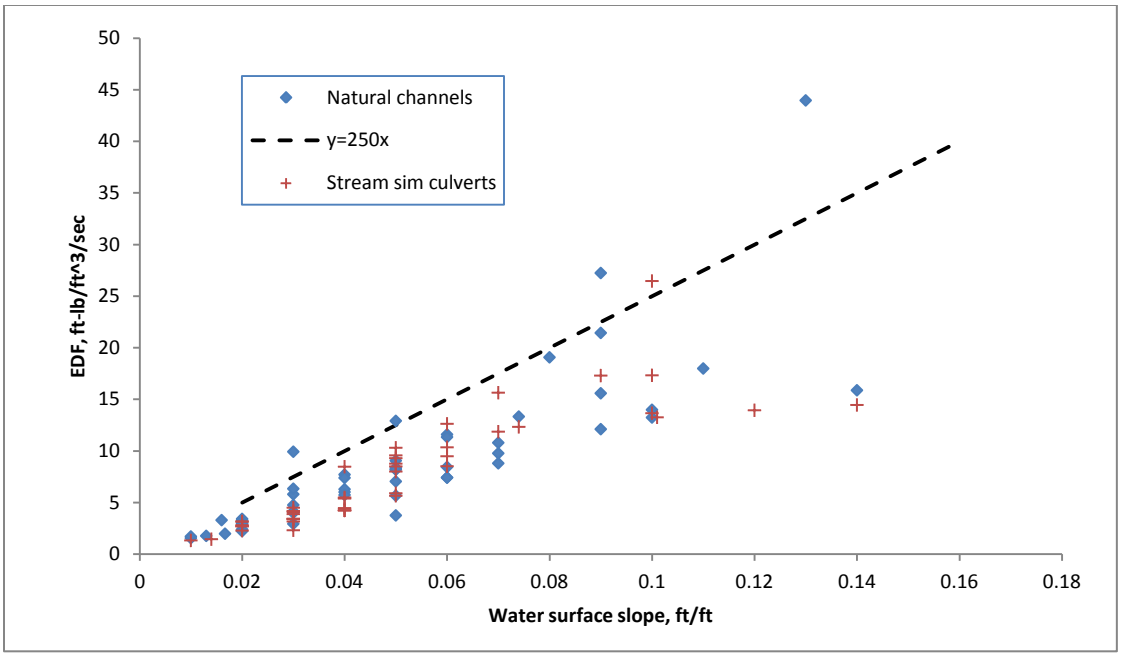


Figure 6.10: Energy dissipation factor at the fish passage design flow as a function of water surface slope for a selection of channels and stream simulation culverts in Washington (Barnard, Yokers et al. 2011).

The dashed line above most of the data (y = 250x) forms an envelope that encloses what is considered to be a safe limit to the EDF generated in roughened channels. Five points in ***Figure 6.10***, and a few of Tappel's channels in ***Figure 6.9***, lie above this line and are probably only passable during relatively narrow ranges of creek flow; the designs of these aggressive channels would be considered on a case-by-case basis. The dashed line on ***Figure 6.10*** should be used to restrict the design of roughened channels to situations where turbulence would be no greater than the majority of natural channels of the same or similar slope (at the high fish passage design flow). For convenience, the maximum EDF for a given slope is in tabular form for representative water surface slopes. It is recommended that roughened channel EDF not exceed the values in ***Table 6.4.***

Table 6.4: Recommended relationship between roughened channel slope and maximum EDF.

Slope ft/ft	Max EDF ft-lb/ft^3/sec
0.02	5
0.04	10
0.06	15
0.08	20
0.12	30
0.16	40
0.20	50

In lower-gradient situations, the roughened channel design method assumes that the bed material creates the dominant form of roughness, and the boulders placed on the bed act only to enhance the fish passage. It is clear that these boulders have some role in general resistance to flow, but it is not clear how to quantify this. Though we know that they act as constrictions or obstructions to flow, it will take additional studies to know whether bed roughness or constriction losses are the dominant roughness factor. To design conservatively for fish passage, we do not recommend including the boulders in the velocity calculation.

Using the synthetic streambed distributions recommended in ***Chapter 3*** for higher-gradient situations, the maximum-sized particles prescribed will act as boulders. In such cases, there may be no difference between the roughness boulders and the largest bed element.

Maintenance and Inspection Plan for Fishways

As described at the beginning of this chapter, Hydraulic Design Option culverts are fishways, structures that are specifically designed to pass fish. As a result, they often limit other stream functions, such as the transport of large in-stream wood and sediment, and require inspection and maintenance to function properly. The owner must inspect and maintain them so that they continue to pass fish as required under State law. What follows is an inspection and maintenance plan for fishways.

First, the responsible party should be identified. State law designates the owner of the obstruction to fish passage as the one responsible for maintaining it. This is somewhat ambiguous when the largest "owners" of crossings in Washington are the State, Counties and Municipalities. The one responsible for inspecting and maintaining must be clearly identified both by division, name and title. One way to ensure that the owner remembers their obligation for the life of the structure is to place the inspection and maintenance functions in an established department, such as road maintenance. In the case of a new culvert this may be 50 years, spanning multiple careers and even the life span of whole agencies. This is another important reason why the stream simulation is such a desirable design method – it is nearly maintenance-free and does not need this step.

The **inspection interval** depends on the type of structure and watershed conditions. Sensitive structures include

- Formal fishways: pool and weir, pool and chute
- Baffled culverts
- Backwatered culverts
- Grade control structures

The extreme case would be a sensitive structure, say a baffled culvert, in a channel with a large watershed with abundant large sediment and woody debris. These fishways should be inspected after every large storm and once at the end of the rainy season to ensure that they are always passable.

At the opposite end of the inspection interval spectrum would be that same baffled culvert but in a groundwater fed stream with virtually no sediment or debris transport. This culvert would only

need to be inspected once a year, but never less than once a year so that the regularity of inspections establishes a reliable pattern.

The most robust fishways are roughened channels. After an initial annual inspection period, say 5 years, without repairs, the interval between roughened channel inspections might be increased.

INSPECTION METHODS AND CRITICAL CRITERIA

The inspector must physically walk the entire length of the culvert or fishway. Inspectors should work in pairs. They should be provided with the proper gear for comfort and safety. Water depths in a culvert fishway may exceed 3 feet and some culverts are hundreds of feet long – these are dangerous situations requiring training and close attention to safety.

The inspector is looking for situations where the fishway is either forced out of fish passage criteria or threatened structurally. Design and as-built drawings must be available to the inspector and on-site during inspection. Without these drawings, there is no way to tell what is in compliance and what is not. If parts must be repaired or replaced, these drawings are essential. Specific criteria should be applied to each component of the fishway so that the inspector knows when maintenance is required. Whenever any work is done that may affect fish life, such as the repairs and maintenance suggested here, the owner must obtain a Hydraulic Project Approval from the Washington Dept. of Fish and Wildlife. Some common situations and contingencies are outlined below:

- Debris hung up on baffles or weirs, or at the entrance of culverts. Debris includes sticks, leaves and other woody material.
    - Remove debris from fishway and dispose of out of reach of high water and above steep slopes that may reintroduce it upstream of the fishway. For improved stream ecology, debris should be reintroduced downstream of the fishway, unless there are culverts downstream that may be impacted.
- Accumulations of non-erodible sediment in baffles or weir pools that displace pool volume or force flow into impassible high velocity jets. Commonly, cobbles fill fishway pools and are not eroded out by normal high flows, or pile up in baffled culverts forming a smooth high velocity surface. This is different from normal bedload of the gravel and smaller size classes that fill and scour regularly. Accumulations of gravel in the corners of pools and baffles do not significantly affect their performance.
    - Remove non-erodible sediment from pools and baffles. Often heavy machinery is required for this.
- Missing or damaged weirs or baffles. Logs or boulders transported by big storms commonly destroy or damage these rigid structures.
    - Replace or repair weirs or baffles to their original shape and elevation.
- Adjustable components, such as stop logs or weirs, must be within the design criteria for cross sectional shape and water surface drop.
    - Adjust or replace components out of compliance according to the original plans or plans that have been officially modified for better performance. These inspection and maintenance activities and procedures should be set down in a manual with the

design report and drawings to make a complete package for any inspector who takes on the job.

CHAPTER 7: CHANNEL PROFILE ADJUSTMENT

SUMMARY

- Channel profile adjustment occurs when a culvert is replaced and there is an abrupt change in the channel slope or elevation at the water crossing.
- Channel regrade is the most common result (the lowering of the upstream channel).
- Regrade is preferred since it allows natural processes to prevail but the impacts must be understood and managed.
- Controlling the gradient and maintaining the upstream bed elevation to its existing level should only be done when necessary since artificial control structures are prone to failure, require maintenance and interfere with the evolution of the streambed.
- The use of grade control structures to maintain a specific stream slope for fish passage and habitat protection constitutes a "fishway." In order to maintain fish passage as required by law, fishways should be inspected and maintained as described in *Maintenance and inspection plan for fishways,* ***Chapter 6***.
- Channel profile adjustment can be controlled using channel-steepening options, which are described in detail (the first 3 are preferred for reasons of stability, fish passage and habitat quality, the last 3 are discouraged):
    - Constructed cascade
    - Constructed riffle
    - Boulder control
    - Rigid sill
    - Fishway
    - Baffles

INTRODUCTION

As discussed in ***Chapters 1, 3*** and ***4***, some level of stream profile adjustment occurs when a culvert is removed or replaced. Such an adjustment may also occur when a bridge is replaced, although this is rare unless the channel beneath the bridge has been rocked to control grade. Regardless of the design option used, the crossing bed elevation must match the future channel profile and elevation. The elevation of the culvert in the no-slope and stream simulation design options depends upon the countersink criteria for each option and the natural channel elevation, including alluvial pools that may migrate through the culvert.

Surveying a profile is briefly described in ***Chapter 1***. A more detailed discussion can be found in a variety of publications, notably *STREAM CHANNEL REFERENCE SITES* (Harrelson, Rawlins et al. 1994). A rigorous discussion of channel profile, its measurement, assessment and its interaction with a given crossing design can be found in the U. S. Forest Service's*: AN ECOLOGICAL APPROACH TO PROVIDING PASSAGE FOR AQUATIC ORGANISMS AT ROAD-STREAM CROSSINGS* (Forest Service Stream-Simulation Working Group 2008). Designers with complicated projects should refer to this document rather than relying on the light discussion found here. The USFS document stratifies profiles into 7 categories and discusses their impacts on crossing design, as shown in **Figure 7.1**.

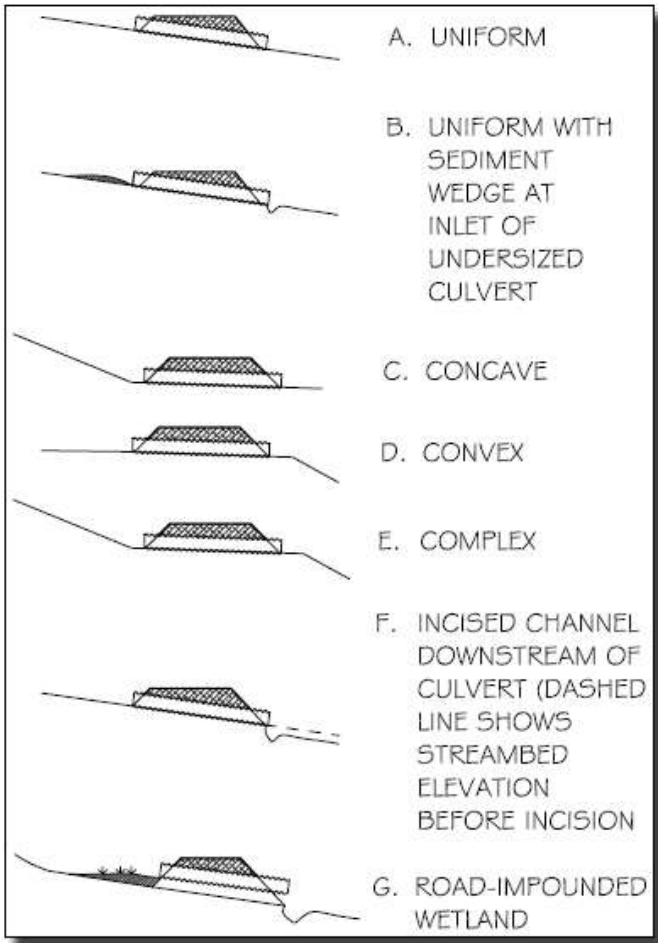


Figure 7.1: Road crossing profiles (Forest Service Stream-Simulation Working Group 2008)

The *uniform profile* presents no particularly difficult challenges to the designer since the old crossing can be removed and the new one placed without any profile adjustment. The *uniform with sediment wedge at inlet of undersized culvert* is also quite simple because the only adjustment necessary concerns a local accumulation of sediment which can either be mechanically removed or allowed to erode as a natural process, resupplying the downstream channel with bedload.

Concave profiles often occur when the road is placed at the valley wall where a higher gradient stream flattens out onto the floodplain. These culverts are prone to sediment accumulation and are best designed wider than what is recommended in ***Chapters 2*** and ***3*** to accommodate the transport, staging, and storage of deposited sediment. This is discussed in more detail in ***Chapter 9***. Increases of 1.5 to 2 times the recommended span may be required to accommodate these processes. The cost implications of this may make changing the road alignment to a better crossing site more attractive.

Convex and complex profiles are more often found on mid-slope roads. If the bed is relatively stable, they should not present any difficulties in crossing design. On the other hand, if these are transitional shapes, formed from mobile sediments or accumulations of instream wood, then the

designer should consider a bridge or deeply embedded structure to anticipate episodic changes in bed elevations.

Incised channel downstream of culvert is an all too common and problematic form in Washington State and is of primary interest in this chapter. It is readily identified by a culvert outlet drop greater than approximately 2 feet. It should be distinguished from outlet scour, which is caused by an undersized culvert that scours a pool at the outlet which locally lowers the bed and creates a small drop, usually less than 1 foot, but occasionally more.

Road-impounded wetlands are covered in **Appendix F.**

Solutions to these various profile adjustments should be in keeping with the natural process approach to crossing design advocated in this document. The stream should adjust itself as it would to any natural disturbance using the materials and forces commonly understood in fluvial geomorphology. In the case of ***F*** in ***Figure 7.1***, one would lower the replacement culvert and allow the upstream channel to regrade. There is often a complex channel response (both upstream and downstream) to this, which is discussed in the following paragraphs. Controlling the gradient and maintaining the upstream bed elevation to its existing level should only be done when necessary since artificial control structures are prone to failure, require maintenance and interfere with the evolution of the streambed.

The characteristics of the adjacent stream reach determine the size, slope and degree of countersink of the pipe. A long, surveyed profile is essential for determining both the characteristics of the channel and the appropriate degree of countersink for the new culvert. Long profiles (20 channel widths or a minimum of 200 feet upstream and downstream from the culvert) reveal true channel slope and the expected extent of scour. The depth of pools within the reach indicates the depth of scour and, in turn, the appropriate elevation for the invert of culverts designed by the no-slope and stream simulation design options. Pools that are a result of alluvial processes that occur within the natural channel should be taken into account in design.

Consider also the potential variance in overall channel elevation during the life of the project. The natural elevation of an alluvial channel may change over time and is often affected by human-caused changes in sediment, debris and flow. Determine whether the channel is in equilibrium or disequilibrium (aggrading or degrading) or whether localized disequilibrium will be caused by the project. Estimate the potential variance in elevation of the bed and design the culvert for that range. This is important for all design options and most critical for designs that have rigid bed elements, including bed controls and culverts without natural streambeds.

Satisfying the countersink and velocity criteria for culvert retrofits, or preserving upstream habitat or infrastructure often requires steepening the downstream and/or upstream channel gradients. This can be done by installing grade-control structures; a steeper, roughened channel; excavating bed material; allowing the channel to regrade without controls, or a combination of these approaches .

CHANNEL STEEPENING OPTIONS

The use of grade control structures to maintain a specific stream slope for fish passage and habitat protection constitutes a "fishway." In order to maintain fish passage as required by law, fishways should be inspected and maintained as described in *Maintenance and inspection plan for fishways,* ***Chapter 6***.

No single grade control solution is the best answer for all situations. Often, choices among these options will be influenced by issues other than fish passage, such as property lines, habitat considerations, risk to infrastructure, or issues about flooding or erosion. These factors are described in this section. Grade control alternatives should start with naturally robust designs like constructed riffles or cascades.

The retrofit of an existing culvert will often require a steepened channel downstream. Other situations that lead to this need include the protection of an upstream wetland or other upstream habitat features or floodplain function, protection of structures or buried utilities, and the constructability of a deep excavation for a culvert installation.

A culvert can provide a beneficial function as a nick point to prevent a degrading downstream channel from progressing upstream. Placing downstream grade controls and maintaining the culvert elevation as a nick point can be, in some cases, valuable for upstream habitat protection. Any grade-control structures must, of course, anticipate future degraded channel conditions. A simple way to prepare for continuing degradation is to bury additional control structures into the bed downstream at the same gradient as the upstream controls. These controls would become exposed and effective only as the downstream channel degrades, which it inevitably does.

If grade-control structures are built in the channel downstream of the culvert, they should be long-lasting and stable at the design elevation. This is required because the culvert is a long-term feature (25- to 50-year life) with a fixed elevation. Any loss or lowering of the downstream controls could result in another barrier at the culvert or structural risk to the culvert.

The upstream channel grade may be adjusted to fit a new or replacement culvert with an upstream invert lower than the existing streambed. Control structures upstream may either have rigid elevations or they may be expected to gradually adjust over time. This will depend upon the factors described in the next section. All or part of the upstream regrade may, in some cases, be allowed to occur naturally with no controls.

The addition of channel-regrade structures or channel modifications to increase the channel slope extends the length of channel affected by the culvert installation. Habitat impacts may also have to be mitigated in the modified channel reach and may affect the design of the steepened reach.

CHANNEL HEADCUT AND REGRADE FACTORS

A channel degrades when its bed scours and lowers over time either by natural process, hydrologic changes in the watershed and/or the lowering or removal of a control point in the channel.

Channel headcut occurs when the upstream channel has been lowered locally by scour in response to a replacement culvert that has been enlarged and/or set at a lower elevation, ***Figure 7.2***. The headcut itself is a steep section of channel that, as it erodes, migrates upstream and eventually lowers the entire channel for some distance. The same situation occurs if an undersized culvert is replaced with a larger one, since the flood hydraulic profile is lowered by the reduction of the culvert constriction. Habitat impacts of channel degradation can be extensive but short-lived as the channel adjusts to the new elevation. In cases where the impacts are unacceptable and prolonged, they can be managed by reconstruction of the upstream channel either into a natural grade or steepened with hydraulic controls.

Figure 7.2: Regrade resulting from culvert replacement.

A reach degrades when there is a net lowering of the bed elevation. During the initial stages of degradation, a channel will become deeper and narrower, the relative height of the banks increases and the banks steepen. Loss of floodplain connection and concentration of flows within the channel exacerbate the degrading process. Reinforcement of root structure is decreased. As a result of erosion, banks fail, and the channel then widens over a period of time until the channel re-establishes its natural slope, floodplain, bankfull width and depth at the lower elevation. This process is shown graphically in **Figure 7.3.**

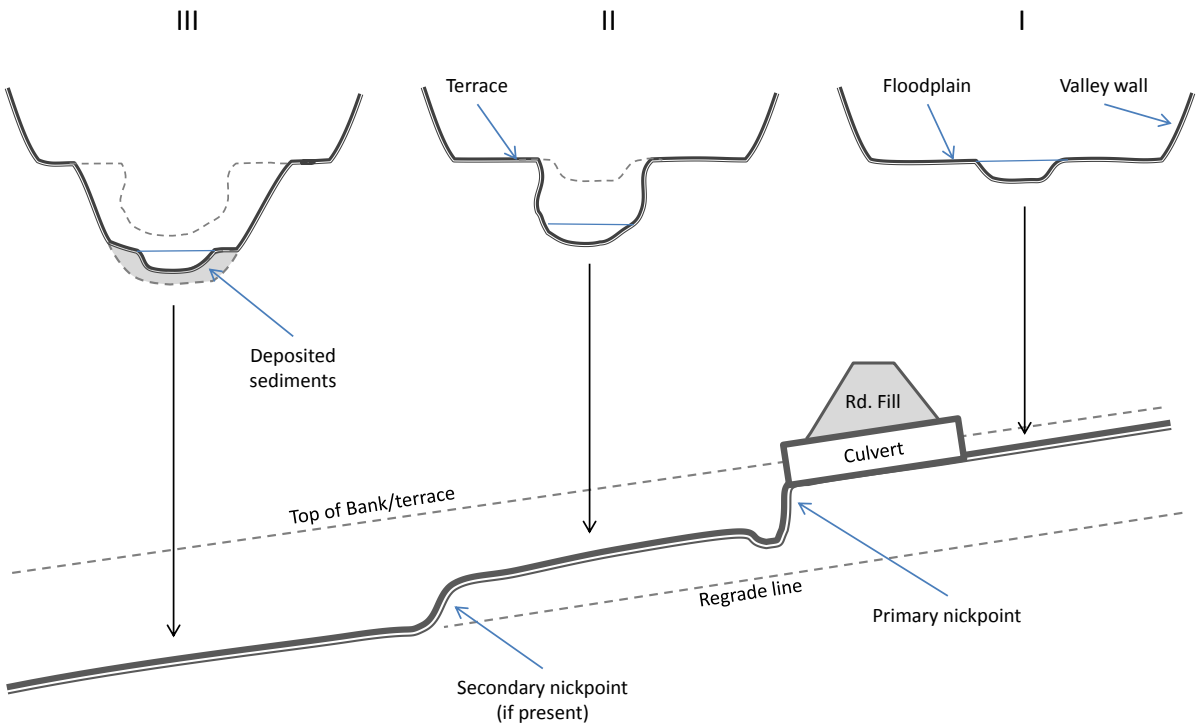


Figure 7.3: Incised channel sequence shown in profile, lower figure, and a sequence of channel cross sections, upper figures I, II and III, located at intervals along the profile (Schumm, Harvey et al. 1984). Figure is not to scale.

A few important details are shown in ***Figure 7.3***. Incision is confirmed by a progressive increase in the distance between the top of bank and the thalweg of the channel. What was once a floodplain (stage I) becomes a terrace which is never again inundated (stage III). The primary nickpoint in this figure has progressed to the road crossing where it is stopped by the non-erodible steel or concrete culvert. This primary nickpoint is often, although not always, followed by a secondary nickpoint or several smaller ones. In the design of a crossing the engineer must be aware that this secondary nickpoint may be approaching the crossing within the lifespan of the proposed structure. The profile must be long enough to recognize this feature and the planned culvert set deep enough to accommodate its passage.

Incision is episodic; periods of incision are followed by periods of sediment storage and then by further incision (Schumm, Harvey et al. 1984). Since incision is a transitional phase, the characteristics and dimensions of the channel at the time of a given survey may be significantly different at some later date. It is important to place the channel in this incision sequence to anticipate future conditions.

Incision is also complex; as shown in stage III, ***Figure 7.3***, the channel has incised, rebuilt with deposited sediments, then cut back down again (Schumm, Harvey et al. 1984). This process can repeat as the primary and secondary nickpoints encounter more or less erodible bed and bank materials, experience higher and lower storm events, as well as pass through channel configuration states that are in and out of equilibrium.

A variety of habitat impacts may occur during the incision process. The most obvious is the erosion of the bed and habitat associated with it. The remaining bed is narrow, confined and usually consists of a steep run with little diversity because the channel has no floodplain for relief from high flows. Bed and bank erosion introduces additional sediment. A degrading channel may lower the ground water table to below the root zone, dewatering the bank and adjacent wetlands or side channels and affecting the survival of vegetation. This, in turn, may trigger secondary causes of erosion such as reduced vegetative structure.

Channels that are most vulnerable to the habitat impacts of a degrading channel are those that have functional floodplains, habitat diversity, and/or adjacent side channels or wetlands and channels with banks that are already over-steepened and on the verge of failure.

The following aspects should be part of the consideration for channel regrade. Detailed information on some of these issues may be required if the expected headcut is greater than about a foot in a gravel-bedded stream, less in a sand-bedded stream.

Such information should include:

- Extent of regrade
- Condition of upstream channel and banks
- Habitat impacts of upstream channel incision
- Habitat impacts to downstream channel from sediment release
- The value of the culvert as a fixed nick point
- Decrease in culvert and channel capacity due to an initial slug of bed material
- Risk to upstream utilities and structures
- Potential for fish-passage barriers created within the degraded channel
- Equipment access

Extent of Regrade

The extent of regrade depends upon the upstream bed slope and composition, the sediment supply to and through the reach, and the presence of debris in the channel. The length of regrade in cobble-bedded streams may be less than in shallow-gradient, sand-bedded streams. Sandy beds often regrade uniformly without increasing slope until they hit the next nickpoint of debris or larger bed material (several feet of regrade can headcut thousands of feet upstream).

A channel with high bed-load transport will be affected less by regrade and will reach an equilibrium condition more rapidly than channels with low bed-load transport. Structures and utilities must be identified in the upstream bed that might be exposed or affected by the

degradation. Culverts should be designed to transport sediment at the same rate as the adjacent channel.

The upstream channel slope and bed composition influences sediment supply and the ability to maintain the bed inside the culvert. This is especially important in culverts that are dependent on the recruitment of material.

Condition of Upstream Channel and Banks

Two extremes of upstream bed condition are an incised channel and an aggraded channel created by the backwater of an undersized culvert. Any floodplain function will be further reduced in an incised channel and instream habitat will be subjected to increased velocities and less diversity. Banks will become less stable as the incised channel undermines them, possibly initiating landslides in narrow valleys. An aggraded channel, on the other hand, can be stabilized and returned to its natural condition by allowing some degradation through it.

Habitat Impacts of Upstream Channel Incision

An incised channel is narrow and confined, with little diversity and reduced stability because the channel has limited floodplain for relief from high flows. Eventually, the channel will evolve into an equilibrium configuration, but substantial bank erosion and habitat instability may persist for some time, possibly decades.

Wetlands form upstream of many undersized or perched culverts. These wetlands perform important functions in the riparian ecology and their fate should be carefully considered when replacing culverts. State and federal resource agencies have prepared ***Appendix F: Road Impounded Wetland guidelines***.

Habitat Impacts to the Downstream Channel from Sediment Release

Aquatic habitats downstream may be affected by the increased sediment deposition resulting from the upstream incision. If the downstream channel is incised, it may benefit from the release of sediment. However, equilibrium channels may be negatively affected by depositing sediment, forcing channel widening, obscuring spawning and rearing habitat and similar effects. In addition to the volume of material released, sediment will be delivered at lower flows than expected, increasing turbidity for long periods until the upstream channel and banks have stabilized. The designer should consider mechanically removing some of the bed material upstream of the culvert if this can limit impacts.

Decrease in Culvert and Channel Capacity Due to Initial Slug of Bed Material

Allowing an uncontrolled headcut upstream of a culvert may result in a slug of material mobilized during a single flow event. As this material moves through the culvert and the downstream channel, it can reduce the flood capacity of both. Either the culvert size should be increased to anticipate this, or less degradation should be allowed where the culvert has significant risk (even if it is a short-term risk) of plugging by bed material and debris. Similar limitations should be considered where structures downstream are at risk from a loss of channel capacity or where banks are at risk of erosion. Without further technical analysis of degradation implications and culvert flood capacity, a culvert inlet should be countersunk no more than 50 percent of its rise or

diameter. Relevant factors to consider include design-flow probabilities, bank height, culvert dimensions, substrate material, fill height and allowable headwater depth.

Proximity of Upstream Utilities and Structures

If a regrade is allowed to continue upstream, it can jeopardize structures in the channel or on the banks. Be aware of buried utilities under the channel and the risk of increased bank erosion. Clearly, a balance must be made between restoring natural processes and protecting infrastructure set too shallow in the bed or too close to the bank. This balance should consider the long term maintenance of both the protected infrastructure *and* the culvert/grade control system.

Potential for Fish-Passage Barriers Created Within the Degraded Channel

Another headcut consideration is the potential for fish-passage barriers to be created within the degraded channel. Upstream culverts, buried logs, and sills of rock, durable till or clay are commonly exposed by channel headcuts. As the channel headcuts to these features, they become the new nickpoint and fish-passage barriers. Adding to the difficulty, these problems may occur where they are not visible from the project site, and they may occur on other properties, making them more difficult to address. The first task of the designer who must lower the invert of a replacement culvert is to walk upstream and try to identify potential nickpoints. The long stream profile should be extended at least to the first of these features and the mobility of the bed material carefully assessed. Many of the natural nickpoints (buried logs, and sills of rock, durable till or clay) would be exposed through natural processes anyway, but upstream culverts are particularly durable and often total barriers to fish passage.

Equipment Access

Impacts to the channel, riparian structure or infrastructure caused by equipment access for upstream or downstream channel construction should be considered in the selection and extent of upstream and downstream channel control structures. Most channel work requires equipment access, generally a track excavator, but often trucks that travel on roads. The trees and brush are cleared, gravel roadways built, banks cut back, the channel ripped up and regraded – all impacts to the productive capacity of the stream that must be mitigated. Healing time can be improved by not removing brush but cutting it short to move equipment over it so the vegetation will grow back sooner. If the grade control is truly needed, then these are temporary impacts that time will heal. But these impacts must be weighed when alternatives for channel profile adjustments are analyzed.

CHANNEL PROFILE STRUCTURES

Descriptions of several grade-control designs are provided in Table 7.1. These techniques, and any combination of them (with a few exceptions), can be used to control channel grade either upstream or downstream of a culvert. When used downstream of a culvert, they are intended to backwater the culvert and stabilize a steepened channel reach. The distance between the culvert outlet and downstream grade control should be a minimum of 20 feet. Culverts are linear, hydraulically smooth structures that tend to increase stream velocity and concentrate it into a jet. The erosive potential of this jet is, in part, a function of the relative width of the culvert. A properly designed culvert should not increase downstream velocity, but an undersized culvert – one that might be a candidate for backwater by grade controls – will increase downstream velocity and erode the back

of a grade control if it is placed too close to the outlet. This minimum of 20 feet should be increased for situations where higher velocity is anticipated.

When grade control is used upstream of a culvert, they are intended to stabilize a steepened reach to prevent or control a headcut and channel incision. Upstream grade control can have a strong effect on bed stability inside the culvert. Turbulence created by the drop tends to scour out the inlet and occasionally the entire bed inside the culvert. A minimum clearance of 35 feet (50 feet where possible) should be allowed between the inlet and the structure.

The purpose of these channel profile structures is to increase stream gradient, for one reason or another, and this locally increases sediment transport capacity and increases downstream velocity. They create a sediment supply-limited reach which is always "hungry;" transporting bedload that normally supports natural channel structure; winnowing out all the particle fractions smaller than a critical size; deepening scour holes and widening banks. For these reasons, grade control requires monitoring and maintenance without which it will fail.

Each technique has advantages and disadvantages as summarized in ***Table 7.1***.

Table 7.1: Comparison of grade control methods.

Grade control methods	Advantages	Disadvantages	Limitations
Constructed cascade	Can be designed to replicate natural channel structure. Provide passage for all fish. Robust, redundant structure – less likely to degrade.	Technical design and construction expertise required. Large and expensive engineering projects.	Applicable to higher channel gradient.
Constructed riffle	Can be designed to replicate natural channel structure. Provide passage for all fish. Robust, redundant structure – less likely to degrade.	Technical design and construction expertise required. Will not maintain specific water surface elevation.	Applicable to lower channel gradient.
Boulder Control	Can be designed to replicate natural channel structure. Good fish passage for most species.	Not redundant – simple adjustments may result in failure. Will degrade over time. Technical design and construction expertise required.	Maximum water surface drop of 9" between structures.
Rigid Sill	Extensive design history. Exact control of water surface elevation	Poor fish passage for many species. Rigid structure in dynamic stream profile. Not redundant – small adjustments may result in failure. Will degrade over time. Precast structures are not aesthetic	Minimum spacing of 15 feet. Limited to < 5% gradient. Allowable drop depends upon fish requiring passage. Cost can be high for precast structures.
Fishway	Provides durable fish passage for design species. Highest slope passage available for grade controls. Extensive design history.	Expensive. Technical expertise and site-specific, flow-regime data required. Debris and bedload may damage or clog structure. Precludes most natural stream processes.	Narrow range of operating flow - difficult to provide passage for all fish, all of the time. Requires ongoing maintenance
Baffles	Increases hydraulic roughness.	Turbulence, hydraulic profile raised, debris problems. Suspected barrier to non-salmonids.	Slope less than or equal to 3.5% (see **Chapter 6**).

Constructed Riffle and Cascade

Constructed riffles and cascades are modeled after their natural analogs, although placed out of context, with the intention of creating a specific habitat type or to increase gradient, as they are referred to here.

A constructed cascade is a graded mix of larger rock and smaller sediment, designed to remain stable up to the design flow, to create enough roughness and hydraulic diversity to steepen the channel and provide fish passage (***Figure 7.5)***. The roughness controls the velocity, and the flow diversity provides migration paths and resting areas for a variety of fish sizes through local higher-velocity and turbulence areas.

Figure 7.5: Constructed cascade used to backwater an existing culvert, Chico Ck.

The principles of roughened channels are described in ***Chapter 6*** and can be used to design open channels outside of culverts. The design should be very conservative for steepening channels downstream of culverts or other fixed structures where any degradation of the channel will result in the culvert countersink or velocity criteria to be exceeded. The culvert should be countersunk deeper than normally required with the expectation that some degradation will occur at the top of the roughened channel. The roughened channel is also acceptable upstream of culverts to control channel headcutting.

Constructed riffles are not described here and have not been widely used in Washington. There are instances where they would be a logical choice and those interested in their design and construction can find numerous resources beginning with Newbury, Gadoury 1994.

RIGID SILLS

Rigid sills are built into the streambed to span the entire channel width. They are a low-cost means of fish passage for streams with natural gradients of less than about three percent and channel widths of less than about 15 feet (this criterion is cautionary and only based on anecdotal evidence, not research). The sills described here are intended for fish passage to temporarily retrofit existing culverts, to control regrade in an urban setting or adapt culvert designs to otherwise challenging situations with regard to land ownership or sensitive upstream ecology. Similar designs have been used with the objectives of enhancing rearing or spawning habitat or stabilizing certain channel-erosion problems. Those designs may be different from those described for fish passage, and they are not discussed here. Further information can be found in the *INTEGRATED STREAMBANK PROTECTION GUIDELINES* (Cramer, Bates et. al. 2002) or the *STREAM HABITAT RESTORATION GUIDELINES*, (Cramer, M. L. 2003).

Rigid sills have been used in many situations to create a series of drop structures to raise the downstream water surface and backwater a culvert. They are typically used downstream of a culvert, but may also be used upstream. A variety of designs have been employed, including single logs, multiple logs, straight weirs, angled weirs, log V-weirs and log K-dams, sheet pile weirs, and concrete weirs of many sorts.

For many years straight, double-log sills were considered reliable and efficient, required the least overall channel length and were the least costly of the styles. Hundreds have been installed since the early 1990s. Lessons learned from observing these structures are that they tend to have a limited life span, require regular maintenance, are challenging for some salmonids to pass (primarily chum), and are a barrier to some native species. In addition, they do not provide the same quality of habitat as the natural channel and preclude some natural stream processes. These same observations can be found in all rigid controls, but log sills are not considered superior in any respect.

A maximum gradient of five percent for streams with typical rainfall-dominated hydrology is required for the use of sills installed in a series. Anything steeper than that will affect fish passage and they must be designed as fishways (See *FISHWAY DESIGN FOR WASHINGTON STATE*). Steeper slopes may not dissipate energy adequately and are, therefore, not stable and/or create downstream impacts. Because of the recommended maximum slope for a series of sills, it is difficult to steepen a channel with a natural slope greater than about three percent. Control structures in small, spring-fed streams may exceed the five-percent gradient criteria.

Log sills are designed to support the streambed, which protects and seals the weirs. Spacing closer than about 20 ft causes the scour pool of each log to extend to the next sill downstream and, therefore, does not allow the accumulation of bed material necessary to protect the upstream face of the sill. The exception is for small, spring-fed streams that don't experience extreme high flows.

WAC 220-110-070 limits the hydraulic drop at any point in the culvert to 0.8 feet or one foot depending upon the species present. Sills are typically installed in a series, with spacing about equal to that of the channel width and a minimum spacing of 15 feet.

Concrete or Sheet-Pile Weirs

Using precast concrete weirs is an option for rigid controls with the advantages that concrete is self-ballasting, durable, and can be formed into a crest with a stream-like cross section. One type of design uses precast concrete panels which are lowered into trenches cut in the channel. Another design includes a weir, stilling basin, and wing walls in a single precast unit. Potential disadvantages are cost, aesthetics and the equipment and excavation required to place heavy, precast units.

Figure 7.6: Soldier pile and cast concrete grade control structures at a dam removal site on Goldsborough Ck. This site has now become thickly vegetated and has a more stream-like appearance.

Concrete highway median barriers and ecology blocks are *not* acceptable materials for fish-passage weirs.

Sheet pile has been successfully used to control gradient and provide fish passage for most fish. The crest can be cut to mimic the stream cross section but must be capped with a steel shape or concrete to soften the sharp edge.

Sheet pile and concrete weirs, if adequately embedded, can be spaced more closely and at a steeper slope than other weir designs, but the downstream effects must be accounted for with additional bed control structures.

Log Sill Design Details

Log sills are built from a pair of logs, each with a minimum diameter of one foot, placed into the streambed (***Figure 7.7)***. It is recommended that the sum of the diameters at any point along the structure be at least 2.5 feet. The pool below each sill will scour to a depth greater than two feet below the downstream control elevation. A good rule of thumb to control deflection of the top log is to use a log with a diameter 1/25th of the log length.

Figure 7.7: Log control used to control grade at the outlet of a culvert.

Double logs are used to prevent the scour pool from undermining the structure. The ends are buried into trenches excavated into the stream banks a minimum of five feet. The logs are normally Douglas fir due to its availability, straightness and resistance to decay. Their longevity is enhanced by being installed level so they are permanently submerged.

The bottom log is offset upstream on a line about 45 degrees from vertical to allow the scour to undercut the upper log. The top log is strapped to precast concrete blocks buried below each end of the sill and sized adequately to anchor the logs. Careful anchorage or ballasting of the logs is critical to their stability. The structural integrity of the log sill depends entirely on the ballast blocks. Well-graded rock placed on the ends of the structure serves as closure for the installation trench and protection for the backfill; it is not used for anchorage.

A seal is attached to the upstream face of the top log, and buried two feet below the streambed, extending upstream at least six feet. Geotextile fabric is recommended with a tensile strength of at least 600 lbs. and burst strength of at least 1,200 lbs. Geotextile fabric has the advantages of longevity, availability and flexibility for ease of construction. It is easier to install than impermeable material, which tends to billow in the stream current during installation. The fabric must be extended into the trenches to completely seal the structure.

Well-graded riprap or riprap mixed with soil is packed over the ends of the logs within the trenches and on the banks, extending to six feet downstream of the sills. The riprap serves as bank protection, not ballast.

The well-graded rock mix prevents flow from plunging into the voids and promoting piping around the structure.

A pool is excavated two feet deep by six feet long in the channel downstream of each log sill in preparation for the natural formation of a scour pool. If a pool is not initially constructed, there is a risk that the first high flow will stream over the sills and energy will not be adequately dissipated, resulting in downstream channel erosion.

The bank rock must extend to the floor of the pool. For installations where bed material does not pass into and through the fishway, the floor of the pool should also be lined with riprap rock.

Knowledge gained through observation indicates that the maximum fish-passage design flow is limited to about 9.5 cfs per foot of length of the log sill. The maximum, safe, high design flow has not been quantified. The highest known flow safely experienced by a series of log sill structures is 15 cfs per foot of length. The weir coefficient for a log weir submerged to 50 percent of its depth is approximately 2.7, based on field measurements. In laboratory experiments, Heiner (Heiner 1991) found a weir coefficient of about 3.8 for full-scale, un-submerged nape, smooth (PVC) pipe.

Sills should be located in straight sections and at the entrance and exits of channel bends; they should not be installed in the bends themselves. There is a risk that if a lower sill of a series fails, those above it will be undermined and also fail in a chain reaction. If a number of bed sills are placed in a series, deeper sills should be placed at intervals (every fifth sill). The deeper sills should be designed as independent dams capable of controlling the full drop, assuming the downstream controls do not maintain a backwater. Their purpose is to prevent the chain reaction and the failure of the entire series.

When used for fish passage, sills within a series should be constructed with equal lengths for uniform hydraulic conditions at high flows. Energy is often not dissipated over log controls during peak floods. The downstream channel is, therefore, scoured and lowered in the vicinity of the logs. To prevent a barrier from occurring below the downstream sill, additional downstream sills should be constructed at or below the channel grade.

A notch is cut in the crest of the sill after it is installed to assist with fish passage. The shape and size of the notch depends upon the fish species requiring passage and the low flow expected at the time of passage. The notch generally slopes down to form a plume that fish can swim through

rather than having to leap through a free nappe. Be careful to not make the notch so large that the top of the log is dewatered at low flow.

Boulder Controls

Boulder controls have been built for many years with mixed reviews (Rosgen 1997; Combs and al. 1998). Most have deteriorated over time due to poor design or construction, but they also have an inherent weakness; a minor change in the position of any boulder in the structure fundamentally changes its performance and ultimately shortens its useful life. There is no redundancy in the structure, which is why constructed cascades have supplanted them. They are, therefore, not generally a desirable bed-control option where a precise control elevation has to be preserved for the life of a culvert. They may have an application where the culvert upstream will be replaced within a few years.

Figure 7.8: Boulder controls to maintain grade at a culvert replacment site, NP Ck. These controls are 13 years old.

A common, acceptable application of this technique is to control channel regrade upstream of a culvert that has been enlarged and/or lowered. Since the rock controls tend to fall apart over time, they gradually change from a drop structure to a low cascade and eventually to a short, roughened

channel. Gradual, channel-regrade processes may be less impacting than a sudden change, especially in terms of sediment release. The problem with this strategy is that it is very difficult to design something to fail since a designer can predict the probability of a design flood being exceeded but cannot predict the exact time when a flood will occur.

Size, shape and placement of the boulders are essential to the longevity of the structure (***Figure 7.8)***. A minimum of two rows of rocks form the weir. One row creates the crest over which the flow drops, the other row is below and slightly in front of the crest and prevents scour beneath the top row. Boulders used for weir and foundation rocks should be sized on the basis of the stream design discharge and slope. Small, lower-gradient streams should use a minimum two-foot mean-dimension rock. Larger, high-gradient streams require rock as large as four to six feet mean dimension.

FISHWAYS

Formal fishways, or fish ladders, are structures specifically designed for the passage of fish, usually for the strong swimming, more vigorous salmonids. These structures present a barrier to many native non-salmonids (Mongillo and Hallock 1997) and require frequent inspection and maintenance (see ***Chapter 6*** for inspection methods and critical criteria). For these reasons fishways are not recommended as grade control unless other alternatives are not feasible.

Figure 7.9 Fishway at the outlet of a baffled culvert, Dickerson Ck. This fishway is composed of precast concrete weirs formed to a pool and chute configuration.

The most common application of fishways is to rapidly raise the water surface elevation so that an existing culvert can be brought into compliance with passage criteria or for the design of a new culvert in challenging situations. A rare instance of a replacement culvert requiring a fishway is shown in ***Figure 7.9***. Due to unusual circumstances, the culvert in this photo had to be jacked through the road fill and baffled. As described in ***Chapter 5***, baffled culverts must be installed at a grade less than 3.5%. In order to meet this criterion the downstream end of the pipe had to be raised 5 feet in a short distance. This was accomplished with a pool and chute fishway (see *FISHWAY GUIDELINES FOR WASHINGTON STATE* http://wdfw.wa.gov/hab/ahg/fishguid.pdf).

At one time, fishways were used to provide passage at existing culverts with large outfall drops but still within fish passage criteria on other counts. Due to the inspection and maintenance requirements mentioned above most of these culverts are being replaced rather than retrofitted. In the long run, this is the best alternative for all fish.

CHAPTER 8: CULVERT AND BRIDGE REMOVAL OR ABANDONMENT

Figure 8.1: Culvert abandonment site showing full floodplain excavation and placement of large wood in excavation.

Road abandonment is the complete removal of the crossing, its associated fill and the obliteration or water barring of the connecting roadways. The word "abandonment" has specific meaning for those working in private forestlands and state lands as found in the *FOREST PRACTICES BOARD MANUAL* ***Section 3, Guidelines for Forest Roads*** (Washington Dept. of Natural Resources 2000). Removal means that the crossing is taken out with the intention of replacing it at a later time. Both methods will reestablish fish passage, although the intended purpose of abandonment is to re-establish the natural drainage with no additional maintenance required. ***Figure 8.1*** is an example of a properly abandoned road crossing.

The *FOREST PRACTICES BOARD MANUAL* provides these recommendations:

- Re-establish the natural streambed as close to the original location as possible so it matches the up and downstream width and gradient characteristics.
- Place all excavated material in stable locations.
- Leave stream channels and side slopes at a stable angle.

Improperly applied, these provisions could leave a site with lasting impacts to the stream and the fish life that inhabits it. One of the objectives of crossing abandonment should be the reestablishment of channel connectivity and the passage of fish.

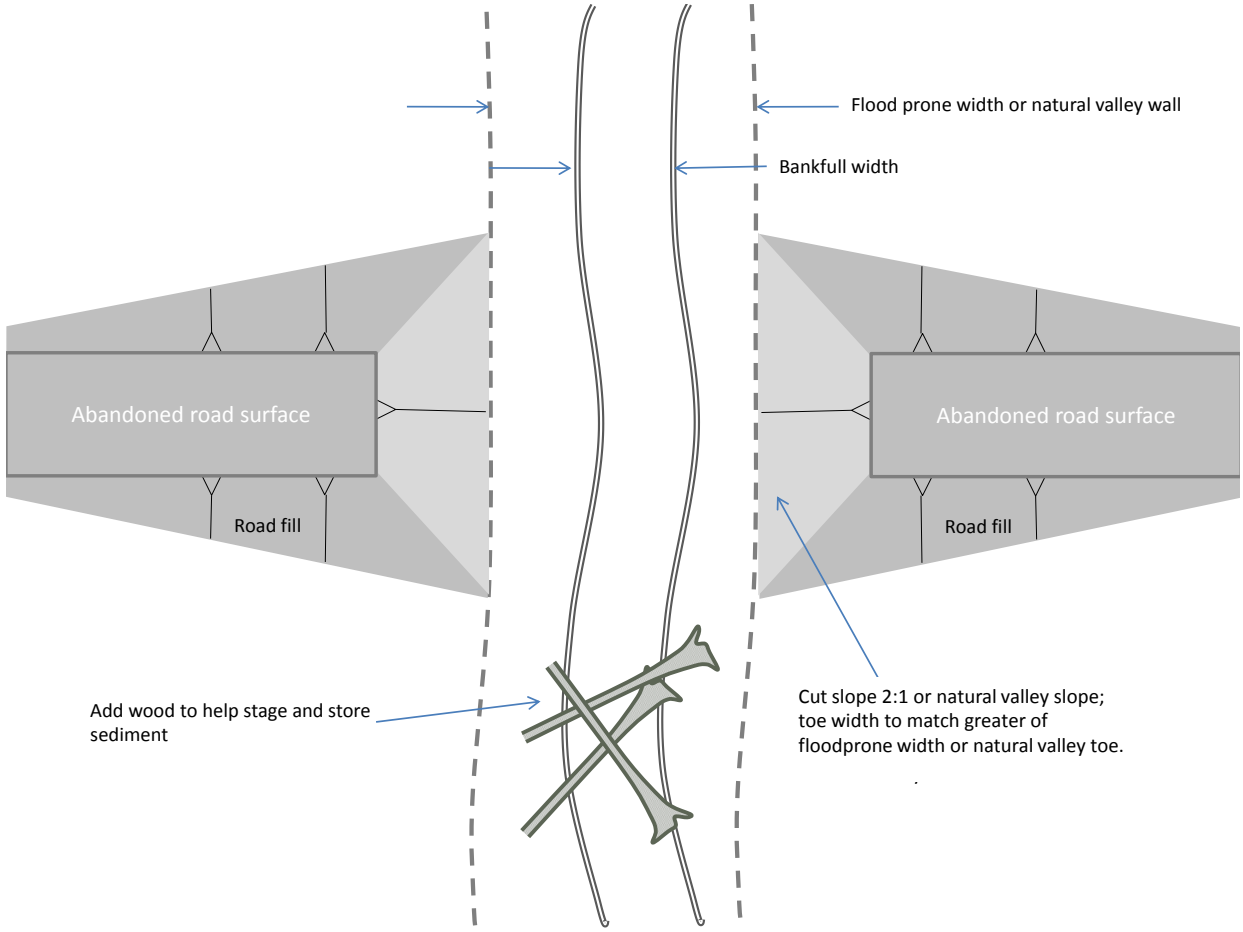


Figure 8.2: Plan view of road crossing abandonment showing excavation of road fill and placement of large wood when it is available on site and non-merchantable.

It is recommended that the road fill be excavated back to the flood prone width or the original valley width (***Figure 8.2).*** This allows the stream to use its floodplain and reestablish the full riparian zone. In cases where the channel occupies a valley formed by glacial or fluvial processes far in excess of those present today (an underfit channel), it is recommended that the fill be pulled back to the flood prone width (the horizontal extent at a height of twice the bankfull depth). The side slopes should be no steeper than 2:1 unless the natural contours would assume a steeper slope. Exposed soil should be covered with straw to reduce erosion until vegetation is established.

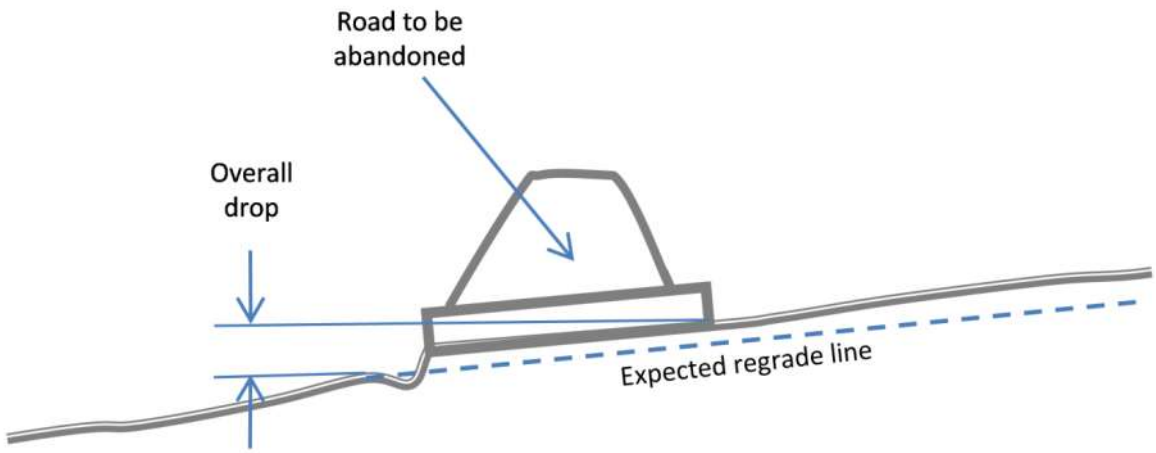


Figure 8.3: Profile view of road crossing abandonment showing the overall drop in water surface.

When planning abandonment, the overall drop through the culvert should be measured. The overall drop is the outfall height plus the vertical drop through the culvert (slope times length) (***Figure 8.3)***. When the culvert is removed, this overall drop will be expressed as a single vertical face at the inlet end of the excavation. This face will either regrade, as discussed in ***Chapter 7***, or remain, depending on the height and the materials it's made of. When the outfall drop is moderate and the bed material mobile, the crossing can be abandoned and the regrade expected to resolve itself over time without repercussions. The concern is that if the bed materials or the underlying soil or rock does not readily erode, there will be a distinct drop that can be a barrier to fish passage for a long time. The following guidelines are recommended:

- If the overall drop is greater than 1 foot and the channel bed is composed of, or underlain by, soft or weathered bedrock, cemented glacial till or hard clay, then the upstream bed should be excavated to form a continuous profile of a similar slope as the adjacent channel. A hard bedrock sill was probably present before the culvert was installed and will be the same challenge to fish passage as it was before. Adding wood from the fill slope and gravel from the fill to the excavation will improve channel recovery in this latter instance (shown in the upper profile in ***Figure 8.4***).
- If the overall drop is less than 2 feet and the bed is gravel, then the culvert can be removed without further work done to the channel. If the drop is in excess of 3 feet, then the upstream channel should be regraded to form a continuous profile through the worksite and into the upstream channel (shown in the lower profile in ***Figure 8.4***).

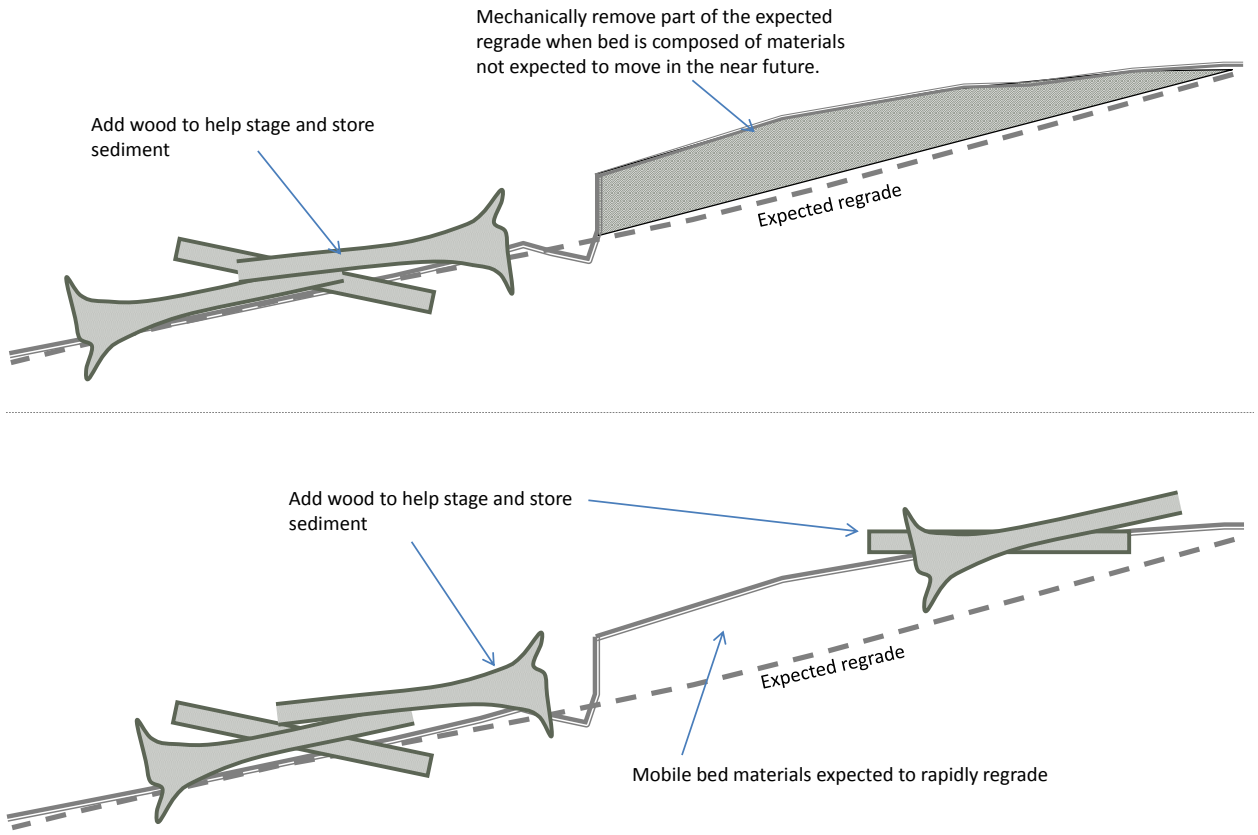


Figure 8.4: Stream profiles at road crossing abandonment sites showing 2 regrade treatments.

CHAPTER 9: CROSSING SITE CONSIDERATIONS

SUMMARY

- Cumulative impacts of road crossings can be minimized by proper planning.
- Past road construction practices have left a legacy of crossings affecting fish passage and natural processes at the mouth of many streams and down river valleys.
- Environmental factors should be considered in road design and land use planning to minimize the number and location of crossings.
- Site specific considerations that should be considered in design include:
    - Channel profile (covered in ***Chapter 7***)
    - Culvert alignment relative to the road and the stream
        - Skewed crossings, where the stream crosses the road at an acute angle
        - Culverts on meander bends
    - Transitions that often occur between the existing stream channel and the new culvert
    - Balancing culvert length with habitat impacts, fish passage, constructability and cost

INTRODUCTION

Fish passage barriers and the cumulative habitat loss caused by water crossings can be reduced in part by properly siting the culvert and by minimizing the number of road crossings. Both the location of culverts and the land-use planning that create the need for the culverts are important. Transportation networks are imbedded in a complex array of laws, agreements with landowners, expectations of business and travelers, and geography. Rarely can we significantly alter these networks (except in timber or range lands) but we should strive to minimize their impacts. This chapter discusses some ways to do this.

There are many resources available for the designer to thoroughly study the design of transportation systems. Many of the references cited in this document have sections on crossing site considerations. Of particular interest are *HIGHWAYS IN THE RIVER ENVIRONMENT* (Richardson 2001), *STREAM SIMULATION: AN ECOLOGICAL APPROACH TO PROVIDING PASSAGE FOR AQUATIC ORGANISMS AT ROAD-STREAM CROSSINGS*,(Forest Service Stream-Simulation Working Group 2008) and the resources at the U. S. Dept of Transportation Federal Highways Administration website http://www.fhwa.dot.gov/hep/.

PAST ROADWAY CONSTRUCTION PRACTICES

For ease of construction, roads and highways have been built along historic transportation routes in the flats along river valleys or adjacent to large bodies of water. This practice led to roadways not only impacting the floodplains and shorelines of these water features, but also every tributary that enters the valley along the roadway alignment. Often, major transportation routes were constructed on both sides of major water features and river valleys to avoid complications with spanning the larger bodies of water. This led to habitat impacts of multiple fish bearing tributaries in many drainage basins and in some cases all fish bearing tributaries (***Figure 9.1)***.

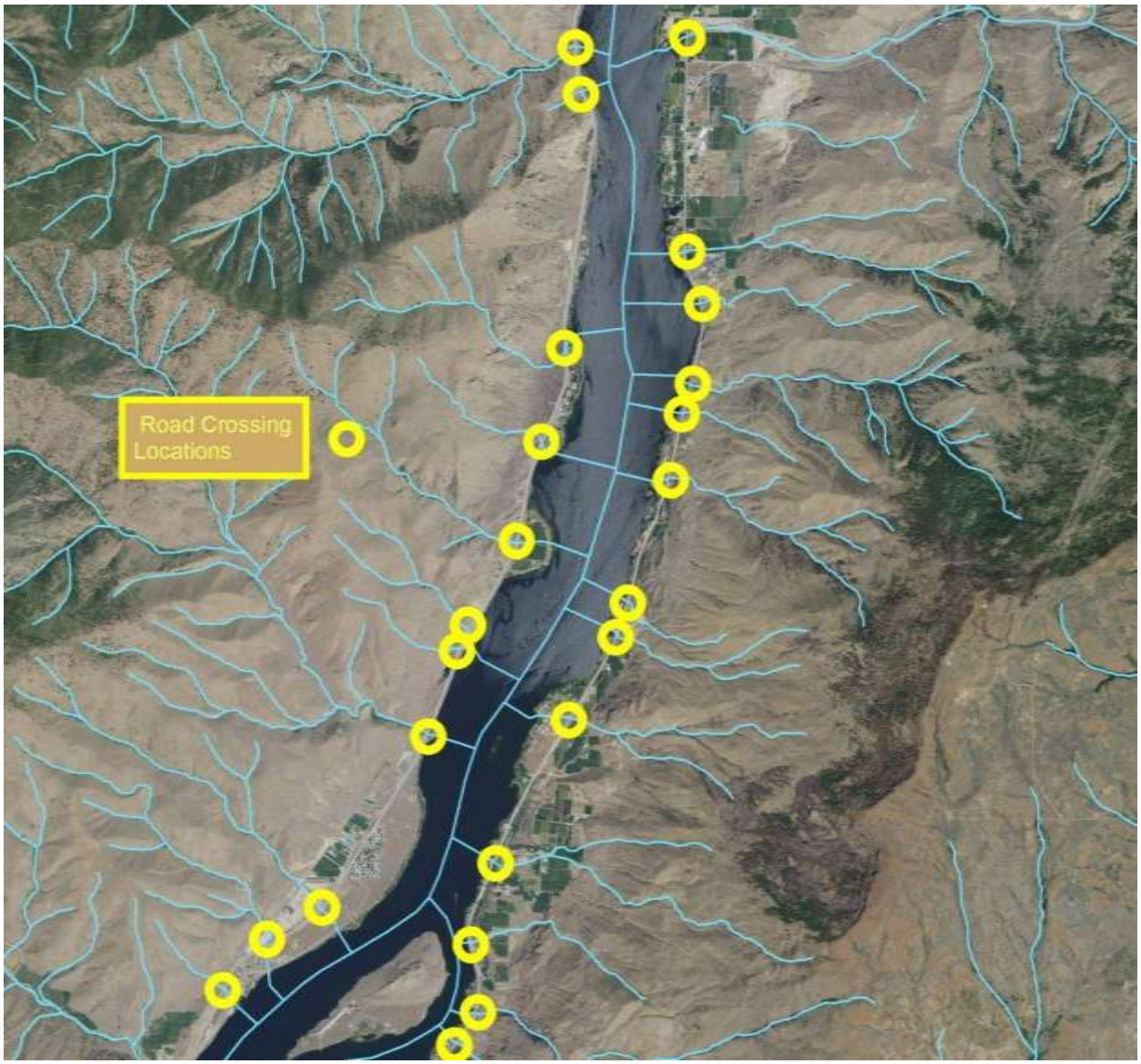


Figure 9.1: River segment showing multiple road crossings of tributaries along both banks.

When transportation routes are located along valley walls they affect these tributaries in many ways. The roadway is often at the transition from higher gradient, entrenched streams into lower gradient, meandering streams as they enter the flats along the flood plain or shoreline where depositional features, such as an alluvial fan, are located. Alluvial fans are, by nature, constantly changing deposits where there are any number of distributaries that move in response to the episodic influx of sediment. In the past, the road crossing was placed at the current channel location and elevation without understanding the nature of the alluvial fan's behavior. Over time these crossings create a geomorphic constriction in the natural system and eventually become maintenance problems or cause structural failures.

Another frequently used method for constructing roads was to follow the stream valleys of tributaries from the highlands down to the valley bottom. The road is run down the middle of the valley, forcing the stream between the road fill and the valley wall, often with multiple crossings (***Figure 9.2)***. The stream is channelized and steepened, interfering with natural dynamics and

ultimately changing its whole character. The valley wall and road embankment are subject to scour and require frequent and costly maintenance. The channel bed scours and fills in response to confinement and sediment pulses. Undersized bridges or culverts become perched or buried as the channel convulses though storm events. Despite these chronic impacts and continual maintenance, these roads are rarely abandoned.

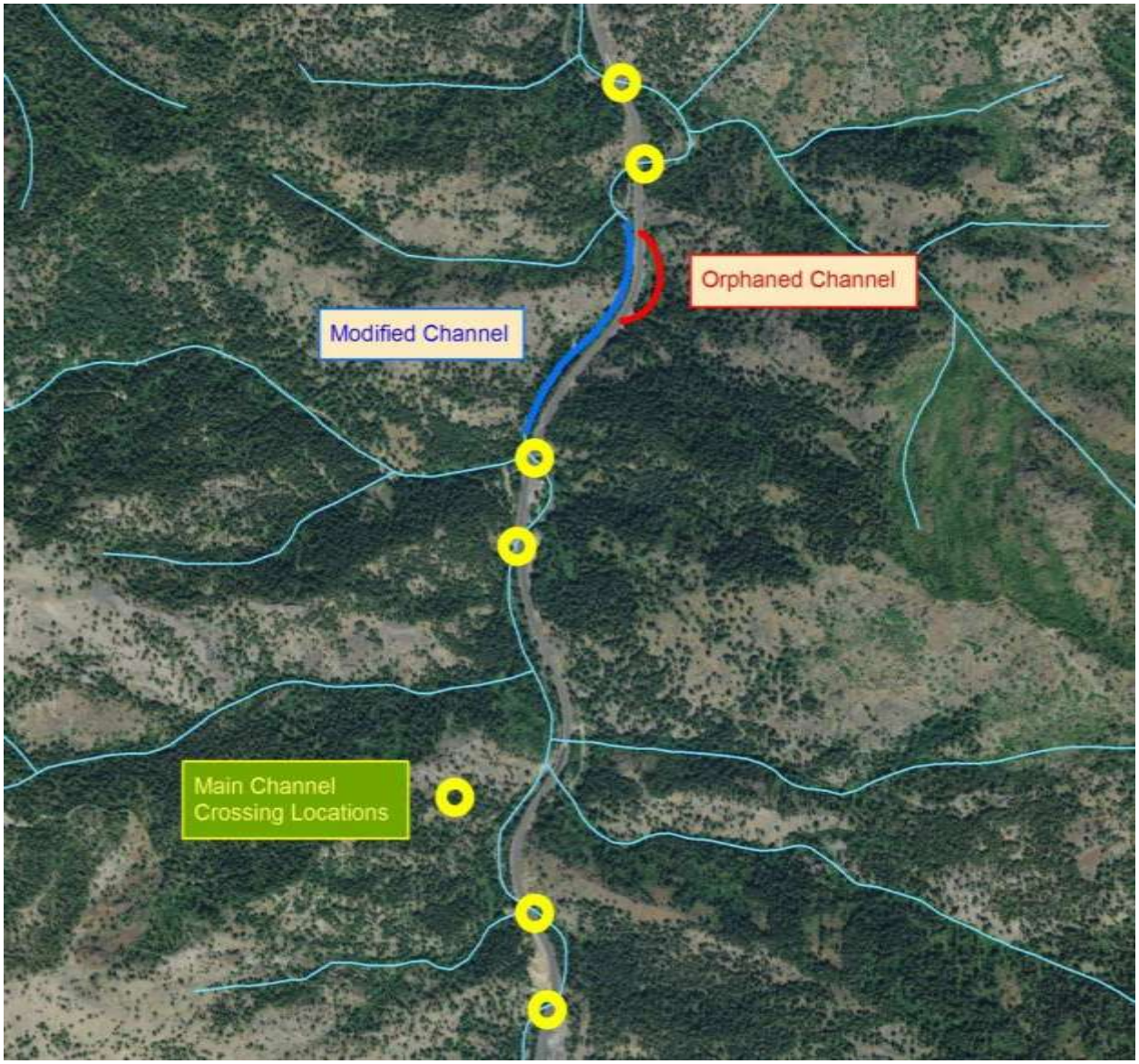


Figure 9.2: Roadway following stream valley. Note multiple crossings, orphaned channels and modified stream alignments.

New road and highway alignments can be planned to entirely avoid and/or minimize these impacts and reduce long term impacts to the stream.

Balancing Social, Economic and Environmental Factors in Road Design

It is a simple matter to describe what should be done to meet environmental objectives at a road crossing, it is quite another to balance them with the other complex requirements of a public works project. *Highways in the River Environment* (Richardson, Simons et al. 2001) discusses a set of considerations when designing a crossing or stream adjacent roadway, which are shown below, plus others.

1. The crossing site (with respect to the existing transportation system)
2. Environmental factors (the subject matter of this document)
3. Cost
4. Maintenance
5. Land ownership
6. Constructability
7. Public values

For any given crossing, several alternatives should be developed that meet the objectives of the project and bracket the range in the above considerations. The alternatives can then be evaluated on the basis of these categories, optimizing for the best overall project. (A method for evaluating the costs and benefits in a different context is discussed in ***Appendix D*** in the Hierarchy of Benefits section.) There are certain laws, codes, agreements, and other obligations that must be met, but by stratifying the problem in this way some insight can be gained in determining the best alternative.

Balancing road network needs along with environmental stewardship requires utilizing the expertise of a broad range of disciplines from biologists and planners to engineers and geomorphologists. This team should work together to come up with ideas and solutions that will meet the project goals without long term stream impacts.

Land Use Planning

Many new stream crossings can be avoided (or at least the number required can be reduced) through proper land-use planning. Even the best fish-passage design has the potential to become a fish-passage barrier. The way local jurisdictions prepare and implement land-use plans and critical-areas ordinances has a direct influence on fish-passage success by distributing land uses and the transportation systems necessary to support them.

In addition to the number of road crossings, changes in hydrology and riparian areas due to dense urbanization also affect fish passage. These changes result in channel incision and channel simplification that often leave culverts perched above the downstream channel, forming barriers to fish migration. Other likely impacts are sediment and temperature impacts. With these changes, the only adequate habitat left is confined to areas upstream of the urbanization, making downstream fish-passage barriers even more damaging to fish production.

Roadways also have a tendency to isolate habitats at road crossings. These crossings can create fragmentation and can extirpate species from an ecosystem without the necessary habitat connectivity (Jackson 2003).

NEW ROAD AND HIGHWAY ALIGNMENTS

All stream crossings can result in some form of impact to the stream. Viable options for the location of new roadway alignments away from the direct impact to streams should be evaluated and considered, including an option that has no direct impact to the stream. Instances where roadways must impact or cross streams should be kept to a minimum. In forestry situations, strict application of these recommendations may unintentionally result in more road construction than is truly necessary to access forest stands, with financial and other ecological implications. A shorter but well-designed and constructed road system with an appropriately designed stream crossing could be more efficient and still protect fish life.

Habitat impacts from crossings on new roadway alignments should be considered. Culverts should not be placed in critical areas that are imperative to the fish health of the stream. For instance, a highway crossing should not be placed over the only suitable low gradient chum spawning habitat within a stream system. When possible, wetlands and floodplains should be avoided to minimize impacts from road fill and side slopes within these critical areas.

Inappropriately designed crossings located closer to the larger bodies of water (rivers, lakes and bays) will generally affect more aquatic species than crossings located near headwater streams. In order to reduce the number of stream crossings, it may be necessary to place crossings lower in the watershed and follow the ridgelines between tributaries for the road network. This can create its own set of problems and issues such as roadway runoff and stormwater management but is an option to consider reducing the number of stream crossings.

When new highway alignments are planned, the existing alignments are often left in place and used as local roads. Consideration should be given to whether or not the existing alignment will be abandoned to eliminate stream impacts, or left in place.

Guiding principles for new road and highway alignment crossings:

- Use interdisciplinary teams to evaluate and plan new roadway alignments
- Cross streams only when absolutely necessary
- Keep the number of stream crossings to a minimum
- Cross streams by the most direct route where the stream is straight and uniform and at right angles to the natural flow of the stream
- Locate road crossings where there will be minimal disturbance to the existing topography
- Locate crossings away from tributaries and drainage ditches
- Avoid critical areas such as wetlands and spawning habitat
- Avoid reaches showing signs of channel instability
- Avoid areas that require constraining, re-aligning, or altering the natural channel

EXISTING ROADS

Before doing any improvements to an existing road it should be evaluated for stream impacts. Roads that are adjacent to and run parallel along streams often create many impacts to streams and should be considered for relocation or abandonment (Washington Dept of Natural Resources 1999). Although it can be costly, relocating roads that are chronically impacting the stream to a more favorable alignment will likely reduce long term maintenance issues. Abandonment is preferred if the road is no longer necessary or if alternate access is available.

The design team should review the current roadway alignment and maintenance history. If the roadway is located in geologically sensitive areas such as highly unstable slopes, wet areas such as seeps, or in areas where active erosion is occurring, it should be considered for removal or relocation.

Habitat impacts from the existing crossing should be considered. Roads that have crossings located in areas with re-aligned or constrained channels or in critical areas such as spawning reaches, flood plains, and wetlands should be removed or mitigated for.

Improperly designed crossings located lower in the watershed will impact the entire stream upstream of the crossing and generally affect more aquatic species, which result in greater habitat impacts. Improperly designed crossings located in headwaters of the watershed can have downstream effects from flow attenuation, erosion, and sediment transport limitations.

Because of the physical and socioeconomic concerns at an existing road crossing, it is difficult or impossible to design and construct stream crossings that provide natural stream function. Other alternatives may need to be explored that may include relocating the roadway or higher risk design options. If the current crossing site is the only reasonable option for replacement, then site specific design considerations must be utilized to minimize risk.

Guiding principles for crossing replacement at existing crossings:

- Use interdisciplinary teams to evaluate and plan crossing replacement projects
- Abandon existing roadways when possible, especially those that are stream parallel, cross wetlands, or occur at grade breaks.
- Consider roadway relocation
- Consider local roadway alignment changes to:
    - Cross streams by the most direct route where the stream is straight and uniform and at right angles to the natural flow of the stream
    - Locate crossings where the stream has low but stable banks
    - Locate road crossings where there will be minimal disturbance to the existing topography
    - Locate crossings away from adjacent tributaries
    - Avoid critical areas such as wetlands and spawning habitat
    - Avoid reaches showing signs of channel instability
    - Avoid areas that require constraining, re-aligning, or altering the natural channel
- Design crossings to allow for natural stream function

SITE SPECIFIC DESIGN CONSIDERATIONS

When a roadway crosses a stream, a crossing structure is necessary. These structures should be laid out to match the horizontal and vertical alignment of the natural stream. Structure length should be kept as short as possible by crossing streams where the roadway alignment is perpendicular to the natural stream. On the other hand, where the stream is skewed to the road, a longer culvert may be necessary to keep it aligned as closely as possible to the stream.

Once a suitable crossing site location is determined, either through the planning process for the alignment of new roadway construction or by the limitations at an existing road crossing, site specific design can begin.

Road crossing design and location should not fragment natural habitats and allow the same level of aquatic organism passage as the natural stream. It should be designed to fit the stream and its natural processes. Consider how the channel alignment/profile and the roadway alignment/profile impact each other. Road crossings should not be located in areas where the stream is exhibiting signs of an unstable channel. Lateral migration and vertical channel stability should be considered in crossing location and design. These topics are discussed in more detail in other chapters***: Chapter 1: Geomorphic Design, Chapter 4: Bridge Design, Chapter 7: Channel Profile adjustment***.

Performing a geomorphic site and reach assessment on the stream at the potential crossing site will give insight as to the suitability of the crossing structure and location. For new crossings, this analysis will inform the designer of many factors that may be occurring at the site and assist in determining design options. Take for example a transport or depositional reach, are there other factors man-made or naturally occurring that are creating or have the potential to promote geomorphic changes in the reach that should be accounted for?

For replacement road crossings, assessing the existing channel condition is somewhat more complicated than on unaltered natural streams. It often requires forensically assessing the impacts from past culvert installations, stream modifications and changes to the watershed to determine which of these impacts are occurring as a result of the existing crossing and/or channel modifications or would have occurred naturally. This type of analysis will give the designer much insight as to the appropriateness of the crossing location and design type.

Important design elements that will impact the hydraulics of road crossings:

- Channel Slope
- Rate of change in channel slope
- Channel vertical adjustment
- Stream approach angle
- Roadway skew
- Stream transitions to and from road crossing
- Radius of curvature of stream approach
- Channel modifications that shorten or lengthen the stream
- Streambed material composition
- Sediment transport
- Road crossing (culvert) length
- Length of road approach

- Debris and ice loading
- Maintenance history

CHANNEL PROFILE

Channel profile and slope are one of the most important considerations that must be given when looking at a crossing site. The rate of change in channel slope indicates to what degree the channel slope is transitioning or can point to potential impacts of the existing crossing structure in this reach. Use the amount of anticipated vertical channel adjustment to determine how deep to set the footing of the structure. If the reach through the crossing site cannot mimic the conditions of the natural reaches both up and downstream, then it may be entirely unsuitable for a fish passage structure that provides natural stream function. For more information on channel profile see ***Chapter 7: Channel profile adjustment***.

CULVERT ALIGNMENT

Culvert alignment refers to the relationship between the orientation of the roadway to the stream. In simple cases the stream would cross the road in an area of stable banks and profile perpendicular to the roadway alignments. This is rarely the case and crossing alignment becomes a very important factor in the long term functionality of the stream crossing.

Skewed crossing alignments

Skew angle is the angle represented by overlaying the stream and roadway alignments. Skew angle plays an important factor in determining the overall effectiveness of a road crossing to provide natural stream function. Past practices have typically modified the stream channel to provide a shorter crossing that is normal to the roadway alignment. In most cases this was done to decrease the cost of the structure and to stay within allocated ROW, but will always have some impact on the natural stream. In the past, when culverts were designed for hydraulic conveyance alone, skewed inlets reduced the efficiency of the culvert inlet and increase the risk of debris loading and sediment deposition. Skew affects modern culverts designed according to Chapters 2 and 3, by directing flow down one wall or another and by causing scour at an inlet corner or wing wall. These are not desirable consequences, but they can be managed with the placement of wood or rock.

New culvert installations should avoid designing around excessive skew and consider other options. Replacement of existing crossings should be done to optimize the skew angle relative to the historic channel up and downstream. Risk of future crossing failure increases with skew angle and therefore extreme skew should be avoided in all cases. Aligning a new structure with the stream channel may require a longer crossing structure, which can present its own set of problems. ***Figure 9.3*** shows three potential alignment options for culverts on a skew.

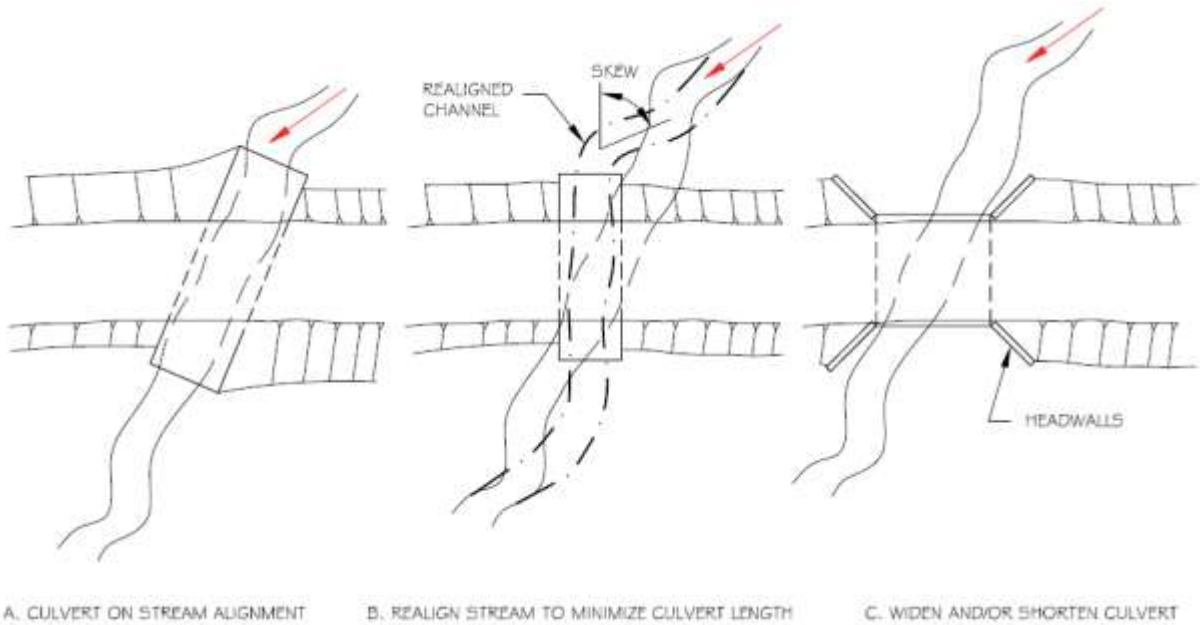


Figure 9.3: culvert skew options, see text for an explanation (Forest Service Stream-Simulation Working Group 2008).

Option A. shows the use of a longer culvert placed on the natural alignment of the stream. This option will increase culvert length but is aligned with the stream and will function better over time than a skewed inlet. The added culvert length does decrease the open channel area, but modern culverts provide some level of habitat inside, not the direct loss that occurred when bare pipes replaced stream channels and we sought to minimize length.

Option B. shows a stream realignment to shorten the culvert length. This results in a skewed inlet and outlet which, unless carefully designed and constructed, will have long term impacts from sediment aggradation, debris buildup, erosion and scour. The culvert is shorter and therefore less expensive, but the impacts to the channel and maintenance costs may outweigh the savings.

Option C. uses headwalls and wingwalls to decrease culvert length. When combined with increased culvert width it results in a crossing that minimizes the impact to the stream. The added cost of the retaining walls would have to be weighed against the cost of increased culvert length.

Stream simulation culverts using option A are increasingly common. As mentioned below and in ***Chapter 3***, culverts longer than about 10 times their span may need to be wider to compensate for the channel confinement that this creates. This added concern may make option C more attractive. Option B is not preferred, but may be used if the channel transitions are properly designed and habitat impacts are avoided or minimized.

Road crossings on stream bends

In some instances a designer will need to consider crossing a stream on a natural bend. These situations should be avoided for new roadway alignments when possible. This situation is more likely to present itself when considering a replacement at an existing crossing that is scheduled to be replaced for structural, maintenance or habitat restoration reasons. An assessment of the lateral

stability in the bend is very important when considering a crossing at these locations. Instream structures may need to be utilized to improve and maintain the approach condition to and from the culvert.

As in the case with skewed alignments, past roadway construction practices have led to cutting off bends to make the stream fit the road. In most cases this was done to decrease the cost of the structure and to stay within allocated ROW, but will always have some impact on the natural stream. When meander bends are cut off, the channel is shortened, resulting in increased slope at the road crossing and disconnection from available habitat. When replacement crossings are being considered, the designer should evaluate the feasibility to put the stream back in its historic channel to regain lost habitat.

Three potential culvert alignments on stream bends are represented in ***Figure 9.4.***

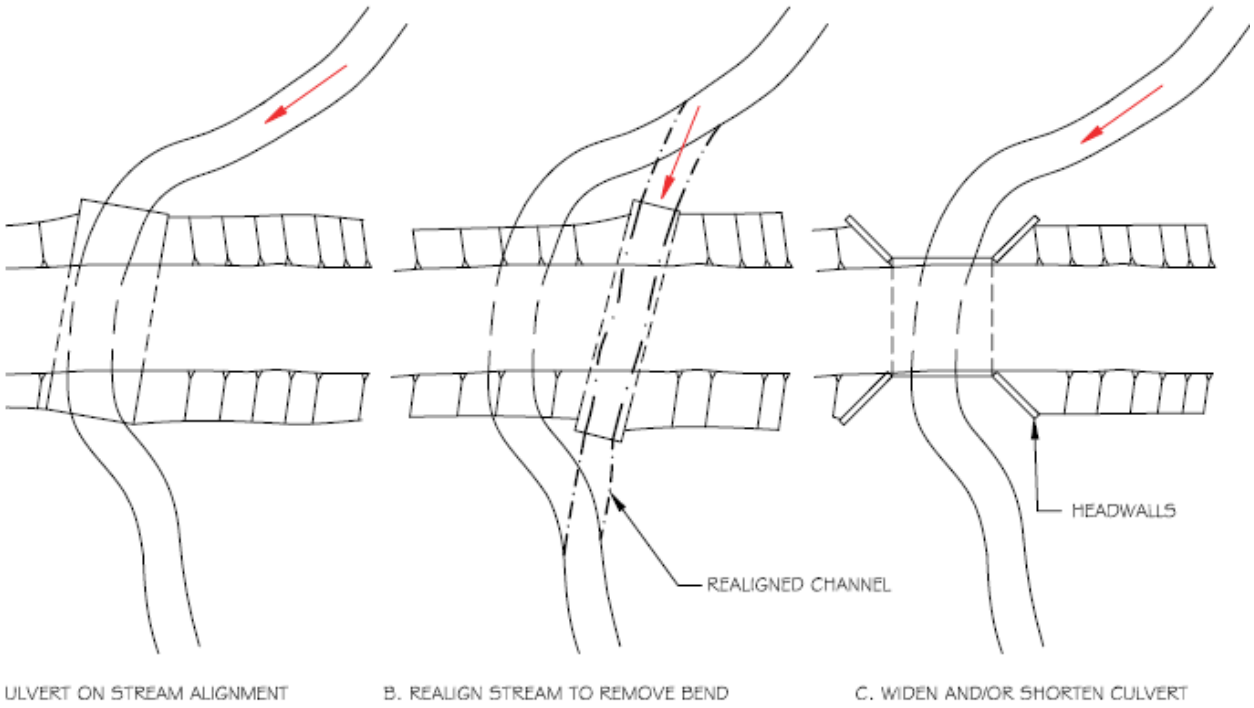


Figure 9.4: Options for locating a culvert on a bend, see text (Forest Service Stream-Simulation Working Group 2008).

Option A. shows a crossing on the existing stream alignment. Without additional provisions this crossing may experience erosion along the outside bend and similar problems associated with skew angle.

Option B. shows a crossing placed by constructing a new channel and cutting off the existing channel bend. This will result in a shorter channel length and increased slope at the crossing. This option disconnects much of the existing habitat. Existing crossings that have been already constructed using this option and are having maintenance or fish passage issues should consider reconnecting to historic habitat by replacing the crossing with a bridge or something similar to option C.

Option C. utilizes headwalls and wingwalls to decrease culvert length along with increased culvert width to result in a crossing that minimizes the impact to the stream.

STREAM TRANSITIONS

It is often necessary to work well off of existing ROW to provide a natural stream transition from the stream to the new structure. A well thought out transition will alleviate many future maintenance problems such as debris and sediment loading by creating a gradual hydraulic morphing into the crossing. The natural channel cross-section and the cross-section constructed through the crossing should be the same (at least up to bank full) so that material that is moving in the natural channel will also pass through the constructed channel in the crossing.

The USFS (Forest Service Stream-Simulation Working Group 2008) recommends that the radius of curvature of the stream at the transition to the crossing be at least 5 times the bankfull width.

CULVERT LENGTH

As culverts become longer, for instance, crossing multiple lanes of an interstate highway, the risks and impacts of poorly designed crossings becomes more severe. The meander width begins to become constrained when the culvert is 8 to 10 channel widths in length and the stream simulation equation is applied (See ***Chapter 3***, Equation 3.2). The additional length can also affect the longitudinal profile by entirely spanning a transitional reach of the stream with the crossing. This indicates a need to consider additional alternatives in the design process such as bridges and increasing the structure opening width or height to accommodate the natural processes. Decreasing the culvert length is a priority in these cases and can be accomplished by lowering the road grade, steepening and/or narrowing the road prism, and utilizing headwalls and wingwalls. See ***Chapter 3: Stream Simulation*** for additional discussion on culvert length.

MAINTENANCE

Maintenance activities are usually initiated after large storms or unique events that plug the culverts inlet and threaten the roadway structurally. Smaller events are often forgotten until a bigger maintenance need arises. These smaller events can lead to debris or sediment loading on a smaller scale that does not threaten the roadway but can provide barriers to fish passage.

When a culvert has a history of scour or maintenance problems it is very important to assess the applicability of a replacement at the current location and alignment. A history of chronic maintenance could indicate that the existing crossing site is not suitable for a fish passage crossing and other options should be explored.

CHAPTER 10: TIDE GATES AND FLOOD GATES

Figure 10.1: Cast iron top-hinged tide gates prevent nearly all upstream fish migration.

SUMMARY

- Tide gates and flood gates block fish passage and degrade habitat
- Fish passage at tide gates can be improved by using (in order of increasing benefit)
    - Light weight gate material
    - Side-hinged gates
    - Automatic gates (Automatic tide gates are not a universal remedy; to a varying degree fish passage and habitat are still adversely affected)
    - Orifice control
- Providing partial fish passage at a tide gate does not restore full tidal inundation, the basic requirement of estuary restoration.
- Most flood gates block fish passage year-round but are only needed for flood control for brief periods. Automated flood gates may benefit fish life but important concerns remain.

INTRODUCTION

This chapter addresses fish-passage and habitat issues associated with tide gates and flood gates. These devices are, in principle, check valves that allow water to flow through in only one direction. Tide and flood gates are intended to control tidal or floodwater fluctuations, respectively. The actual device used to meet this objective might be a flap gate, a slide gate, a swing gate, a pinch

valve, or some variation or combination of these types. All tide and flood gates are considered barriers to fish passage (***Figure 10.1)***. Attempts have been made to design "fish friendly" gates, although all gates that control water flow limit the unimpeded active or passive movement of fish and other organisms that fish depend upon for feeding. In addition, the tide or flood gate, and its attendant dike or road fill, constrains natural processes that create and sustain the habitat on which fish depend. By their very nature, these gates cause impacts that are probably impossible to mitigate for in their design. If one can accept these impacts to fish passage, habitat and habitat forming functions, then modern gates can provide some lost functions.

GATE TYPES

Flap gates, swing gates, slide gates and pinch valves are styles of devices used as passive tide and flood gates. The flap gate usually consists of a flat plate that is hinged horizontally at the top of a culvert outfall ***(Figure 10.1)***. The plate falls into a near vertical position over the face of the culvert to close it. A positive head differential against the downstream face of the plate forces the plate against the rim of the culvert to seal it. A positive head differential against the upstream side of the gate will force it open to release water. A swing gate is essentially the same as a flap gate except the hinge is on the side and oriented vertically. Since the swing gate is mounted vertically like a door, its weight is born by the hinge. A much smaller hydraulic head is required to open it, and it swings open wider than a top hinged gate.

A pinch valve is a flexible pipe extension that is an alternative to flap gates but does not provide fish passage. A pinch valve, such as a Tideflex®, can eliminate operational and maintenance problems associated with flap gates, including corrosion of mechanical parts, warping that causes in-flow leakage and clogging due to trapped debris. (Tideflex® is provided as an example of what is available; its mention is not intended as a product endorsement.) Pinch valves have no moving or mechanical parts, can operate at extremely low head loss and are silent. Pinch valves are only acceptable in cases where upstream fish passage is not required or in cases where fish are intentionally excluded, such as a stormwater treatment facility or similar outfall.

Conventional tide and flood gates are fish passage barriers due to the head differential across the gate causing too high a velocity or by the narrow opening available for passage when the gate is only slightly open. Tide gates and flood gates may also be a barrier, like any other culvert, if they are perched above the downstream channel or water surface by more than 0.8 feet. The elevation at which the gate becomes a barrier is likely something less than 0.8 feet when it is in combination with a narrow opening. There are several ways to design tide and flood gates to maximize fish passage through the gates. These include gate orientation, gate material, gate operators and latches, orifice gates, hydrology considerations, and multiple installations in parallel.

Historically, tide and flood gates were constructed of cast iron or wood. Plastic, fiberglass and aluminum gates are also available and are preferred because the lighter gates open easier for better fish passage and for drainage. Today's designs include float-operated gates, such as self regulating tide gates (SRT®), automatic electric- or hydraulically-powered gates, and other mechanical systems that allow a specific and variable operating range of upstream water surface elevation. This

class is collectively called *automatic* gates as opposed to *passive* gates that simply rely on the direction of flow to either close or open.

Figure 10.2: Above, hydraulically-controlled automatic tide gate, and (right) a float controlled automatic tide gate, both at Julia Butler Hansen Wildlife Refuge. Wooden barn door, side hinged, tide gate, below.

Automatic gates don't necessarily provide optimal fish passage. However, they do allow precise control of the tide gate closure so fish blockage occurs only at specific water levels, and fish passage is, therefore, better than it might be otherwise.

An alternative to installing fish-friendly gates on the culvert in tidal situations is to place a smaller culvert without a tide gate either alone or next to the main culvert. The orifice controls the amount of seawater passing upstream at every tidal cycle. These designs can control the volume of water that flows upstream during an extreme tide so that it will not exceed the allowable storage volume and flood elevation above the culvert. This method was used in Brown Slough near the mouth of the Skagit River (Skagit County, WA), where a 4 ft diameter culvert was specifically sized to allow partial tidal inundation. Researchers (Beamer and LaRock 1998) found an equal density of zero-age Chinook upstream and downstream of the culvert within the first rearing season following construction. It's important to note that the orifice design applies only to tide gates; flood-gate installations normally have too long a closure period for the orifice to be useful. There are also several designs for tide gates that use an orifice in the gate itself, sometimes with an automatic door. The small size of the orifice makes it a much less desirable alternative for "durable and efficient" fish passage (RCW 77.57.030).

Fish Passage

As explained earlier in this guideline, the Washington Department of Fish and Wildlife's fish-passage criteria must be satisfied 90 percent of the time during the migration season (***Chapter 5, Appendix B***). In tidally controlled situations, a combined analysis of tidal influence and stream flow is necessary to evaluate whether this criterion is satisfied. This may require the analysis of tidal data in time increments and a continuous hydrologic-simulation model. Any gate that is closed an average of just a few hours a day cannot meet the state's fish-passage criteria 90 percent of the time. See the discussion ***Appendix D: Tidally Influenced Crossings*** for more details. Considering the difficulty in achieving the standard fish-passage criteria, new tide-gate installations are not generally permitted, and tide-gate removal is a preferred action for restoration. Where removal is not possible but there is a need to achieve the best possible fish-passage restoration, objectives that are different from the standard fish-passage criteria might be acceptable. Defining alternative objectives should be done in conjunction with a careful and thorough review of allowable upstream water levels and timing. Passage goals have been developed for specific projects to provide fish passage. As an example, tide-gate retrofits have been constructed such that the fish-passage hydraulic criteria are exceeded no more than four continuous hours at any time during the fish-migration season. In that case, the tide gate remains effective most hours of all days. Temporary fish blockages would occur for several hours at the slack period of the highest tides. The hydraulics of tide gates must be modeled to evaluate upstream water-level fluctuations, water quality and fish passage.

Tide Gates

The objective of a tide gate is to eliminate tidal inundation yet allow passive drainage of the land at low tide. Tide gates have been used for over a hundred years in western Washington to convert tidal wetlands into agricultural land. Huge agricultural areas have been created by the use of tide gates and they received special attention when the Washington Legislature exempted them from

the law which requires fish passage when they are connected to an agricultural drainage system. RCW 77.57.030(3) states that "tide gates, flood gates, and associated man-made agricultural drainage facilities that were originally installed as part of an agricultural drainage system on or before May 20, 2003, or the repair, replacement, or improvement of such tide gates or flood gates" need not provide fish passage.

Tide gates are typically attached to culverts that are placed through dikes at slough entrances where there is a tidal influence. It is the dikes that protect the upland from tidal inundation, tide gates simply provide the drainage.

When partially or completely closed, tide gates are barriers to all upstream fish migration. Even when specifically designed for fish passage, most are still a barrier to migration because they don't open far enough, frequently enough, or in sync with the migration patterns of fish. Small fish move with the main water mass, moving upstream with the flood, downstream with the ebb. Generally speaking, tide gates close on rising tides, blocking upstream movement. Larger fish can move volitionally and are capable of moving upstream on falling tides. But the greatest area of habitat occurs at high tide, which has been reduced or eliminated by the tide gate.

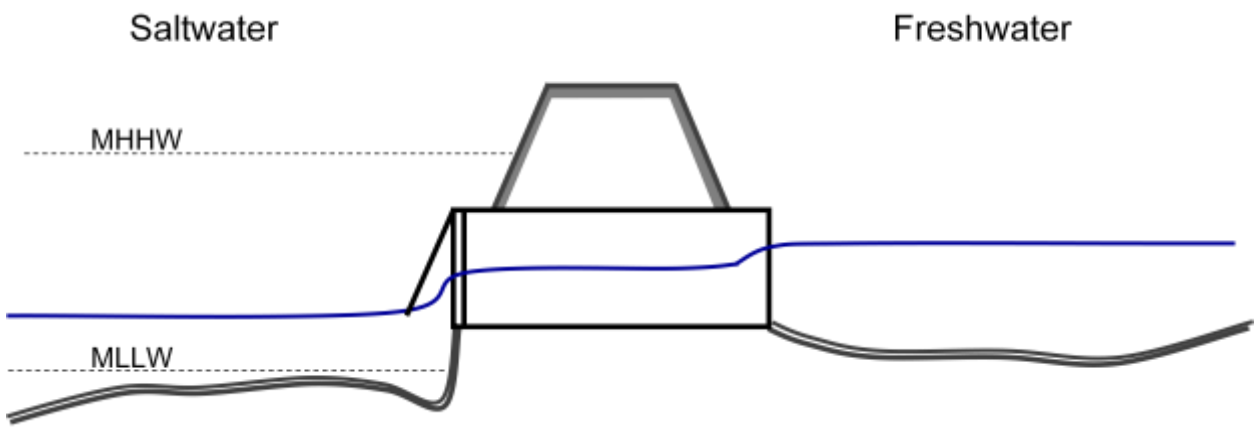


Figure 10.3: Longitudinal profile of a typical tide gate installation showing the major impediments to upstream fish passage.

A fish or other organism experiences the tide gate either from the salt to the freshwater side. Regardless of the gate type, the elevation of the tide gate in the water column influences fish passage. At lower tidal elevations, adult upstream migrants move up the channel and if they encounter a perched culvert, they are prevented from moving into the culvert. Juvenile fish move in the nearshore in the top part of the water column. If the tide gate and culvert are small compared to the tidal range, then fish are not likely to find it as they move along the shore during higher tide elevations, being predisposed to remain in the upper few feet of water. As mentioned earlier, the tide gate material and operation influence it's "passability," but it is never considered 100% passable. The velocity and depth in the barrel of the culvert may exceed the swimming ability of the fish that make it past the gate. There is often an increase in velocity at the inlet of the culvert as flow contracts into the smaller culvert. Head loss in excess of 0.5 feet (greater than 5 feet per second) is likely to be a barrier to juvenile and weak swimming fish. For automatic tide gates and tidally

influenced culverts, the barrel velocity and head loss is a function of not only the freshwater design flow (10% exceedance flow for migration period), but also the discharge associated with the ebb of the tidal prism stored above the tide gate.

NOAA Fisheries Science Center and the Skagit River Systems Cooperative are engaged in an on-going study of the effects of "fish friendly" tide gates on fish abundance and migration. They have preliminary results that indicate that automatic-type tide gates on tidal sloughs, which remain open for part of the flood tide, negatively affect the abundance and movement of juvenile Chinook salmon when compared to similar but un-gated sloughs. Some specific preliminary findings:

- Juvenile Chinook are present in lower numbers in sloughs upstream of automatic tide gates compared to un-gated sloughs
- These fish tended to spend less time behind the tide gate
- Tagged fish were shown to move less frequently across the gate and, in the case of larger fish released above the gate, to move only once downstream and out of the slough.
- Indications are that the muted tidal cycle created by the automatic tide gate results in reduced habitat quality which may be reflected in lower abundance with fewer repeated visits by juvenile Chinook.

These preliminary results suggest that tide gates designed to better accommodate fish passage may have only limited benefits for fish populations. More results should be available in the next few years. The importance of these preliminary findings is that the impacts of dikes and tide gates cannot be completely compensated for in design.

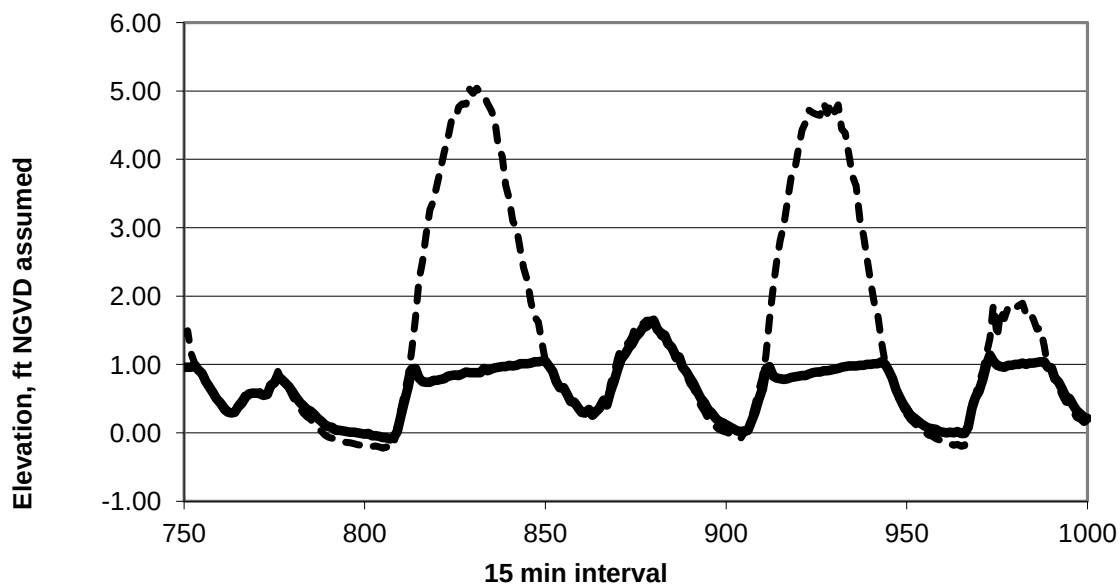


Figure 10.4: Edison Slough Water Surface Elevation, dashed line is the water surface downstream of the SRT tide gate (tidal side) and the solid line is the water surface elevation upstream of the tide gate.

Figure 10.5: Edison Slough self-regulating tide gate.

The Edison Slough (***Figure 10.5)*** used a set of floats to control the opening and closing of the tide gate based on the water surface elevation on the outside of the gate controlling the time the gate is open during the tidal cycle. The performance of this gate is shown in ***Figure 10.4*** where the downstream tidal elevation (dashed line) is compared to the upstream water surface elevation (solid line). The tide gate allows approximately 1 to 1.5 feet of tidal variation upstream of the gate, where the natural range is 5 feet in this particular tidal series. While this gate will stay open part of the time during rising tide allowing fish passage, it does not create a very significant tidal flow into and out of the slough, limiting the variation to the narrowest part of the channel and failing to make a very meaningful difference in tidal circulation, scour, or the movement of pelagic organisms. This gate has since been replaced with one similar to that shown in ***Figure 10.2***, top right.

The ecological impact of tide and flood gates in estuaries goes beyond being fish-migration barriers. Research has found (Simonstad and Thom 1992; Giannico and Souder 2005) that a number of environmental factors are affected by tide gates. They modify hydrology, vegetation and general ecosystem functions of coastal wetlands. Among these factors are surface-water and groundwater elevation, sedimentation, salinity, soil texture, and creek morphology. Their influence on water quality may be substantial. A saline marsh can be converted to a freshwater marsh when it is located upstream of a tide gate. When saltwater estuarine habitats are lost or degraded, so are the important and unique functions they provide, such as shoreline stability, water quality, trophic energy (food web) support, fish and wildlife habitat for different species, recreation, promotion of biodiversity, and the maintenance of microclimate characteristics. The importance of hydrological connection has been repeatedly emphasized by other researchers (Zedler 1984; Kusler and Kentula 1989). These environmental impacts drastically alter the basic chemistry, tidal characteristics and ecology of the upstream area. Such changes likely work cumulatively and in concert with the passage barrier impact to further affect fish production. To make tide and flood gate projects a success, the surface-water hydrology of the upstream contributing basin must be well understood; pre-restoration surface water elevation must be determined, and salinities and soil texture should

be known. The ground may have subsided as a result of tidal action being excluded from the site. Estuarine processes must be understood within the context of the current ground elevations.

Since tide gates block upstream inflow for estuaries, they block the movement of saltwater upstream and the mixing with fresh water, causing a natural estuary with a salinity gradient to be converted to a freshwater marsh. Meanwhile, on the downstream side of the tide gate, an instantaneous change to high salinity occurs at the outfall. This requires migrating salmonids to adapt themselves immediately to the saltwater environment because there is no longer a gradual mixing of salt and fresh water. The same action of blocking inflow also prevents temperature mixing in the estuary. If the stream is a different temperature from the saltwater, the transition point becomes sudden, rather than gradual, occurring at the tide-gate outlet instead of being dispersed throughout the estuary. When salinity and temperature impacts are concentrated at the tide gate itself, migrating fish cannot willfully select their preferred temperature and salinity conditions. Once fish pass through the tide gate, they are instantly dropped into a radically new water-quality environment with no opportunity to move out of it. Additionally, salinity and soil texture control the presence of certain types of salt-marsh plant species. Changes in salinity results in a change in vegetation. Experience from Colony Creek in Skagit County, WA showed that cattails, growing in an area that used to be too saline for them, trapped sediment and caused increased flooding. A remedy to the flooding was to restore the salinity of the estuary with the objective of eventually killing off the cattails.

Because of their hydraulic control, tide gates are usually installed to minimize the upstream water-level fluctuation. When used on a stream that empties into Puget Sound, the upstream water surface would be regulated to within just a few feet instead of the normal fluctuation, which typically ranges between five and 18 feet. Surface-water elevation and ground elevation are the principal controls of marsh hydrology and vegetation. The height of the land elevation in relation to the depth of water affects tidal flooding. The presence of groundwater and the bottom elevation, in turn, determines the type of emergent salt-marsh vegetation.

A natural estuary is characterized by tidal surge channels created by the rush of tidewaters in and out. Conditions upstream of a tide/flap gate are altered by the change in hydraulic conditions of the tide gate impoundment. A tide gate essentially eliminates the surging tidal flow. As a result, the upstream channels tend to fill with sediment, thereby modifying channel geometry.

Flood Gates

Flood gates are placed where there is a temporary elevated water surface, such as a river during flood stage that must be excluded from developed land. Flood gates allow a stream channel or drainage ditch to drain into a mainstem river, but prevent river floods from backing water into low-lying property. Flood gates are almost always associated with a levee system. These gates are only needed a few times each year or as little as once every several years, but typically remain closed all the time. This situation is particularly harmful to fish life which is denied access to tributary systems for spawning and rearing. Recently, flood gates have been designed with hydraulic or electric control systems that bring the gate into action only when flood stage reaches a certain

critical elevation. While these systems appear to have benefits that outweigh the impacts, there remain some major concerns that include:

- Juvenile fish seek off channel refuge during floods and flood gates exclude them from this type of habitat.
- Adult salmonids often move upstream into tributary systems during flood events. They are motivated to move on these freshets because the extra water depth created by floods allows them to penetrate deeply into a watershed.
- Some rivers flood for sustained periods, precisely at a time when fish are seeking access to off channel areas. If the gate was closed for several weeks during the fish passage season it would have a significant effect on the population.

Providing that the size of the flood gate and the culvert it is attached to meet the criteria set forth in this guidance under ***Chapters 2, 3***, or in rare instances, ***Chapter 6***, an automatic flood gate could be considered "partially passable" after careful review of its expected performance with respect to the bullets just discussed above. Whether this meets all of the requirements of Washington fish passage law depends on the specific circumstances and must be evaluated on a case by case basis.

CHAPTER 11: CARE OF ROAD RUNOFF

The Water Crossing Design Guidelines are primarily concerned with the effect of crossing design and construction on fish and their habitat. In this chapter we will discuss runoff from roads and development that enters the stream at the crossing. Generally, crossings are located in a valley and the road approaches are sloped into the crossing carrying rain or snowmelt down to the channel. This sort of runoff is a significant source of pollutants to our state's waters (Puget Sound Partnership 2008). It carries dirt and dust, rubber and metal deposits from tire wear, antifreeze and engine oil that has leaked onto the pavement, pesticides, fertilizers and other substances (Maurer 2010). The treatment of this runoff could be part of the crossing design since the construction activity usually requires a new ditch and outfall facility, but the requirement to do so is complex and governed by rules and guidance from the Dept. of Ecology and local governments with jurisdiction over these activities.

Only the construction of the outlet is covered by the WDFW Hydraulic Project Approval. It should be designed in such a way so as to dissipate energy and resist erosion to avoid or minimize impacts to the bed or banks of the stream. Outfalls should be installed above ordinary high water. Energy should be dissipated through the use of naturally-occurring materials and biotechnical techniques using native plant species. Techniques that minimize impacts include the use of Tee diffusers on vegetated pads and gravel swales.

There are many resources that describe the mechanisms of runoff, the pollution it causes and best management practices that eliminate or mitigate its effects. For stormwater runoff from roads and bridges these documents are recommended:

> WSDOT *HIGHWAY RUNOFF MANUAL* (Maurer 2010). Chapter 5 covers best management practices in detail.
>
> The *STORMWATER MANAGEMENT MANUAL FOR WESTERN WASHINGTON* (Washington Dept. of Ecology 2005) and the *STORMWATER MANAGEMENT MANUAL FOR EASTERN WASHINGTON* (Washington Dept. of Ecology 2004) cover the management and treatment of stormwater for the State of Washington.

For low-volume or forest roads:

> *LOW-VOLUME ROADS ENGINEERING* (Keller and Sherar 2003)
>
> *FOREST ROAD ENGINEERING GUIDEBOOK* (B. C. Ministry of Forests 2002)
>
> *FOREST PRACTICES ILLUSTRATED* (Washington Dept. of Natural Resources 2009); also *Forest Practices Rules* Chapter 222.24 Road Construction and Maintenance and *Forest Practices Board Manual* - Guidelines for Forest Roads, Section 3.

GRAVEL ROADS MAINTENANCE AND DESIGN (Skorseth and Selim 2000)

The Washington State Forest Practice Rules have been written so that compliance with them will achieve compliance with the water quality laws.

Stormwater runoff should be routed away from the stream or treated before it makes it to the water crossing. On forest roads, ditch water is spread out onto the forest floor at regular intervals through cross drains. On public roads in developed areas, ditch water should be treated (to varying standards) and allowed to enter the stream in ways that do not affect the bed or banks, and at flow rates that do not contribute to habitat degradation.

Most of the urban and suburban areas of western Washington are covered under the municipal stormwater permits. When water crossings that can be considered new or part of re-development, they may be regulated by the municipal stormwater permits. The municipalities with those permits should be enforcing requirements to provide treatment, flow control (in most cases), energy dissipation at the outfall site, and erosion control during construction if the project exceeds certain sizes. Projects exceeding 5,000 ft^2 of pollution-generating impervious area generally trigger treatment requirements. Projects exceeding 10,000 ft^2 of impervious area generally trigger flow control requirements. Projects over 2,000 ft2 of impervious area or 7,000 ft2 of disturbed area trigger energy dissipation requirement (if they have a discharge). All projects, regardless of size, are supposed to employ erosion control during construction (Ed O'Brian, Ecology, pers. Comm.).

The Dept. of Ecology has provided guidance indicating that flow control is not necessary for runoff from bridge decking and approaches that are within the 2-yr stream cross-section. This is based on the concept that most of the precipitation would have been part of the flow order anyway if the bridge or culvert were not there, so it shouldn't be necessary to dissipate the stormwater from those areas.

Key management measures for roads, highways, and bridges include the following:

- Place bridge structures outside stream channels and floodplains to the fullest extent possible so that sensitive and valuable aquatic ecosystems are protected.
- Limit disturbance of natural drainage features and vegetation.
- Protect areas that provide important water quality benefits or are particularly susceptible to erosion or sediment loss.
- Limit land disturbance such as clearing and grading and limit fill to reduce erosion and sediment loss.
- Prepare and implement an approved erosion control plan.
- Incorporate pollution prevention into operation and maintenance procedures to reduce pollutant loadings to surface runoff.
- Design, construct, and maintain treatment and flow control facilities for existing road systems to reduce pollutant concentrations, runoff volumes, and runoff volumetric flow rates.

CHAPTER 12: DESIGN PROCESS AND DOCUMENTS

SUMMARY

- Project quality and effectiveness can be improved through good planning.
- Construction documents are particularly important for water crossings since each site is unique, the materials are highly variable, and stream channel designs are difficult to show and explain.
- Off-site watershed conditions can have an important affect on design and project success.
- Feasibility and alternative analysis are key steps in design.
- Principle drawing elements are listed in detail.
- Several example drawings are shown

INTRODUCTION

Water crossing projects are particularly difficult to design since they attempt to recreate a subtle and complex stream system as it interfaces with a rigid, highly engineered road system. The level of understanding of these two systems by the design team determines the quality of the design. Accurately capturing this design concept on paper is another key step. The designer has the very important responsibility to convey the design concepts effectively to the contractor by developing detailed construction drawings and specifications. Those documents are the communication tools that ensure the contractor fully understands the owner's wishes. The more interpretation left to the contractor, the less likely the project is to turn out as intended.

WDFW supports the use of interdisciplinary teams during all phases of stream projects, because the team approach draws on the expertise of individual stakeholders with a variety of backgrounds, such as fish biologists, wildlife biologists, land owners, regulatory agencies, tribal representatives, engineers, hydrologists, planners, and construction staff.

It sounds like a rather obvious step to define primary objectives, however, as site conditions are evaluated and alternatives analyzed by a team of stakeholders, having stated objectives helps to ensure the end product is focused on the real issue. Stakeholders view projects from a variety of perspectives, usually strengthening the design, but it is rare that everyone gets all aspects of the project done in the manner they would prefer. So it is necessary to separate the primary objectives from the lesser important ones. For example, when a fish passage barrier culvert is replaced to provide fish passage, the land owner may wish to add safety features to the section of road impacted by the culvert work.

EXISTING CONDITIONS

A good design is based on a thorough assessment of the existing conditions not just at the project site, but throughout the entire watershed. It makes the most sense to begin by looking at

conditions at a broad watershed level, and then narrow the focus to look more closely at the site conditions. Experienced designers recognize the importance of considering how the characteristics of a watershed and the land use practices away from a project site can influence the project site over time (see also ***Chapter 9, Crossing Site Considerations***). As changes to hydrology, geomorphology, sediment transport and debris movement occur, projects designed to accommodate those changes continue to provide fish passage, have stable banks and support fish habitat.

An evaluation of the existing conditions typically includes a topographic survey of the project site, in which a team of engineering technicians measure and record information such as existing ground elevations, topographic features, roads, parking areas, buildings, miscellaneous structures, significant vegetation and of course water bodies. Then information gathered at the site is used to create a site plan or base map. When design begins, the designer uses the site plan to evaluate feasible alternatives that are well matched to the site conditions. In many cases, property boundaries and land ownership information gathered from the local jurisdiction is also included on site plans to aid the designer.

Project Feasibility

When the design team has gathered and analyzed the watershed and site data, an investigation to identify feasible project alternatives may begin. The overall feasibility of a project is determined by several factors, including: constructability, cost, scheduling, land ownership, site access, permitting, existing infrastructure and how well a concept meets the project objectives.

The first step in feasibility is to identify the alternatives that will meet the primary project objectives, and then the list of alternatives can be narrowed down and ranked according to other factors such as cost, schedule, and land-ownership. Some factors are project limiting. If for example, a land owner will not grant construction access across his land to build a project, any alternative involving access through that land would eliminated. On the other hand, some alternatives require more consideration. For example, perhaps a land owner is willing to grant a temporary access easement in exchange for cash or work performed by the contractor. Evaluating such an arrangement can only be done by comparing it to other options, and consideration of the project budget, schedule, etc. It is a good idea to create a matrix to compare numerous alternatives and the various relevant project specific factors.

Contract Documents

Most traditional bid-build contracts include not only a basic agreement, but also other attachments, such as the design drawings and project specifications. In other words, the drawings and specifications are part of the agreement made between the owner and the builder, so they must be complete and accurate to ensure the intended outcome and to avoid costly disagreements.

CONSTRUCTION DRAWINGS

The primary form of communication between the designer and the builder are the construction drawings. Misunderstandings often arise when construction drawings lack detail or contain inaccurate information, and misunderstandings almost always result in delays and cost overruns.

A drawing set should include both existing and proposed features of a site so the builder knows what is to be built and in what setting. Complete plans help the builder plan the work efficiently to minimize construction time and cost. Components of a complete set of construction drawings include site plans, design details, profile (elevations) drawings, cross sections and notes.

A good site plan shows all of the existing topographic features, roads, parking areas, buildings, other structures, and of course water bodies. It should also include all of the proposed features, including temporary items such as access roads and staging areas. Ideally, the entire project site can be shown on one page and then portions of the site can be shown at a reduced scale on subsequent pages. Profile and section drawings show the channel slope and shape, materials to be used, bank slopes, depth of bed materials, and excavation and fill lines. Longitudinal sections or profile views are a useful method of showing the gradient of the channel and features such as pools, riffles, weirs, and other types of grade controls. Cross section drawings are useful for showing the shape of the channel, bank slopes, the depth of excavation, the depth of fill materials, as well as the elevations for proposed weirs and large wood features.

Detail drawings flesh out the details of specifically how and where certain features are to be constructed. Details should show the types of materials to be used and should explain in a step wise manner how features will be built. Some contracts do not have separate written specifications. In this case the plans need to include very specific notes and call outs to explain how things are to be done.

Channel profiles need to show both the existing and proposed ground elevations to demonstrate how the proposed features fit the site. Undersized culverts often have an accumulation of sediment upstream of the pipe and a scoured channel section downstream of the pipe. The profile needs to extend far enough upstream and downstream to clearly show such impacts of the existing crossing and all work proposed in the project reach.

PRINCIPLE DRAWING ELEMENTS

What follows is a comprehensive list of the principle elements that should be included in the water crossing design. Not all of these elements are necessary for every project. A culvert replacement on a low volume forest road may not need to show as many of these details. But a bridge in an urban environment will need all the following elements, and possibly more, to satisfy all of the expected construction requirements. These elements are part of a complete design, but they are not necessarily part of construction drawings and some may occur in specifications rather than drawings when the contract is written. What the contractor needs to know and what the designer and permit writer need to know are commonly different.

1. **Site plan**:
    a. Property lines and easements
    b. Project limits
    c. Clearing limits and areas not to be disturbed
    d. Significant vegetation
    e. Existing and proposed elevations (contour lines)
    f. Existing and proposed roads, parking areas, buildings, etc.
    g. Existing utilities
    h. Road drainage details, such as cross drains, sedimentation ponds and outfalls into the channel
    i. Existing and proposed stream channel alignment (thalweg and channel width)
    j. Important geomorphic features such as slope failures, bedrock outcrops, log jams
2. **Long profile** of the stream thalweg showing the reach-level behavior of the stream. Always show existing and proposed changes on the same drawing.
    a. A minimum of 20 channel widths upstream and 20 channel widths downstream of the culvert, or 150 ft, whichever is larger. This may not be long enough in some instances, where culverts have a high outfall drop or the culvert is elevated above the natural grade.
    b. Thalweg, water surface (at the time of survey) and top of bank on profile.
    c. Relevant channel features such as riffles, steps, pools, rocky outcrops, nearby culverts, etc. Water surface profile should be taken at one flow.
    d. Any proposed changes in channel elevation are to be shown on the same drawing as the existing channel profile. These include: regrade upstream, grade control structures or other profile adjustments. Attach elevations to all of these features.
    e. Features of new channel alignments, such as pools, riffles, steps, woody debris placement, etc.
3. **Short profile** in the vicinity of the culvert (may be included in the same drawing as the long profile if it is still readable at that scale). Always show existing and proposed changes on the same drawing.
    a. Proposed culvert type, dimensions and slope
    b. Inlet and outlet invert elevations
    c. Proposed slope and elevation of the bed inside the culvert
    d. Size gradation of culvert bed directly on the plans
    e. Elevation and spacing of channel features inside and adjacent to the culvert
    f. Depth of riprap end treatments or bank protection
    g. The filling of the existing plunge pool, if applicable
4. **Plan view.** Always show existing and proposed changes on the same drawing.
    a. Alignment of stream, culvert and road
    b. Skew of stream to culvert
    c. Features of new channel alignments, such as pools, riffles, steps, woody debris placement, etc.

5. **Cross section** inside the culvert or under the bridge to show the relationship between the constructed channel and the crossing structure.
6. **Cross section** of a representative reach of natural channel upstream, but out of the influence of the culvert. Indicate channel width and bed composition.
    a. Channel width
    b. Existing and proposed side slopes
    c. Location and composition of bed materials
    d. Location of habitat and channel morphology features
7. **Diversion plan** - may be included in plan and profile above.
8. **Construction erosion control plan -** may be included in plan and profile above.
9. **Long term erosion control plan**:
    a. Vegetation plan
    b. Maintenance plan, if necessary
    c. Inspection plan, if necessary
10. **Bed material specifications -** it is vitally important that these specifications be on the plans for the contractor and inspector to plainly see.
11. **Other Design Details**
    a. Large wood dimensions, orientation, burial depth and anchorage
    b. Boulder dimensions and burial depth
    c. Planting specifications
    d. Slope stabilization and restoration details

EXAMPLE DRAWINGS

Several example drawings are reproduced in this section to help the designer decide what to include in their drawings to help permit writers and contractors understand their intentions. As discussed throughout this section, good drawings make good projects.

Figure 12.1 is a simplified drawing which shows, schematically, the main elements discussed in this chapter and in the previous section's list.

Figure 12.2 is a more complex, and realistic, site plan. Such a plan includes principle channel and infrastructure features in sufficient detail to so that their structure and interrelation are obvious. This is more likely what a site plan for a public road would look like and includes more detail than would be typically seen on a forest road plan.

Figure 12.3 is a channel profile showing the existing and proposed crossing, proposed excavation and placed bed materials as one would find in a more complex project. The basic elements should be present on any profile.

The cross section shown in ***Figure 12.4*** shouldn't be used as a template since the particularities of this project determined the way the cross section is designed.

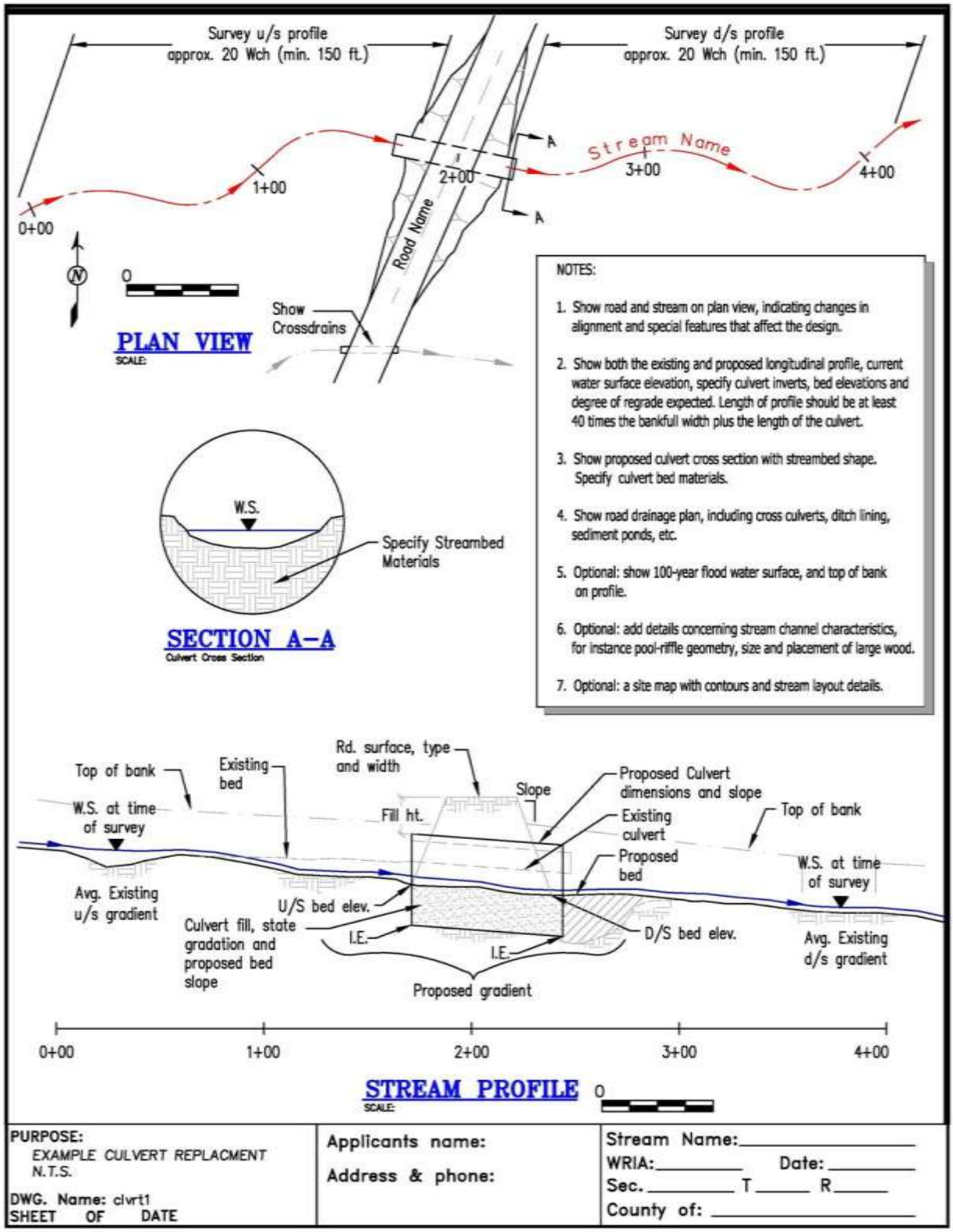


Figure 12.1: A simplified sample drawing showing the principle elements of a complete culvert plan.

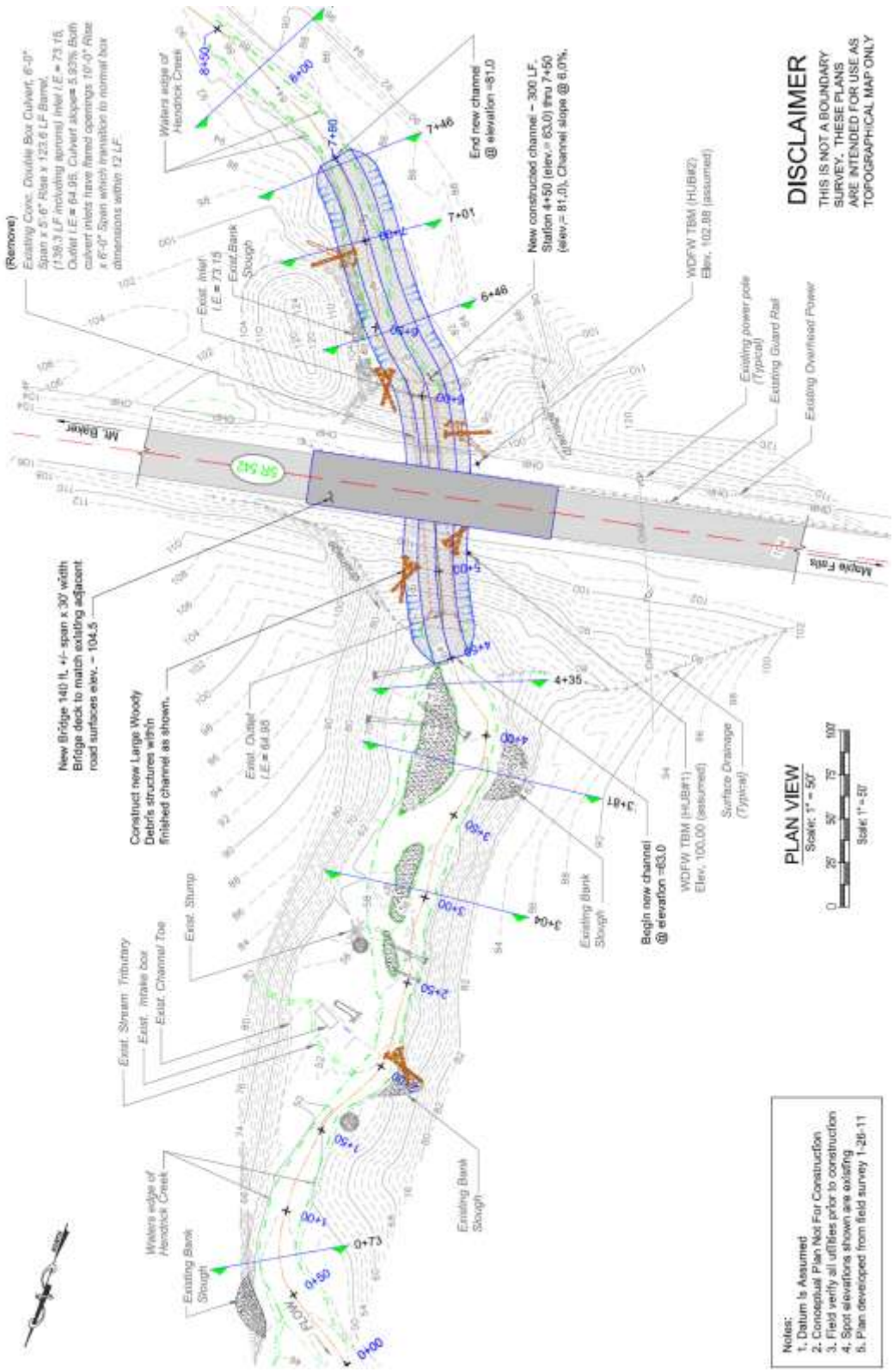


Figure 12.2: A more complex example site plan showing principle channel and infrastructure features (WDFW project files).

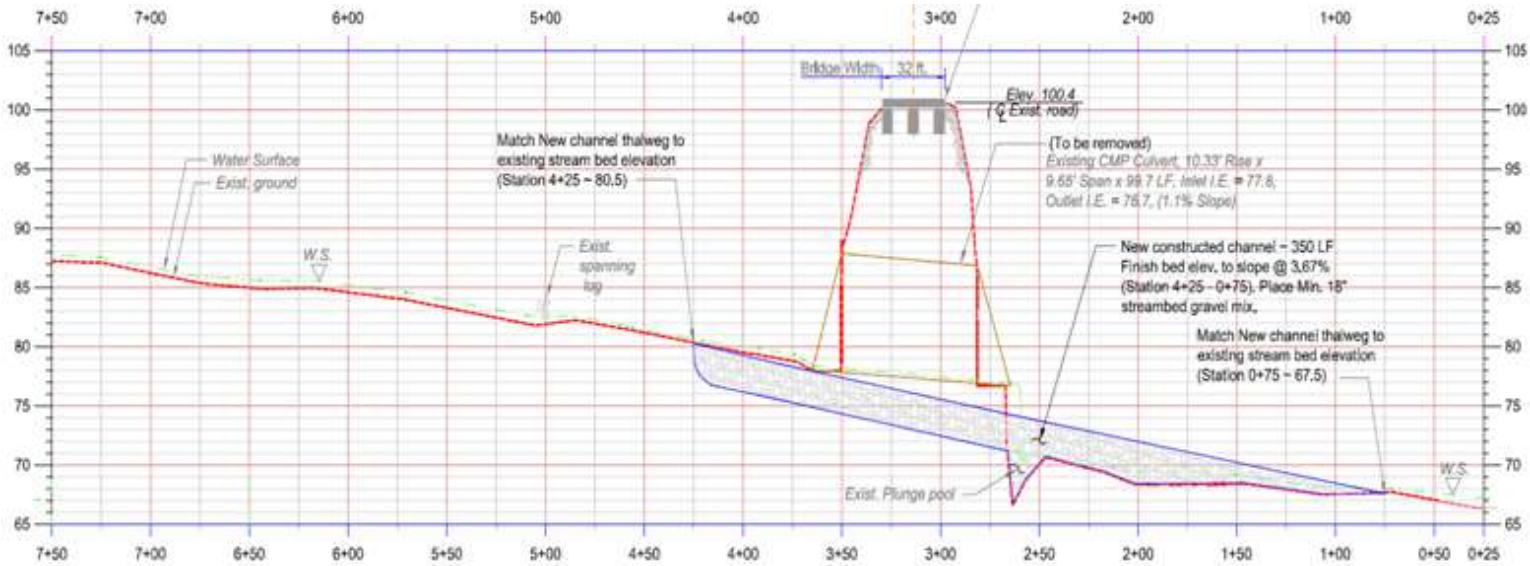


Figure 12.3: Channel profile showing existing and proposed crossing, proposed excavation and placed bed materials (WDFW project files).

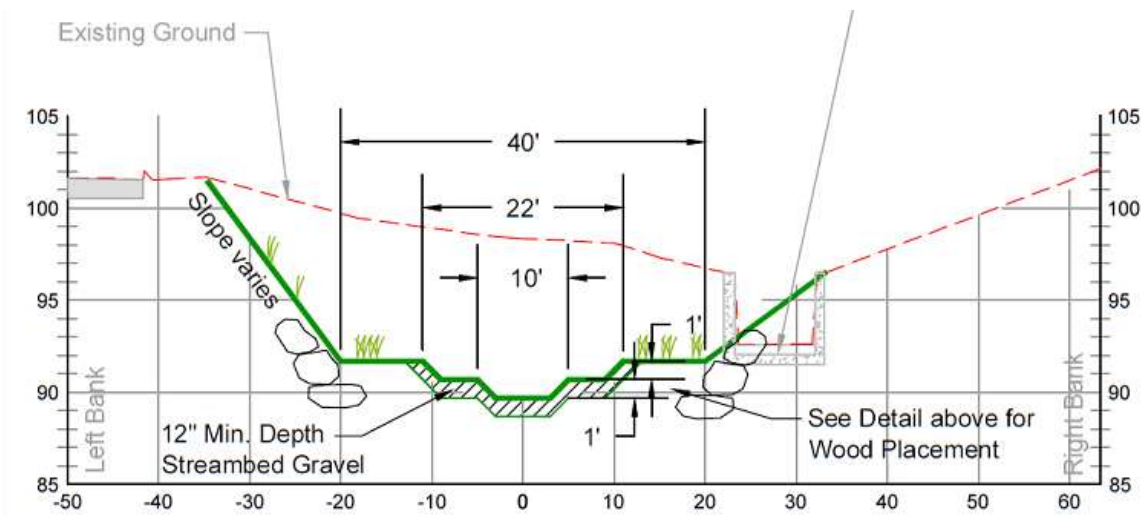


Figure 12.4: Channel cross section which includes the main channel and a vegetated floodplain, buried scour protection at the margins and the depth of placed gravel (WDFW project files).

SPECIFICATIONS

Specifications are technical descriptions of materials to be used on a project, as well as the methods to be employed to complete the work. Specifications also often include timing restrictions, permit conditions, material requirements and various other construction details. Along with the design drawings, specifications supplement the written contract between the owner and the contractor. As far as the stream channel is concerned, there are relatively few specific specifications, although they are vitally important; the materials that make up the bed, banks, and habitat features must be carefully specified in order to ensure the success of the project.

CHAPTER 13: CONSTRUCTION CONSIDERATIONS

SUMMARY

- Stream channel work is somewhat unique in the construction world.
- The success of the project is improved through construction preparedness which involves:
    - Determining that the project is constructible
    - Contractor's experience with stream projects
    - Construction oversight of critical elevations, channel forms and materials
- Project considerations include:
    - Site access, which requires a sequencing plan for work in sensitive areas with large amounts of material.
    - Construction timing for impacts to fish life and water quality
    - Best management practices to reduce impacts
- Stream bypasses are often left to the contractor to implement, but careful planning can reduce impacts to fish life
    - Gravity bypasses are preferred
    - Pump bypasses require screening and near-constant attention
    - Working in the water is sometimes feasible
- Fish exclusion and removal is described
- The site should be restored through stabilizing, removing invasive plants and replanting with native vegetation.

INTRODUCTION

This chapter is intended to provide a broad overview of construction considerations. Because site-specific conditions and project-specific criteria influence construction approaches significantly, a comprehensive discussion of construction techniques is beyond the scope of this section. However, careful consideration of the topics listed here should assist stream project proponents to develop a comprehensive work plan for accomplishing project goals with respect to construction issues.

During the construction phase, when the design is finally implemented, the success of a project is determined by several factors, including constructability, the contractor's experience, and construction oversight. The first part of this chapter covers those three construction subjects.

The construction preparedness factors determine the relative ease or difficulty of addressing common issues on a stream project, such as: site access, construction timing, use of best management practices, protection of fish life, stream diversion, isolation of the work area, fish exclusion and site restoration. The second part of this chapter covers issues common to most stream construction projects.

CONSTRUCTION PREPAREDNESS

CONSTRUCTABILITY

A number of factors influence the constructability of a project, including: difficulty of the project, suitability of the site, land ownership, utility locations, available access, contractor experience, fish use, sensitive habitat, site topography and weather conditions. One way to improve constructability is to seek input from construction experts during design that can help identify both potential difficulties and more efficient ways to complete the project.

Constructability should be considered well before a contractor begins work. However, additional constructability issues, although hopefully not major ones, often arise after construction begins, forcing the project team to respond quickly to manage the schedule and budget impacts of the problem in an efficient manner.

CONTRACTOR EXPERIENCE

Construction projects in and around streams require unique experience, which not all general contractors possess. The level of relevant experience the construction team has affects the amount of oversight the project manager must provide to interpret the design, and it can greatly influence the project, schedule and budget. Project proponents should take great care to select a highly qualified contractor with relevant work experience related to the project at hand. We understand that public works projects must select the lowest responsive, responsible bidder and that selection of the contractor on the basis of qualifications is restricted to minimum qualifications. Overall, the greater the potential for having to use an inexperienced contractor, the greater detail is needed in contract drawings and specifications.

CONSTRUCTION OVERSIGHT

Having an experienced inspector provides a great advantage to a project proponent. A good inspector becomes very familiar with the site and studies the design to anticipate constructability issues. A good inspector communicates effectively with the contractor to convey the project proponent's wishes. Perhaps most importantly, a good inspector ensures the project construction satisfies the project objectives and protects the financial interest of the project proponent. Project objectives should be defined in the contract and construction documents so that the contractor and inspector understand why the project is being built the way it is. Unfortunately, stream projects are often subtle and complex and it is probably the norm that without specialized training, inspectors will not understand the project objectives and are often not qualified to ensure that criteria are met. Only an on-site construction engineer can do this.

It is recommended that the project proponent meet with the construction engineer or manager and the inspector before construction begins. Important topics to discuss might include:

- **Channel profile and cross sections**: the grade and shape of the channel are what recreate natural channel morphology, and in turn, create habitat and result in a persistent, maintenance free project.
- **Critical elevations:** when the project area is isolated from the adjacent streambed, equipment operators can't "eyeball" things in. Survey equipment must be used to make sure that culverts, grade control, footings, log placements, and other height-sensitive project components are installed correctly. It may be advisable to have the contract written where the designer verifies elevations and key locations prior to the contractor moving forward.
- **Streambed materials:** the proper size and distribution of streambed sediments is critical for a successful project. Even when the plans carefully specify these materials, the pit source

may not correctly fill it and these materials are then delivered to the site. The construction manager and inspector must be able to determine whether they are acceptable by measuring a representative sample.

COMMON PROJECT CONSIDERATIONS

SITE ACCESS

Site access is often one of the most involved issues on a construction project. Crews need access to the work site, staging areas, stockpile areas, as well as to refueling, maintenance and parking areas. Site limitations such as terrain, location of utilities, land ownership, existing infrastructure, sensitive landscapes, and critical habitats are constructability issues that may influence how access is provided.

For this reason, site limitations should be considered during all phases of design and construction, and addressed by preparing a construction sequencing plan, an outline of the major tasks and their sequential order of construction. Developing a conceptual construction sequencing plan early in the design process will help identify and resolve many aspects of constructability that are dictated by site limitations. In developing the plan, the designer should consider the benefits and drawbacks to all possible access options to determine the most cost effective, practical and least impacting option. The preferred access alternative may involve using adjoining properties, when impacts can be reduced, the length of travel can be shortened or cost savings can be had.

Some land owners may be willing to allow construction access on a temporary basis, and others may decline. To minimize misunderstandings, written land owner access agreements need to be established during the planning phase of the project, prior to final design and permitting, and well before construction begins. It can be helpful when making such a request to provide the land owner with a drawing or sketch on an aerial photo to show specifically what is needed. It is also wise to spell out in an access agreement what will be done to restore the land owners' property, such as removing temporary rock, regrading, revegetation, etc.

Construction of most stream projects will require some degree of heavy-equipment mobility along and near the bank. Construction can be conducted from the bank, from a temporary platform, or from the channel. Highest consideration should be given to working outside the channel, from the banks. When it is not feasible to complete the work from the banks, construction of a temporary platform should then be considered. A typical temporary platform is constructed of large rocks as the base with smaller rock on the working surface, or an array of temporary pilings. An alternative is to operate equipment positioned on a barge within the channel. Unique site characteristics usually determine where construction is conducted.

While some types of stream projects can be constructed solely with hand labor, the construction of most projects will require heavy equipment at the project site. Consider the types of equipment necessary to build the project, where the equipment will operate, refueling locations, parking and stockpile site locations. Temporary access roads may need to be constructed to transport materials and equipment to the site.

Access roads must be designed and built according to the needs of the equipment, taking into account road grade, equipment size and weight distribution, and also vegetation, habitat impacts and stormwater runoff. In particular, the need for equipment to maintain traction will drive important design decisions if ground conditions at the site are slippery, steep or soft. Street-legal dump trucks in particular are limited in their ability to travel on unpaved roads. Many types of

equipment are able to travel on softer roads, causing less damage to soils because their weight is better distributed. Excavators, tracked dump trucks and other vehicles can be outfitted with extra wide tracks to reduce weight impacts and soil compaction. Specialized equipment, such as spider excavators and helicopters should be considered to improve efficiency of building the project.

In relatively non-sensitive areas (e.g., meadows, pastures, woody riparian areas), access roads can be constructed by placing road gravel on geotextile materials laid directly on the ground surface. Some of the plastic products on the market (PVC, PVE, etc.) can be used to reinforce low-load-bearing soils. This approach is appropriate when access roads will be used frequently for hauling materials or equipment or for refueling operations.

Access can also be achieved using temporary mats (e.g., linked tires, cabled ties, landing mats) to "walk" equipment across sensitive areas on a limited interval basis. This assumes little or no materials will be transported in or out of the site for the duration of the project, and whatever equipment is needed can be housed and maintained at the site.

Access through a riparian area should be carefully marked to minimize impacts and to aid in the subsequent restoration efforts. Use existing access points when available and construct new access points in a manner that minimizes riparian impacts. When there are habitat impacts from construction activity, mitigation may be required to compensate for lost functions.

Any significant movement of materials on-site, off-site or within the site will require a stockpile area for temporary storage of construction or waste materials. Stockpiling of construction materials (e.g., gravel, rock, soil, fabric, wood materials) and disposal of waste materials (e.g., excavated bank materials, vegetation, trash) should be considered during the construction sequencing. Careful consideration of stockpile size and location will facilitate construction, reduce cost and limit damage to sensitive areas. The location of stockpiles can significantly increase or decrease cost relative to cycle time for construction operations.

Existing utilities are commonly found within a project site or along access routes to the site. Careful review of the site will reveal most utilities present, including power lines, railroad tracks, pipelines, buried cables, sewers and other common utilities. All utilities owners must be contacted to evaluate hidden utilities and to identify or establish protocols for working near or within utilities' rights-of-way. Urban project locations with many site limitations may require the temporary or permanent relocation of utilities to accomplish project objectives.

Impacts due to adverse weather conditions need to be factored into the access plan. It may be necessary to construct a longer access route to avoid seasonally wet areas, for example. Scheduling construction for times when the ground is either dry or frozen can also reduce impacts associated with access roads. Snow-covered, frozen soils can often be traveled with wide-track equipment with reduced impact to underlying vegetation or soils. Special care should be taken to avoid construction during potential snowmelt conditions. Similarly, dry conditions reduce many impacts associated with soil compaction and soft soils.

In summary, the following circumstances should be considered in designing and timing construction access to a site:

- Evaluate all access options (various routes, equipment types, etc.)
- Develop a construction sequencing plan
- Obtain necessary written agreements early

- Work from stream banks, platforms or gravel bars when possible
- Consider the types equipment necessary and specific access needs
- Consider how and where equipment will be used
- Design access road to suit the use and setting
- Employ specialized equipment to minimize impacts when practical
- Working in deep and/or turbid water tends to reduce quality control of the construction.
- Avoid sensitive soils and vegetation when possible
- Use existing access points
- Construct temporary access points with minimal impacts
- Stockpile locations
- Identify constraints due to utilities
- Consider seasonal weather and ground conditions

Construction Timing

The timing of construction will often be determined by regulatory mandates intended to reduce water-quality impacts to critical fish life cycles such as migration and spawning. The timing for construction projects that affect state waters varies throughout the state, depending upon the species present in the watercourse. Contact the Washington Department of Fish and Wildlife's Area Habitat Biologist for information on work windows. Habitat biologist geographical coverage areas can be found for the project area at the following website: http://wdfw.wa.gov/conservation/habitat/ahb/. Once the allowable construction window has been identified for your project, additional factors such as hydrologic, precipitation and revegetation considerations will assist in determining the most appropriate time to operate within the established work window.

Hydrologic analyses that can be helpful in determining an appropriate time for construction include analyses of seasonal variations in average and peak flows. From the standpoint of feasibility and cost-effectiveness, construction should occur when average seasonal flows are low and the likelihood of high-flow events is at its lowest. This will vary geographically, depending upon the dominant hydrologic character of a watershed. Further information on methods for determining hydrologic character and approaches to hydrologic analyses are available in the *Stream Habitat Restoration Guidelines* (Cramer, M.L. 2012) Appendix C, Hydrology.

Best Management Practices

Best Management Practices (BMPs) encompass a wide range of activities intended to reduce adverse impacts to all aspects of the environment. BMPs can generally be divided into one of two categories, preventative or treatment. Perhaps the most common BMP on virtually all construction sites is erosion control. Erosion control includes all measures to check the mobilization of soil materials from a construction area into areas where moving water can carry them away. Erosion control is referred to as a preventative or 'source control' BMP, which means when properly used an erosion control BMP prevents a problem from developing at the source

Sediment control, although commonly associated with erosion control, is a treatment BMP, intended to clean up a problem. Sediment control includes all measures to reduce turbidity associated with construction activities. Silt fencing is an example of a treatment BMP. Silt fences are intended to filter out and retain mobile sediment from runoff water while allowing clean water to move down slope in a watershed.

Sediment and erosion control are discussed in more detail in the next section. Other common preventative BMPs include stabilized construction entrances, tire washes, slope terracing, proper concrete handling, covering stockpiles, sweeping, and dust control. Common treatment BMPs include oil water separators, fuel spill containment, grass lined channels, water bars, check dams, outfall protection, straw bale barriers, and sediment traps.

Erosion And Sediment Control

The success of erosion and sediment control methods greatly depends upon weather patterns during the season of construction, dewatering methods applied and the character of the hydrograph at the project site. The period of construction will determine the method of erosion and sediment control required. Careful consideration should be given to inundation levels and flow durations derived from hydrologic statistics.

Erosion-control mechanisms must be effective during precipitation events and/or during inundation by stream flow. In areas that are above anticipated inundation levels, the potential for soil loss through erosion can be reduced by applying mulch (e.g., straw, wood chips and other organic materials), hydroseeding, or adding biodegradable, chemical or synthetic soil stabilizers. Areas that may become inundated by flowing water during high-flow events should be protected by geotextile fabric. The Washington State Department of Ecology has guidance on erosion-control techniques in the Stormwater Management Manual for Western Washington (Washington Dept. of Ecology 2005).

In addition to preventing soil loss, eroded soils must be trapped before reaching the stream. This is best accomplished using standard silt-barrier approaches, such as straw bales or a silt fence. The design and specification of sediment barriers must include inspection and maintenance schedules, as well as a schedule for removal. Silt barriers require cleaning when they reach 50 percent of capacity.

Sediment control is intended to minimize the input of sediment associated with constructing bank treatments. However, it is unrealistic in most circumstances to expect complete control of sediment inputs, because the installation process for most sediment-control systems itself generates some turbidity. While there are a variety of sediment filters available that are advertised as having moving-water applications, these are impractical and ineffective for controlling sediment except on very small streams. Dewatering the site or isolating the construction area from moving water can largely control sediment input. In many cases construction of a stream project can be done in a dewatered area which can aide in collection and removal of sediment from construction practices. Dirty construction water collected from sumps needs to be handled appropriately by infiltrating in a well vegetated swale or treating it in an approved manner to remove contaminants prior to discharge to the stream.

Cofferdams

Cofferdams vary in size from just a few sand bags to sheet piling. The materials used to construct them can be just about any clean and durable items. Some of the most common items used to build small cofferdams are sandbags, plastic sheeting, rocks, and concrete blocks.

For projects in large rivers, rows of concrete blocks weighing several thousand pounds each and high capacity sand filled bulk bags (25 cubic feet or more) may be used in place of ordinary sand bags. Commercially available cofferdam systems can be applied on larger river systems. These systems can often withstand overtopping during large events.

In lakes or tidally influenced areas, sheet piling may be necessary to construct a barrier capable of withstanding the forces exposed to it. Water filled inflatable dams, up to 12 feet or so in height, are a relatively new technology. Inflatable dams are tubes of geotextile and polypropylene, which come in rolls up to 100 feet in length. Longer dam lengths can easily be achieved by overlapping multiple tubes. Inflatable dams are most effective on relatively smooth substrate such as sand or small gravels. As a note of caution, unlike concrete blocks, rock, etc., these dams are neutrally buoyant and are thus easier to dislodge in deep swift water unless anchored properly.

Installing and removing cofferdams requires planning to ensure water quality is maintained, particularly in water bodies with a substantially fine grained substrate. Sometimes the impact of installing a cofferdam exceeds the impacts of working without one, in which case a project may be built in the water, if allowed by the permitting agencies.

The use of a cofferdam may confine the channel, raising water-surface elevations. Application of cofferdams will, therefore, require careful modeling of the impact on water-surface elevations during all anticipated flows. With discretion and the approval of the Habitat Biologist, short term cofferdams on low flow streams may not need to be modeled.

Design of coffer-dam dewatering systems should consider the infiltration rate of seepage flow from the riverbed and from banks and will require additional and constant pumping systems to address the infiltration flow. In-flow will likely be extremely turbid due to construction activities. Water collected from sumps needs to be handled appropriately by infiltrating in a well vegetated area or treating it in an approved manner to remove contaminants prior to discharge to the stream.

Temporary crossings

Often equipment must cross a stream during construction. Temporary crossings are installed for this purpose and are covered in ***Chapter 5***.

Stream Bypasses

Protection of fish life must include both isolation of fish from harmful conditions at the construction site and prevention of offsite impacts due to degraded water quality. Provisions must be made to ensure fish are not harmed due to the project while attempting to migrate upstream or downstream. Upstream fish passage through temporary stream bypasses is often not required for short duration projects conducted within approved annual in-stream work windows. Isolating fish from the work area can be accomplished by using either a total bypass to reroute the entire stream through a temporary channel or pipe, or partial bypass to exclude fish from a certain area, such as along one stream bank.

Flows can be diverted with pumps or passive systems such as side channels, canals or tubes. Flow diversion requires careful consideration of the backwater effects on diversions: pump capacities, diversion-channel capacities and outfall protection. Gravity bypass systems require less monitoring than gas or diesel pumps, which require someone on site or other special consideration if left running overnight. Diversion outfalls require temporary erosion-protection measures to prevent scour at the point of return flow from the diversion channel or pipe. Additionally, pumps require screens designed to Washington State & National Marine Fisheries Service specifications to prevent harm to fish (see *Draft Fish Protection Screen Guidelines For Washington State*, WDFW 2000, http://wdfw.wa.gov/publications/pub.php?id=00050).

All types of stream bypasses must include a recovery plan to ensure safe capture and relocation of fish trapped in the work zone when the stream flow has been diverted. Fish can be recovered manually from remnant pools and transferred by bucket to downstream reaches.

The design and implementation of dewatering systems is often underemphasized. Hydrologic analyses should be conducted to determine the appropriate design criteria for a stream bypass. At a minimum, dewatering systems must be able to divert two-year peak flow during the period of construction. A two-year peak flow is the flow that has a 50-percent chance of occurring each year during the construction period. This magnitude of return flow will need some qualification based on the period of construction. For instance, during the summer period, the two-year flow may be appropriate; but, during the winter, preparation for a greater-magnitude flow event will likely be required.

The probability of a dewatering system being overwhelmed by storm flows can be determined using standard hydrologic analyses. In scenarios where it is impractical or impossible to design a dewatering system that can handle storm flows, it is important to determine the extent to which the dewatering systems will be inundated during such flow events and for how long. Before proceeding with construction of a stream project, the potential consequences of inundation due to high seasonal flows should be estimated and the risk of such occurrences calculated.

When available, the analyses should be based on data sets derived from peak flows covering the construction window for the period of record. The risk of inundation, based on a probability of occurrence for a particular flow level, can then be used to gauge the relative costs associated with inundation. The cost of inundation may include lost work, lost time, damage to equipment and sediment influx in the stream.

COMPLETE BYPASSES

The most common arrangement utilized in small streams is a total bypass, usually formed by construction of a coffer dam upstream and downstream of the work area, and running the entire stream flow through a temporary plastic pipe. Assembling the bypass needs to be done in a thoughtful manner to ensure fish are not harmed in the process. The process typically begins with placing block nets up and downstream of the project area and biologists capture and safely relocate fish trapped in the work zone. Then a plastic bypass pipe or plastic lined channel is installed. Next a small cofferdam is slowly built upstream of the work area, and the stream is diverted through the pipe. Then a cofferdam is built at the downstream end to isolate the work area completely. Biologist make a final pass through the work area to remove any remaining fish. Finally, when the work area is free of both flowing water and fish, construction may begin.

Upon completion of the construction activity in the channel, stream flow should then be gradually reintroduced to the work area, which will likely produce sediment upon initial rewatering. Prior to introducing stream flow, a system should be implemented to capture sediment and turbid water, and to handle it appropriately by infiltrating in a well vegetated area or treating it in an approved manner to remove contaminants prior to discharge to the stream.

PARTIAL BYPASSES

For work along a stream bank or shoreline, an alternative to diverting an entire channel is to install a cofferdam around the project site to isolate the project site from the water in the channel. With such a cofferdam in place, water on the landward side of the structure can be pumped out, leaving the area contained by the structure free of water.

WORKING IN THE WATER – WITHOUT A BYPASS

It is sometimes feasible and practical to work in the water without a bypass: when installing a containment system would cause greater impacts than it would prevent, when working in deep or swiftly flowing water, when turbidity is not a concern, when fish can be excluded by nets or screens, or when fish are not present.

As discussed in the cofferdam section, installing and removing certain types of cofferdams in certain settings may generate significant impacts to water quality. For example, installing a sheet pile cofferdam in an estuary with predominantly fine sediment would be expected to generate high levels of turbidity. It may be much more suitable to employ a floating boom and/or silt curtain to partially isolate turbidity caused by the construction activity.

Partial isolation minimizes the continued release of sediments that would occur with flowing water. For this reason, work can occur in standing (versus flowing) water behind a barrier. Sediment will be released, but in smaller quantities. When the barrier is removed, sediment will be released. However, it will be distributed as a single pulse rather than a continuous stream and will result in substantially less sediment input than would otherwise occur under flowing water conditions. Water quality impacts will need to be carefully considered before applying this approach; they may even prevent the use of this approach.

FISH EXCLUSION

Excluding fish from a work area is the key to protecting fish life from harm related to stream construction projects. Stream projects in fish bearing waters with any type of stream bypass must have an exclusion and recovery plan to ensure safe capture and relocation of fish trapped in the work zone when stream flow has been diverted. Fish exclusion is generally accomplished by installing screens or nets to isolate an area, and then fish trapped in the work zone can be captured and relocated. Capturing fish is usually accomplished with large seine nets, dip nets and sometimes using electro fishing equipment.

Metal screen panels or mesh nets are typically used to form physical barriers to exclude fish. Care must be taken to install the barriers in a manner that prevents undue risk of harm to fish. Screen panels placed perpendicular to swift flowing water pose an impingement risk to fish. The ideal exclusion barrier is located in a low velocity area, so that fish may approach the net or screen and swim away from it at will. Debris must be removed from barriers regularly to prevent water from going over or around the barrier and allowing fish into the work zone.

RESTORATION

Restoration includes re-establishing areas disturbed by a project to conditions equal to, or better than, those which existed prior to the project. A typical restoration plan involves eradicating

invasive plant species, revegetating by planting native trees and shrubs, and stabilizing slopes against erosion by seeding with an acceptable grass species or applying mulch.

Successful revegetation is largely determined by the timing of planting efforts. Ideally, revegetation components of riparian areas will be conducted to maximize the potential for survival of the plant materials installed and to enhance their ability to grow quickly. Furthermore, the success of many bioengineered techniques will require that vegetative cover be maximized in the least amount of time possible following construction. This requires minimizing the period of dormancy of installed materials between installation and the following growing season and ensuring ideal moisture conditions, which are often specific to species and plant forms installed, following construction. Detrimental moisture conditions may include either drought or inundation.

Some plant materials must be installed during construction, while others may be installed months after construction to enhance survival and success. For instance, seed must be placed under geotextile fabrics during construction. Similarly, some techniques that incorporate cuttings or other dormant materials may be integral to the structure of the protection measure. However, many plant materials, such as cuttings, tubelings and rooted stock can be planted following construction, during ideal soil-moisture conditions to improve survival rates.

CHAPTER 14: MONITORING

SUMMARY

- Monitoring tells us what works, what doesn't, and when maintenance is needed. All crossing owners should monitor, but not everyone can or will.
- Monitoring data must be analyzed and reported for it to be useful.
- Compliance with design standards means, by implication, that fish passage and habitat protection is occurring.
- Monitoring tasks and contingencies are listed for the 3 major crossing types
    - No-slope culverts
    - Stream simulation culverts
    - Bridges

INTRODUCTION

Monitoring has many benefits, although they are often unrealized. Among other things, monitoring tells us whether the project was correctly designed and constructed, about what works and what doesn't, and how to improve our design criteria and recommendations.

Ideally, every water crossing would be assessed in some way after construction. The degree to which they will be monitored is dependent on requirements, funding, and interest.

By State law, crossings must pass fish and it is the responsibility of the owner to maintain them (RCW 77.57.030 and Washington State (1950)). WAC 220-110-070 states that "culverts shall be designed and installed so as not to impede fish passage." The only way to know if crossings are functioning properly is to examine them after construction to see if they comply with criteria, and over time, to ensure that they do not block fish over their life span. This is monitoring of one kind or another. Everyone should do this, but not everyone will.

Monitoring requires effort which costs money. Various programs require and institutionalize the inspection of crossings. Inspection is discussed below but it is not designed to detect impacts to fish life. WDFW does compliance inspections when possible. Grant organizations that fund crossing corrections sometimes fund monitoring. Some state and federal programs interested in fish passage and design effectiveness have funded monitoring studies. Other groups that conduct regular monitoring include: regional fish enhancement groups, educational institutions and their students, as well as other similar groups, mostly funded by grant programs.

Monitoring is essential if the owner of a crossing, or a grant program that funded the crossing, would like to know that the money that they have invested to provide fish passage and protect habitat has been well spent.

There are three steps to realizing monitoring benefits: assess and measure the project, analyze the collected data, and then put it into a report. These are essential steps and without all three the effort has very little value.

Much has been written about monitoring. Fish passage monitoring has been described by several (Furniss, Love et al. 2006; Crawford 2009) and attempted with varying success ((Stockard and Harris 2005; Benton, Ensign et al. 2008; Middel, Hardman et al. 2009) among others. This type of monitoring is not discussed here and the reader is encouraged to read these articles and the many others that are available.

What is more relevant to a design manual is compliance with a given design standard or a particular plan, and the effectiveness of the finished project in realizing the objectives of the method.

Simple monitoring was done by Price (Price, Quinn et al. 2010) to great effect showing the compliance with a standard (fish passage criteria) and with a method (no-slope culvert design, ***Chapter 2***). The findings were discussed briefly in the ***Preface***.

Inter-Fluve looked at stream simulation culvert performance (Inter-Fluve 2008). Barnard (Barnard 2003) studied the effectiveness of stream simulation culverts in a preliminary report. The study was expanded to 50 culverts in 2008, in preparation (Barnard, Yokers et al. 2011). In a comprehensive report on improving stream crossings for fish passage, Lang looked at many crossings using unique monitoring methods (Lang, Love et al. 2004).

Bridges are regularly inspected through the National Bridge Inspection Standards (White, Minor et al. 1992; Washington (State) Dept of Transportation 2009). Inspection is a form of monitoring, although the purpose is oriented more toward public safety and protecting infrastructure, rather than habitat protection. Much can be gleaned from the bridge inspection process and it can be used to evaluate older bridges for what they can tell us about replacements, and the performance of more recent bridges.

COMPLIANCE MONITORING

We expect that water crossings should provide some basic functions.

1. Water crossing structures should pass all fish at all life stages that would be expected in the reach where the project is found. In degraded habitat, upstream of man-made barriers, or in streams where fish have been extirpated, passage must be provided for fish that would be expected if these impediments were removed.

2. Water crossing structures should maintain the functions and values of fish and wildlife habitat in the stream reach where the crossing is found. In addition, the project should protect the productive capacity and opportunities reasonably expected of a site in the future.

Water crossings designed according to the principles and methods found in these *WATER CROSSING DESIGN GUIDELINES* are assumed to fulfill these functions. The task of monitoring becomes one of compliance to ensure that the design methods have been interpreted and implemented correctly. Coupled with compliance should be contingencies so that these functions are not lost if the project fails to measure up to expectations. For instance, monitoring determines that a culvert has been set too low. How low is too low? Should it be ripped up and reinstalled? Simply observing and

measuring does not lead to a remedy. The following are a set of monitoring tasks with contingencies grouped according to crossing design. The contingencies can be used by grant organizations, land managers, crossing owners, and regulators to ensure that the project actually supplies the benefits the sponsor or contractor claim.

The monitoring program described here is an example of what a program or agency could develop to track the performance of their crossing projects. It can be used to confirm that the recommendations in these guidelines are followed. We recommend that you adapt this program to meet your goals. The contingencies suggested here are recommendations only. Those designing a monitoring program would prescribe contingencies appropriate for the situation.

No-slope Culverts

There are six aspects of a no-slope culvert that contribute to its success. Please see ***Chapter 2*** for a complete description of this method and an explanation of the terms used in this section.

1. **Culvert bed width**. The width of the bed inside the culvert should be at least the bankfull width of the stream. The culvert span and rise should be sufficient to create and maintain such a bed over time. Many errors occur in the assessment, design, and specification of the proper culvert size so this is one of the most fundamental monitoring measurements. The measurement is done with a fiberglass tape from the point where the bed meets the culvert wall on one side to a similar point on the other. This measurement would be taken at the inlet and the outlet. Culverts that are less than 80% of the required size should be assessed with respect to items 2, 5, 6, 7 below. If it fails to fulfill these functions, it should be replaced with a new culvert of the correct size. Obviously, the size of the culvert and its elevation, which determine the bed width, should have been determined when it was designed. It this is the case, then the remedy should be the responsibility of the designer. Unexpected changes in the bed elevation will change the countersink and the bed width and can be fixed, to a certain extent, with profile adjustment, ***Chapter 7.***

2. **Culvert bed fill**. In ***Chapter 2*** it is recommended that no-slope culverts should be filled during construction and meet the criteria in sections 3, 4, and 5, below. Culverts not filled during construction should be monitored over time to determine if they comply with the criteria below. If they do not, they should be filled with appropriate streambed material to the proper elevation.

3. **Culvert slope**. The absolute difference between the inlet and outlet crown elevation divided by the length should be no greater than 1%. In most cases, this measurement must be done with survey grade equipment in order to have the accuracy required to determine such a low slope. Culverts installed at greater than 2% slope should be considered noncompliant and reset at zero grade, unless it can be shown that culvert slope is not affecting performance with respect to the other aspects of design discussed here (items 1, 2, 5, 6, and 7). As discussed in (4) below, corrugated metal pipes are flexible and often deform during installation. This means that the crown of the pipe will be higher or lower than expected for the true shape. One way to determine whether the pipe has been deformed is to measure the span and compare it to the specified span. Deviations from the nominal

span will indicate a deformed pipe. If the slope of the no-slope pipe is greater than 2% based on the crown elevations, then, to possibly avoid having to replace the pipe, the owner should determine whether any part of the slope is due to deformation. A more reliable way to determine slope in a deformed pipe is to use the average elevation of the invert and crown at the inlet and outlet.

4. **Culvert elevation**. Where specified by plan, culvert invert elevation should be within ± 5% of the culvert rise from plan elevation. For example, a 6 ft rise culvert should be set within ±0.3 ft of the specified elevation. The controlling surface is the culvert invert. For embedded culverts the invert is beneath several feet of bed material and difficult to measure accurately. In the case of 4-sided concrete box culverts, the invert can be found by subtracting the culvert rise from the crown elevation. CMP culverts, because they are often deformed during installation, have an installed rise which may or may not equal the nominal culvert rise as stated on the plans. For cases where this measurement in a CMP is of critical importance, the bed material must be shoveled away from the invert and directly compared with an established benchmark. Culvert elevations that are greater than 10% of the nominal rise from the plan elevation must be reset at the proper elevation. If such a culvert results in a countersink within the no-slope design criteria, particularly with respect to bed width, it does not have to be reset.

5. **Culvert bed slope.** Culvert bed slope must be less than or equal to 0.2 times the rise/length ($S<0.2R/L$), or less than 3%. The bed slope is determined with the greatest accuracy by measuring the difference between the inlet and outlet water surface divided by the culvert length. If the culvert is dry, you must estimate an elevation along the prevailing gradient. This criterion is intended to limit the application of no-slope; if the culvert bed slope is greater than 3% and the culvert is functioning in accordance with the other criteria stated here, it does not need to be replaced. What is far more likely to be the case is that the culvert bed was installed at >3% and has lowered since construction and initiated a headcut upstream. By the time that monitoring detects this regrade, the damage has been done and what remains is to see that the rest of the system is functioning correctly.

6. **Culvert countersink**. Outlet countersink should be greater than or equal to 20% of the rise. This is simply determined by subtracting the nominal culvert rise divided by measured bed-to-crown distance from 1. As mentioned in (4), this is a reliable method for concrete culverts, but less accurate for CMPs. If the outlet countersink is less than 20% after construction, the culvert must be reset, or replaced with a properly sized one. Changes in countersink over time can either be the result of improper design or an unanticipated change in the elevation of the downstream bed due to incision or the loss of a bed control. It then becomes hard to assign responsibility for the repair. Excessive inlet countersink is similar in that the culvert could be too small, set too low, or subject to unexpected aggradation. If inlet countersink exceeds 50% after construction, changes should be made by the owner. But, if over time the inlet fills beyond 50%, the cause should be determined and a remedy sought.

7. **Regrade**. If the gradient of the bed of a no-slope culvert is less than 0.75 times the upstream channel gradient, and causes more than 3 ft of uncontrolled regrade, then it should be replaced with a properly designed stream simulation culvert. Compensatory mitigation can offset lost stream and riparian function.

STREAM SIMULATION CULVERTS

Please see ***Chapter 3*** for a complete description of this method and an explanation of the terms used in this section.

1. **Culvert span.** The culvert bed width should be equal to or greater than 1.2Wch + 2ft, unless the culvert is located in an unconfined channel and the width has been increased to accommodate overbank flow. Many errors occur in the assessment, design, and specification of the proper culvert size so that this is one of the most fundamental monitoring measurements. The measurement is done with a fiberglass tape from the point where the bed meets the culvert wall on one side to a similar point on the other. This measurement is taken at the inlet and outlet of the culvert. Culverts that are less than 80% of the required size should be assessed with respect to items 2, 3, 4, and 6 below. If it fails to fulfill these functions, it should be replaced with the correct size. Culverts that fail to simulate the natural adjacent channel should be replaced with the correct size.

2. **Slope ratio**. The culvert bed slope should be less than 1.25 times average upstream channel slope. For this measurement, the water surface slope of the culvert is compared with the prevailing slope upstream of the culvert. Often the area within a 100 ft or more of a recently replaced culvert will be in transition, adjusting to the new culvert and its hydraulic and sediment transport control. One must go sufficiently upstream of this affected zone to accurately measure true slope. Bed slope evolves over time, responding to sediment inputs and scour. In this way the slope ratio can also evolve and the monitoring study must be designed to account for this process. In some instances, the bed is installed at a slightly higher slope to slow the progress of regrade for a time. A natural grade break in a vicinity of the culvert may affect the slope ratio, and compliance for these cases must be handled individually.

3. **Bed material**. Verify that culvert bed material is the same as material specified on the plans, and that is it similar to that found in the adjacent channel. There may be reasons why the culvert fill may differ from the channel bed, as described in ***Chapter 3***. To account for this difference, the plans and any reports must be available to the monitoring team so that they can determine whether it complies. As a general rule, the median particle size in the culvert should be within 18% of median particle size in the natural stream. More accurately, the median particle size in the culvert should be within the one standard error of the median stream particle size (Bunte and Abt 2001). This criteria derives from the fact that one cannot know the size of stream sediment any more accurately than the standard error associated with the assessment method, in this case, the Wolman pebble count of 100 particles (Wolman 1954). This standard error forms the basis of our expectations for a properly constructed stream simulation bed and allows the owner and their contractor

some leeway in filling a bed specification, but still holds them to the basic premise of the method – to "simulate" the natural streambed.

4. **Countersink.** The stream simulation criterion for countersink at the culvert inlet and outlet is greater than 30% and less than 50% of culvert rise. This is simply determined by subtracting the nominal culvert rise divided by measured bed-to-crown distance from 1. As mentioned in the no-slope section (4), this is a reliable method for concrete culverts, but less accurate for CMPs. Since many stream simulation culverts are deeply countersunk, often over 3 ft, it is not practical to dig the bed up to find the invert and the nominal method is all that is left to us. Countersink less than 30% is below the criteria and can result in a decrease in bed width in round, arch, and squash culverts; it can also result in a dangerously thin layer of sediment in the culvert that will be prone to washing out during storm events. Since many stream simulation culverts are large, expensive and probably impossible to reset, countermeasures might be worth exploring. These might include the placement of large wood in the downstream channel to cause some backwater to encourage sediment deposition in the culvert, provided it is the correct size and not prone to scour.

5. **Cross section.** Culvert bed cross section should be similar to the natural stream cross section. Of particular importance is the presence of banks inside the culvert. It is common that the culvert bed is constructed flat and remains so indefinitely. This reduces habitat complexity and available passage pathways. One simple way to determine similarity is to compare the bankfull depth of an appropriate cross section in the natural channel to the difference between the minimum and maximum elevation in several culvert cross sections. This is shown in ***Figure 14.1***.

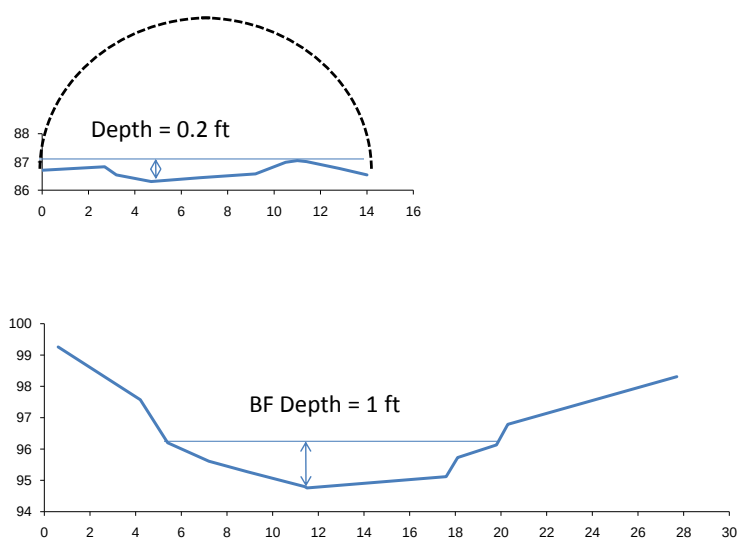


Figure 14.1: Culvert cross section, above, and the natural channel cross section, below, showing a comparison of depth to evaluate cross sectional similarity.

Culverts with maximum depth less than 50% of the bankfull depth should be considered for retrofit. This could range from rearranging the bed material to form a more natural channel cross section, to placing some larger rock along the culvert wall to help center the flow and increase depth.

6. **Regrade**. Channel regrade is the degradation of the upstream channel as a result of lowering the culvert. Regrade is often beneficial and an expected part of crossing replacement. Regrade can only be measured by comparing monumented cross sections, but it is not really necessary to measure it unless one is required to do so. For monitoring purposes, what should be measured are conditions that lead to fish passage barriers, particularly at upstream culverts, and the exposure of bedrock.

BRIDGES

Chapter 4 describes the latest recommendations for designing bridges to protect habitat. Bridge designs that result from these recommendations are site specific and more complicated to verify, as opposed to no-slope culverts. Bridge monitoring can only compare what is shown in plans and written in reports to what has been built. Several channel effects can be measured and those are noted below.

Considering the cost and disruption to traffic, it is unlikely that bridges which fail to meet expectations will be replaced before their service life is over. ***Chapter 4*** includes a section on bridge maintenance which addresses the replacement versus repair. This section should form the basis of contingency actions for the following monitoring categories.

Monitoring should take place 4 years after construction in order to allow time for these effects to become obvious (likelihood of a 100 year event taking place is 4%; likelihood of a 10 year event is 34%).

1. **Bridge span.** Span is the most fundamental parameter in bridge design for habitat protection. When combined with the elevation of the bridge above the channel, span determines the amount of width provided for the stream, flood flow, meander migration, sediment and debris passage. What should be monitored is the width between abutments or abutment protection; usually the width between riprap protection. In all instances this should be greater than the ordinary high water width (OHW, see WAC 220-110-070(1)a). In wide, floodplain channels, this width should be enough to accommodate peak flow without causing channel wide scour (see below) and to have the least effect on the watercourse (WAC 220-110-070(1)h). Bridges that fail to meet these requirements should be monitored using the remaining 5 criteria.

2. **Bed scour**. Bed scour must be differentiated from normal scour that forms channel pools. Bed scour from an undersized or inappropriately designed bridge will be located at bridge piers or abutments and to depths in excess of average channel pool depth. In addition, the bed will be coarsened by high shear stress and constriction scour.

3. **Sediment transport**. Another hallmark of an undersized or inappropriately designed bridge is excessive sediment deposited upstream of the crossing. These deposits are often in excess of normal bar height and usually cause lateral channel movement.

4. **Bank scour**. Bank scour is a normal stream process to be expected in meandering streams or after a big flood. Bank scour is indicated by unvegetated cut slopes. Poorly sited or undersized bridges may cause bank scour in excess of prevailing rates immediately downstream, at the upstream fill slope, or adjacent bank. Scour can be documented from aerial and close up photographs, as well as surveyed cross sections and bank pins.

5. **Bridge armor.** Armored banks outside the bridge shadow indicate the need to reinforce the area around a span that constricts the channel. The fact that they are not scoured does not validate the sizing. Fill slope stabilization is exempted from this consideration.

6. **Debris**. Debris racked against the bridge components indicates that the bridge has not been designed to accommodate normal debris transport. The cross section has been constricted or the clearance is too small. The bottom cord of the bridge must be above a calculated 100 year flood elevation with consideration for debris likely to be encountered (as required by WAC 220-110-070 (1)e) or 3 ft above the 100 year flood elevation.

APPENDIX A: GLOSSARY

aggradation: The geologic process by which a streambed is raised in elevation by the deposition of additional material transported from upstream (opposite of **degradation**).

armor: A surface streambed layer of coarse grained sediments that are rarely transported. This layer protects the underlying sediments from erosion and transport, while creating enough roughness to prevent channel down-cutting.

backwater: Stream water, obstructed by some downstream hydraulic control, is slowed or stopped from flowing at its normal, open-channel flow condition.

baffle: Pieces of wood, concrete or metal that are mounted in a series on the floor and/or wall of a culvert to increase boundary roughness, thereby reducing the average water velocity and increasing water depth within the culvert.

bankfull width: The bankfull channel is defined as the stage when water just begins to overflow into the active floodplain. In streams where there is no floodplain it is the width of a stream or river at the dominant channel forming flow with a recurrence interval in the 1 to 2 year range. Bankfull is fully defined in ***Appendix C.***

bed: The land below the ordinary high water lines of the waters of the state of Washington. This definition does not include irrigation ditches, canals, storm water run-off devices or artificial watercourses, except where they exist in a natural watercourse that has been altered by man.

bedload: The part of sediment transport that is not in suspension, consisting of coarse material moving on or near the channel bed surface.

bed roughness: The unevenness of streambed material (i.e. gravel, cobbles) that contributes resistance to stream flow. The degree of roughness is commonly expressed using Manning's roughness coefficient (see ***Equation 2*** in ***Chapter 6: Hydraulic Design Option***).

cascade: A relatively steep channel unit composed of a series of small steps or a very rough, boulder chute. A cascade can be natural or man-made (often the basis for a roughened channel).

channel-bed width: For the purpose of culvert design, the channel-bed width is defined as the width of the bankfull channel, although bankfull may not be well defined in some channels. For those streams which are non alluvial or do not have floodplains, the channel width must be determined using features that do not depend on a floodplain. Refer to ***Appendix C***, for details and information on how to measure channel-bed width.

clast: An individual particle in the channel bed.

countersink: Countersink means to place below the level of the surface; in reference to culvert design, to countersink is to set the elevation of the culvert invert below the level of the streambed.

debris: Material distributed along and within a channel or its floodplain either by natural processes or human influences. Generally wood; whole trees, logs, root wads, branches, sticks, and leaves.

degradation: The removal of streambed materials caused by the erosional force of water flow that results in a lowering of the bed elevation throughout a reach (opposite of **aggradation**).

deposition: The settlement of material onto the channel-bed surface or floodplain.

dewater: To remove water from an area, usually done before construction of an in-stream project.

fishway: A system specifically designed for passage of fish over, around or through an obstruction. Such systems include hydraulic-control devices, special attraction devices, collection and transportation channels, fish ladders, a series of weirs designed for fish passage, and culvert retrofit systems.

floodplain utilization ratio (FUR): The floodplain utilization ratio is the flood-prone width divided by the bankfull width. (The Floodplain Utilization Ratio is referred to as the "entrenchment ratio" in several publications). As a rule-of-thumb, flood-prone width is defined as the water surface width at a height above the bed of twice the bankfull depth.

fork length: The length of a fish measured from the most anterior part of the head to the deepest point of the notch in the tail fin.

freshet: A rapid, temporary rise in stream flow caused by snow melt or rain.

geomorphology: The study of physical features associated with landscapes and their evolution.

grade stabilization or **grade control**: Stabilization of the streambed surface elevation to protect against degradation or to increase stream gradient in excess of the prevailing gradient.

gradient: The slope of a stream-channel bed or water surface, expressed as a percentage of the drop in elevation divided by the distance in which the drop is measured.

headcut: The erosion of the channel bed, progressing in an upstream direction, creating an incised channel. Generally recognized as a vertical drop or waterfalls, or an abnormally over-steepened channel segment.

incised channel: A stream channel that has lowered in gradient by degrading its bed. Generally the bed is well below the historic flood plain, often deep and narrow, and containing all or most of the flood flow within its banks. Incision is a transitional state.

mitigation: Actions taken to avoid or compensate for the impacts to habitat resulting from man's activities (WAC 220-110-050).

OHW Mark: Ordinary high water line.

ordinary high water line: The legal definition of ordinary high water mark per WAC 220-110-020(69) is:

> "Ordinary high water line" or "OHWL" means the mark on the shores of all waters that will be found by examining the bed and banks and ascertaining where the presence and action of waters are so common and usual and so long continued in ordinary years, as to mark upon the soil or vegetation a character distinct from that of the abutting upland, provided that in any area where the ordinary high water line cannot be found, the ordinary high water line adjoining saltwater shall be the line of mean higher high water, and the ordinary high water line adjoining freshwater shall be the elevation of the mean annual flood."

perched: A culvert whose outlet is elevated above the downstream channel water surface.

reach: A section of a stream having similar physical and biological characteristics.

regrade: The channel's process of stabilization usually caused by new or extreme conditions. Generally, regrade occurs when a grade control is added or removed such as when a *perched* culvert is removed and the upstream channel lowers as a result. See *headcut* and *degradation*.

riffle: A reach of stream in which the water flow is rapid and usually more shallow than the reaches above and below. Natural streams often consist of a succession of pools and riffles.

riparian area: The area adjacent to flowing water (e.g., rivers, perennial or intermittent streams, seeps, or springs) that contains elements of both aquatic and terrestrial ecosystems, which mutually influence each other.

riprap: Large, durable materials (usually fractured or quarried rocks) used to protect a stream bank, bridge abutment or lake shore from erosion; also refers to the materials used for this purpose.

rise: The maximum, vertical, open dimension of a culvert; equal to the diameter in a round culvert and the height in a rectangular culvert.

scour: The process of removing material from the bed or banks of a channel through the erosive action of flowing water.

shear strength: The characteristic of soil, rock and root structure that resists the sliding force of flowing water.

shear stress: A measure of the erosive force acting on and parallel to the flow of water; expressed as force per unit area (lb/ft^2, N/m^2). In a channel, shear stress is created by water flowing parallel to the boundaries of the channel; bank shear is a combined function of the flow magnitude and duration, as well as the shape of the bend and channel cross section.

slope: Vertical change with respect to horizontal distance within the channel (see gradient).

slope ratio: The ratio of the proposed culvert bed slope to the upstream water-surface slope.

substrate: Mineral and organic material that forms the bed of a stream.

tailout: The downstream end of a pool where the bed surface gradually rises and the water depth increases. It may vary in length, but usually occurs immediately upstream of a riffle.

thalweg: The longitudinal line of deepest water within a stream.

toe: The base area of a stream bank where it meets the streambed.

weir: A channel-spanning structure that raises the water surface upstream and forces flow to drop at a specific location.

Water Resource Inventory Area (WRIA): Areas or boundaries created around major watersheds within the State of Washington for administration and planning purposes. These boundaries were jointly agreed upon in 1970 by Washington's natural resource agencies (departments of Ecology, Natural Resources and Fish and Wildlife). They were formalized under WAC 173-500-040 and authorized under the Water Resources Act of 1971, RCW 90.54.

waters of the state or state waters: Includes lakes, rivers, ponds, streams, inland waters, underground water, salt waters, estuaries, tidal flats, beaches and lands adjoining the sea coast of the state, sewers, and all other surface waters and watercourses within the jurisdiction of the State of Washington.

wetlands: (WAC 173-201A-020) means areas that are inundated or saturated by surface water or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, bogs, and similar areas. Wetlands do not include those artificial wetlands intentionally created from non-wetland sites, including, but not limited to, irrigation and drainage ditches, grass-lined swales, canals, detention facilities, wastewater treatment facilities, farm ponds, and landscape amenities, or those wetlands created after July 1, 1990, that were unintentionally created as a result of the construction of a road, street, or highway. Wetlands may include those artificial wetlands intentionally created from non-wetland areas to mitigate the conversion of wetlands. (Water bodies not included in the definition of wetlands as well as those mentioned in the definition are *waters of the state*.)

width ratio: The ratio of the proposed culvert-bed width to the upstream channel bankfull width.

APPENDIX B: WASHINGTON CULVERT REGULATIONS

RCW 77.57.030

Fishways required in dams, obstructions — penalties, remedies for failure.

(1) subject to subsection (3) of this section, a dam or other obstruction across or in a stream shall be provided with a durable and efficient fishway approved by the director. Plans and specifications shall be provided to the department prior to the director's approval. The fishway shall be maintained in an effective condition and continuously supplied with sufficient water to freely pass fish.

(2)(a) if a person fails to construct and maintain a fishway or to remove the dam or obstruction in a manner satisfactory to the director, then within thirty days after written notice to comply has been served upon the owner, his or her agent, or the person in charge, the director may construct a fishway or remove the dam or obstruction. Expenses incurred by the department constitute the value of a lien upon the dam and upon the personal property of the person owning the dam. Notice of the lien shall be filed and recorded in the office of the county auditor of the county in which the dam or obstruction is situated. The lien may be foreclosed in an action brought in the name of the state.

(b) if, within thirty days after notice to construct a fishway or remove a dam or obstruction, the owner, his or her agent, or the person in charge fails to do so, the dam or obstruction is a public nuisance and the director may take possession of the dam or obstruction and destroy it. No liability shall attach for the destruction.

(3) for the purposes of this section, "other obstruction" does not include tide gates, flood gates, and associated man-made agricultural drainage facilities that were originally installed as part of an agricultural drainage system on or before may 20, 2003, or the repair, replacement, or improvement of such tide gates or flood gates.

[2005 c 146 § 903; 2003 c 391 § 1; 1998 c 190 § 86; 1983 1st ex.s. c 46 § 72; 1955 c 12 § 75.20.060. Prior: 1949 c 112 § 47; Rem. Supp. 1949 § 5780-321. Formerly RCW 77.55.060, 75.20.060.]

WAC 220-110-070 WATER CROSSING STRUCTURES.

In fish bearing waters, bridges are preferred as water crossing structures by the department in order to ensure free and unimpeded fish passage for adult and juvenile fishes and preserve spawning and rearing habitat. Pier placement waterward of the ordinary high water line shall be avoided, where practicable. Other structures which may be approved, in descending order of preference, include: Temporary culverts, bottomless arch culverts, arch culverts, and round culverts. Corrugated metal culverts are generally preferred over smooth surfaced culverts. Culvert baffles and downstream control weirs are discouraged except to correct fish passage problems at existing structures.

An HPA is required for construction or structural work associated with any bridge structure waterward of or across the ordinary high water line of state waters. An HPA is also required for bridge painting and other maintenance where there is potential for wastage of paint, sandblasting material, sediments, or bridge parts into the water, or where the work, including equipment operation, occurs waterward of the ordinary high water line. Exemptions/5-year permits will be considered if an applicant submits a plan to adhere to practices that meet or exceed the provisions otherwise required by the department.

Water crossing structure projects shall incorporate mitigation measures as necessary to achieve no-net-loss of productive capacity of fish and shellfish habitat. The following technical provisions shall apply to water crossing structures:

(1) Bridge construction.

(a) Excavation for and placement of the foundation and superstructure shall be outside the ordinary high water line unless the construction site is separated from waters of the state by use of an approved dike, cofferdam, or similar structure.

(b) The bridge structure or stringers shall be placed in a manner to minimize damage to the bed.

(c) Alteration or disturbance of bank or bank vegetation shall be limited to that necessary to construct the project. All disturbed areas shall be protected from erosion, within seven calendar days of completion of the project, using vegetation or other means. The banks shall be revegetated within one year with native or other approved woody species. Vegetative cuttings shall be planted at a maximum interval of three feet (on center), and maintained as necessary for three years to ensure eighty percent survival. Where proposed, planting densities and maintenance requirements for rooted stock will be determined on a site-specific basis. The requirement to plant woody vegetation may be waived for areas where the potential for natural revegetation is adequate, or where other engineering or safety factors preclude them.

(d) Removal of existing or temporary structures shall be accomplished so that the structure and

associated material does not enter the watercourse.

(e) The bridge shall be constructed, according to the approved design, to pass the 100-year peak flow with consideration of debris likely to be encountered. Exception shall be granted if applicant provides hydrologic or other information that supports alternative design criteria.

(f) Wastewater from project activities and water removed from within the work area shall be routed to an area landward of the ordinary high water line to allow removal of fine sediment and other contaminants prior to being discharged to state waters.

(g) Structures containing concrete shall be sufficiently cured prior to contact with water to avoid leaching.

(h) Abutments, piers, piling, sills, approach fills, etc., shall not constrict the flow so as to cause any appreciable increase (not to exceed .2 feet) in backwater elevation (calculated at the 100-year flood) or channel wide scour and shall be aligned to cause the least effect on the hydraulics of the watercourse.

(i) Riprap materials used for structure protection shall be angular rock and the placement shall be installed according to an approved design to withstand the 100-year peak flow.

(2) Temporary culvert installation.

The allowable placement of temporary culverts and time limitations shall be determined by the department, based on the specific fish resources of concern at the proposed location of the culvert.

(a) Where fish passage is a concern, temporary culverts shall be installed according to an approved design to provide adequate fish passage. In these cases, the temporary culvert installation shall meet the fish passage design criteria in Table 1 in subsection (3) of this section.

(b) Where culverts are left in place during the period of September 30 to June 15, the culvert shall be designed to maintain structural integrity to the 100-year peak flow with consideration of the debris loading likely to be encountered.

(c) Where culverts are left in place during the period June 16 to September 30, the culvert shall be designed to maintain structural integrity at a peak flow expected to occur once in 100 years during the season of installation.

(d) Disturbance of the bed and banks shall be limited to that necessary to place the culvert and any required channel modification associated with it. Affected bed and bank areas outside the culvert shall be restored to preproject condition following installation of the culvert.

(e) The culvert shall be installed in the dry, or in isolation from stream flow by the installation of

a bypass flume or culvert, or by pumping the stream flow around the work area. Exception may be granted if siltation or turbidity is reduced by installing the culvert in the flowing stream. The bypass reach shall be limited to the minimum distance necessary to complete the project. Fish stranded in the bypass reach shall be safely removed to the flowing stream.

(f) Wastewater, from project activities and dewatering, shall be routed to an area outside the ordinary high water line to allow removal of fine sediment and other contaminants prior to being discharged to state waters.

(g) Imported fill which will remain in the stream after culvert removal shall consist of clean rounded gravel ranging in size from one-quarter to three inches in diameter. The use of angular rock may be approved from June 16 to September 30, where rounded rock is unavailable. Angular rock shall be removed from the watercourse and the site restored to preproject conditions upon removal of the temporary culvert.

(h) The culvert and fill shall be removed, and the disturbed bed and bank areas shall be reshaped to preproject configuration. All disturbed areas shall be protected from erosion, within seven days of completion of the project, using vegetation or other means. The banks shall be revegetated within one year with native or other approved woody species. Vegetative cuttings shall be planted at a maximum interval of three feet (on center), and maintained as necessary for three years to ensure eighty percent survival. Where proposed, planting densities and maintenance requirements for rooted stock will be determined on a site-specific basis. The requirement to plant woody vegetation may be waived for areas where the potential for natural revegetation is adequate, or where other engineering or safety factors need to be considered.

(i) The temporary culvert shall be removed and the approaches shall be blocked to vehicular traffic prior to the expiration of the HPA.

(j) Temporary culverts may not be left in place for more than two years from the date of issuance of the HPA.

(3) Permanent culvert installation.

(a) In fish bearing waters or waters upstream of a fish passage barrier (which can reasonably be expected to be corrected, and if corrected, fish presence would be reestablished), culverts shall be designed and installed so as not to impede fish passage. Culverts shall only be approved for installation in spawning areas where full replacement of impacted habitat is provided by the applicant.

(b) To facilitate fish passage, culverts shall be designed to the following standards:

(i) Culverts may be approved for placement in small streams if placed on a flat gradient with the bottom of the culvert placed below the level of the streambed a minimum of twenty percent of the

culvert diameter for round culverts, or twenty percent of the vertical rise for elliptical culverts (this depth consideration does not apply within bottomless culverts). Footings of bottomless culverts shall be buried sufficiently deep so they will not become exposed by scour within the culvert. The twenty percent placement below the streambed shall be measured at the culvert outlet. The culvert width at the bed, or footing width, shall be equal to or greater than the average width of the bed of the stream.

(ii) Where culvert placement is not feasible as described in (b)(i) of this subsection, the culvert design shall include the elements in (b)(ii)(A) through (E) of this subsection:

(A) Water depth at any location within culverts as installed and without a natural bed shall not be less than that identified in Table 1. The low flow design, to be used to determine the minimum depth of flow in the culvert, is the two-year seven-day low flow discharge for the subject basin or ninety-five percent exceedance flow for migration months of the fish species of concern. Where flow information is unavailable for the drainage in which the project will be conducted, calibrated flows from comparable gauged drainages may be used, or the depth may be determined using the installed no-flow condition.

(B) The high flow design discharge, used to determine maximum velocity in the culvert (see Table 1), is the flow that is not exceeded more than ten percent of the time during the months of adult fish migration. The two-year peak flood flow may be used where stream flow data are unavailable.

(C) The hydraulic drop is the abrupt drop in water surface measured at any point within or at the outlet of a culvert. The maximum hydraulic drop criteria must be satisfied at all flows between the low and high flow design criteria.

(D) The bottom of the culvert shall be placed below the natural channel grade a minimum of twenty percent of the culvert diameter for round culverts, or twenty percent of the vertical rise for elliptical culverts (this depth consideration does not apply within bottomless culverts). The downstream bed elevation, used for hydraulic calculations and culvert placement in relation to bed elevation, shall be taken at a point downstream at least four times the average width of the stream (this point need not exceed twenty-five feet from the downstream end of the culvert). The culvert capacity for flood design flow shall be determined by using the remaining capacity of the culvert.

Table 1
Fish Passage Design Criteria for Culvert Installation

Criteria	Trout > 6 in. (150mm)	Adult Pink Salmon	Adult Chinook, Coho, Sockeye, Steelhead
1. Velocity, Maximum (fps)			
Culvert Length (ft)			
a. 10 – 60	4.0	5.0	6.0
b. 60 – 100	4.0	4.0	5.0
c. 100 – 200	3.0	3.0	4.0
d. > 200	2.0	2.0	3.0
2. Flow Depth Minimum (ft)	0.8	0.8	1.0
3. Hydraulic Drop, Maximum (ft)	0.8	0.8	1.0

(E) Appropriate statistical or hydraulic methods must be applied for the determination of flows in (b)(ii)(A) and (B) of this subsection. These design flow criteria may be modified for specific proposals as necessary to address unusual fish passage requirements, where other approved methods of empirical analysis are provided, or where the fish passage provisions of other special facilities are approved by the department.

(F) Culvert design shall include consideration of flood capacity for current conditions and future changes likely to be encountered within the stream channel, and debris and bedload passage.

(c) Culverts shall be installed according to an approved design to maintain structural integrity to the 100-year peak flow with consideration of the debris loading likely to be encountered. Exception may be granted if the applicant provides justification for a different level or a design that routes that flow past the culvert without jeopardizing the culvert or associated fill.

(d) Disturbance of the bed and banks shall be limited to that necessary to place the culvert and any required channel modification associated with it. Affected bed and bank areas outside the culvert and associated fill shall be restored to preproject configuration following installation of the culvert, and the banks shall be revegetated within one year with native or other approved woody species. Vegetative cuttings shall be planted at a maximum interval of three feet (on center), and

maintained as necessary for three years to ensure eighty percent survival. Where proposed, planting densities and maintenance requirements for rooted stock will be determined on a site-specific basis. The requirement to plant woody vegetation may be waived for areas where the potential for natural revegetation is adequate, or where other engineering or safety factors preclude them.

(e) Fill associated with the culvert installation shall be protected from erosion to the 100-year peak flow.

(f) Culverts shall be designed and installed to avoid inlet scouring and shall be designed in a manner to prevent erosion of stream banks downstream of the project.

(g) Where fish passage criteria are required, the culvert facility shall be maintained by the owner(s), such that fish passage design criteria in Table 1 are not exceeded. If the structure becomes a hindrance to fish passage, the owner shall be responsible for obtaining a HPA and providing prompt repair.

(h) The culvert shall be installed in the dry or in isolation from the stream flow by the installation of a bypass flume or culvert, or by pumping the stream flow around the work area. Exception may be granted if siltation or turbidity is reduced by installing the culvert in the flowing stream. The bypass reach shall be limited to the minimum distance necessary to complete the project. Fish stranded in the bypass reach shall be safely removed to the flowing stream.

(i) Wastewater, from project activities and dewatering, shall be routed to an area outside the ordinary high water line to allow removal of fine sediment and other contaminants prior to being discharged to state waters.

[Statutory Authority: RCW 75.08.080. 94-23-058 (Order 94-160), § 220-110-070, filed 11/14/94, effective 12/15/94. Statutory Authority: RCW 75.20.100 and 75.08.080. 83-09-019 (Order 83-25), § 220-110-070, filed 4/13/83.]

APPENDIX C: MEASURING CHANNEL WIDTH

SUMMARY

- Bankfull width is the preferred measurement for designing water crossings.
- Bankfull width is commonly defined as the width at incipient flood, but it is more practically defined for Washington tributaries as the width between channel indicators.
- Bankfull width is best determined in the field but can be confirmed with a regional regression using watershed characteristics. A regression equation is supplied for western Washington gravel-bedded steams.
- A method for measuring bankfull width is given along with a series of example photographs.

INTRODUCTION

At least three definitions commonly used to describe channel width:

- Active channel width
- Ordinary high water width
- Bankfull width

In Washington, the actual measured channel width may not vary significantly among these definitions. The language used to describe them is similar and we would expect them to be about the same in some circumstances. But in some cases they are different and this appendix was written to help the water crossing designer measure channel width correctly.

These definitions were developed for, and apply primarily to, alluvial channels – those formed by the action of flowing water. There is a group of non-alluvial channels (backwatered, bedrock, underfit, channelized, colluvial or debris-controlled channels) that have a "channel width," although this may or may not be useful for the design of crossing structures since that width does not respond to the frequency or magnitude of channel-defining flows.

The term "active channel" is a geomorphic expression describing a stream's recent discharges, those that have been "actively" working on the channel in the last few years. Beyond the boundaries of the active channel, stream features are typically permanent and vegetated (Hedman and Kastner 1977). The upper limit of the active channel is defined by a break in the relatively steep bank slope of the active channel to a more gently sloping surface beyond the edge. This normally corresponds to the lower limit of perennial vegetation. Features inside the active channel are partially if not totally sculpted by the normal process of water and sediment discharge (Hedman and Osterkamp 1982).

The term, "ordinary high water line" is defined in several places in state law (*e.g.* WAC 220-110-020) as:

> "the mark on the shores of all waters that will be found by examining the bed and banks and ascertaining where the presence and action of waters are so common and usual and so long

> continued in ordinary years, as to mark upon the soil or vegetation a character distinct from that of the abutting upland, provided that in any area where the ordinary high water line cannot be found, the ordinary high water line adjoining saltwater shall be the line of mean higher high water, and the ordinary high water line adjoining freshwater shall be the elevation of the mean annual flood."

The distance between ordinary high water (OHW) marks on the bank is considered the ordinary high water width. Since OHW is the term used in the WAC 220-110-070 water crossings provisions, it has been applied to these designs in the past. We now understand that OHW varies considerably depending on the channel size and type, and that for the purposes of bridge and culvert design, bankfull width is a more appropriate design parameter. A thorough and well-researched discussion of OHW can be found in the Dept. of Ecology's *Determining the Ordinary High Water Mark on Streams in Washington State* (Olson and Stockdale 2008). This document also clearly defines the difference between OHW and bankfull.

Bankfull Width

The "bankfull channel" is defined as the stage when water just begins to overflow into the active floodplain. In order for this definition to apply a floodplain or a bench is required – features often not found along many Washington tributary streams (Pleus, Schuett-Hames et al. 1998). Incised channels, for instance, do not have bank heights that relate to "bankfull" discharges and may never be overtopped (Williams 1978). The U.S. forest service manual, *Stream Channel Reference Sites* (Harrelson, Rawlins et al. 1994), uses features to determine channel width that do not depend on a floodplain; features that are similar to those used in the description of active channel and ordinary high water:

- A change in vegetation (especially the lower limit of perennial species)
- A change in slope or topographic breaks along the bank
- A change in the particle size of bank material, such as the boundary coarse cobble or gravel with fine-grained sand or silt
- Undercuts in the bank, which usually reach interior elevation slightly below the bankfull stage
- The height of depositional features, especially the top of the point bar, which defines the lowest possible level for bankfull stage
- Stain lines or the lower extent of lichens on boulders

Using a combination of indicators at a variety of locations improves the estimation of the channel width, since stream anomalies may mask or accentuate a given mark on the bank. As an example, perennial vegetation may grow lower on the bank during the dry period, not only lowering that indicator but forcing the channel into a more constricted width in that reach. In an adjacent reach the upper-story canopy may be denser, limiting understory growth on the stream banks negating the effect.

For culverts, the designer should use these indicators to determine channel width, unless there are legitimate reasons not to use them. One such case is alluvial channels in lower-gradient reaches with a true floodplain. These channels have more traditionally defined bankfull width indicators (Rosgen 1994) and should be used instead. The floodplain is the relatively flat area adjoining the

channel, and the bankfull width is the horizontal distance from the break between channel and floodplain on one side of the channel to the other side of the channel. Floodplains may be discontinuous, or may occur on only one side, so measurements must be taken at appropriate locations. The indicators listed above also apply to alluvial channels and provide additional indicators for identifying bankfull width in alluvial channels (Dunne and Leopold 1978; Pleus, Schuett-Hames et al. 1998).

For bridges on larger rivers, floodplains are often present and bankfull width is easy to measure. This also means that the relative importance of bankfull is diminished because a greater proportion of the total flow is on the floodplain. The *floodplain utilization ratio*, explained in detail in ***Chapter 4***, describes the relative importance of the floodplain in bridge and culvert design.

Even if we can carefully describe and determine channel width indicators, measuring channel width is not easy. The next section discusses some watershed methods. The final section describes how to measure channel width.

WATERSHED CHARACTERISTICS

There is a fundamental connection between watershed characteristics and channel width. This can be estimated for a given region by using a regression correlating the watershed area, rainfall and channel width.

Over the years WDFW has measured channel width as well as the average annual precipitation and watershed area for a large number of steams. Fifty-three high gradient (>2%), coarse bedded (bed material gravel and coarser) streams in western Washington were used to develop a regression relationship. The analysis was done using log values. The following equation is the result of that multivariate regression:

$W_{ch} = 0.95*WA^{0.45}AAP^{0.61}$ **Equations C.1**

Where

W_{ch} = width of the bankfull channel in feet

WA = watershed area in square miles

AAP = average annual precipitation in inches per year.

The standard error associated with this equation is 16%. The graph below, ***Figure C-1***, shows the relationship between the measured channel width and the calculated channel width (blue diamonds). Also shown are the measured and calculated channel widths for an independent data set from the stream simulation culvert effectiveness study (red Xs) (Barnard, Yokers et al. 2011).

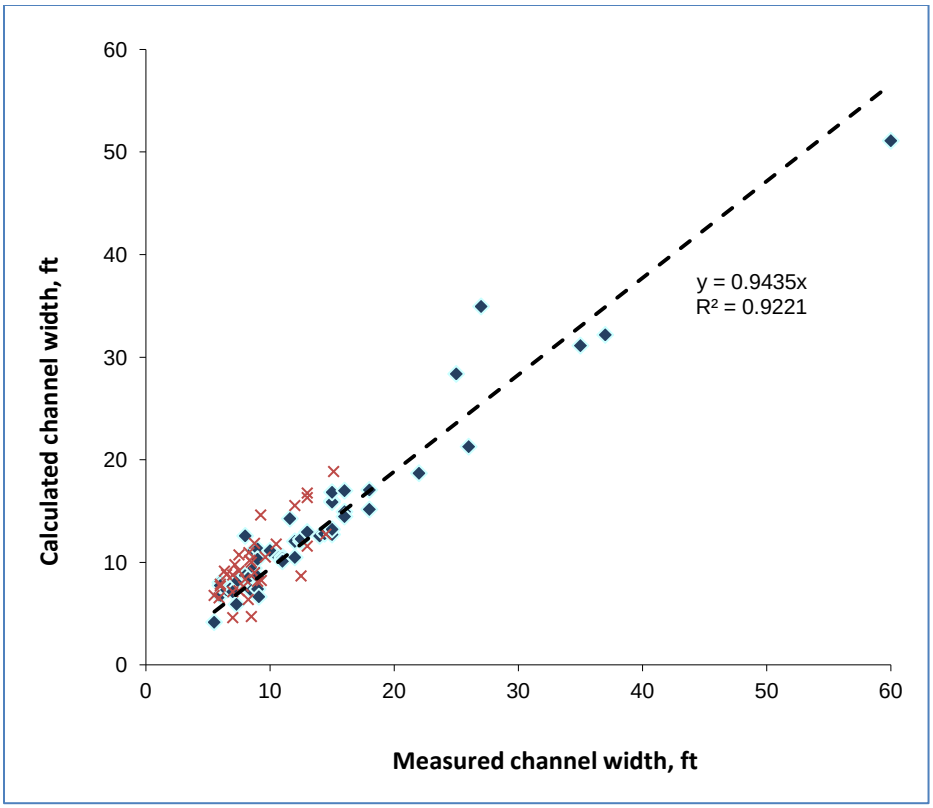


Figure C.1: Measured channel width of selected sites compared to calculated channel width. Blue diamonds are the regression data and red Xs an independent data set.

This equation has proven to be accurate and useful. While it is no substitute for actual measurements, it does help to point out what is a reasonable measurement and what is not. This regression can also be used when there is no easily-measured channel width in the reach containing the crossing.

Once channel cross sections have been measured, it is possible to use modeled flows to determine channel width. This is not an invitation to use a given flood flow to design a culvert or a bridge, but as a way to help better define the bankfull width in a natural cross section. This may seem an academic distinction, but it is not; many factors influence the development of channel shape and these cannot be assumed or simulated in a theoretical cross section. As part of the stream simulation culvert effectiveness study (Barnard, Yokers et al. 2011), bankfull width was measured and the width of the water surface at the 2-year recurrence interval flood (as calculated from USGS regression equations) was calculated. ***Figure C-2*** shows the relationship between these two widths. While there is a fair amount of scatter, there is a general trend. The 2-year flood width can be both greater or less than the measured bankfull width, but a linear regression shows that it is about 15% wider.

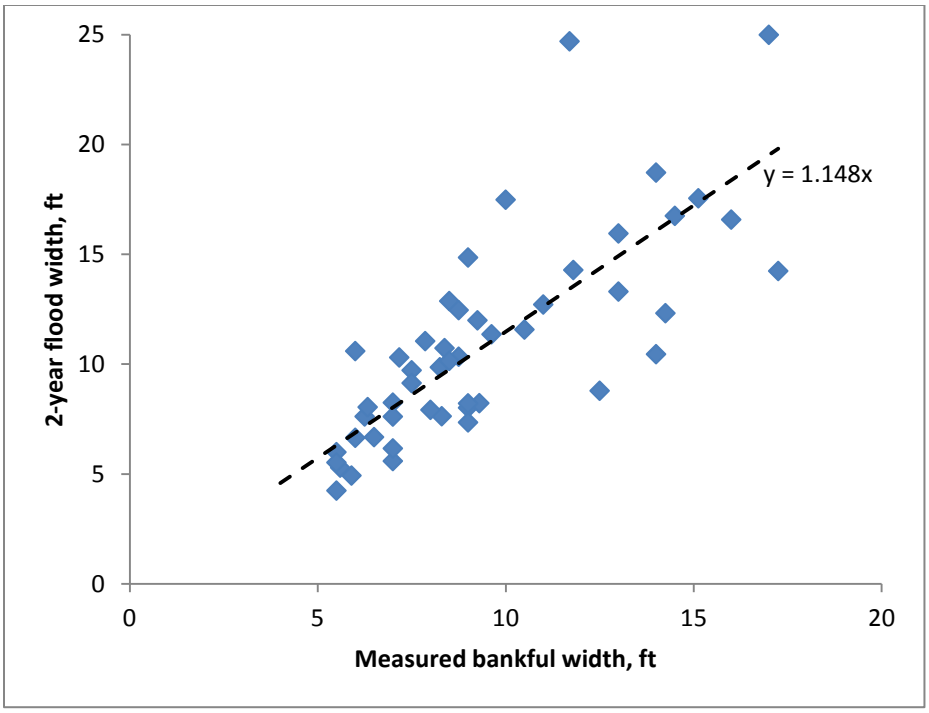


Figure C.2: Measured bankfull width compared to the estimated width based on a 2-year flood.

This data tells us that in these high gradient, coarse bedded streams, the width of the bankfull channel is similar, though slightly smaller than the top width of a 2-year flood. This is in keeping with the WAC 220-110-020 provision that "ordinary high water line adjoining freshwater shall be the elevation of the mean annual flood," which is about the 2.3-year flood.

Measuring Bankfull Width

Theoretically, the average of a large enough number of random width measurements will yield an average stream width. This may be true in alluvial streams where the bed and banks are freely modified by stream flow and have developed over many years in the absence of various forcing factors and unmodified by man. Very few tributaries in Washington are like this and we need to be careful where we measure or we will get an inaccurate bankfull width.

In a natural Washington setting with abundant wood there are many things that make a steam wider, but few that make it narrower. Examples of factors that increase width include: full or partial spanning logs embedded into the bed; full spanning log jams; gravel bars or sediment wedges; increased roughness from vegetation; backwater above a constriction of any sort. So, an average of evenly spaced widths will tend to be wider than the alluvial bankfull width. One must measure a bankfull width outside the influence of these factors that tend to increase the channel width. These are described below.

Undersized culverts and bridges, because of the heavy inlet and outlet energy losses, tend to widen the channel. One should obviously be well outside their influence. Severely undersized culverts under big fills on low gradient streams can influence the channel for a surprisingly long distance upstream with deposits that fill and scour quite frequently.

In developed or urbanized streams, many things tend to decrease the channel width, such as bank protection measures and channelization.

Some of the concerns are specifically addressed in guidelines from the USFS reference channel guide (Harrelson, Rawlins et al. 1994):

- Where the channel has been realigned or modified by construction activity or in reaches lined with riprap, channel width will not be indicative of natural conditions. Usually these cross sections will be substantially narrower.
- Avoid reaches with cemented sediments, hard clay or bedrock.
- Large pools downstream of culverts or confined steep sections will be wider than channel width.
- Braided sections will indicate a wider width than single-thread reaches on the same stream (although, if the culvert is located in a naturally braided section, culvert sizing should reflect conditions).
- Avoid unusually shaped cross sections and sharp bends.
- Areas of active bank cutting, degradation or deposition may indicate that width is in the process of changing, in which case, conservative culvert sizing is recommended.
- Areas with natural or man-made log sills or channel-modifying logjams will affect width. These can be very common in forested, western Washington streams. Width measurements should be taken between such structures but be sure to avoid backwater effects.
- Side channels, especially those that go undetected and act only at high flow, narrow the measured channel width.
- Active and remnant beaver dams obscure flow-generated channel processes.
- Dense vegetation and small woody debris in the channel increase the channel width and fragment the flow.
- Know the recent flood or drought history of the area to avoid misleading indicators.

Incised channels pose some problems when trying to determine bankfull width. Channel incision is a transitional state where the stream tries to seek equilibrium by reducing slope. Where in the time span of this transitional state one measures width influences the result; the channel starts out narrow, but as it reaches its final elevation, it widens and develops floodplain. In addition, the type of soils the channel is cutting into will also influence the result. Incised channels in cohesive materials may have a measured width only a fraction of what it would be if it was connected to a floodplain. On the other hand, streams incised into granular soils – Rosgen's type F8 (Rosgen 1996) – may be wider than the equivalent type C. One must carefully study the channel and refer to experts in this area to correctly measure bankfull width. It is not recommended that culvert sizes be reduced for streams that have been narrowed by incision, except with appropriate site analysis, since it is rarely clear what the appropriate measured width should be.

Examples of Bankfull Width Measurements

Examples of some typical tributary stream bankfull widths and additional data are shown on the following pages. Yellow line on photos shows the approximate bankfull width.

Figure C.3

Site ID	1
Stream name	Summit
Channel width, ft	6
Reach slope, ft/ft	0.028
Floodplain utilizatin ratio	1.9
Stream type	Plane Bed
% cut banks	0
% soft bank	100
% hard bank	0
D50, ft	0.03
D84, ft	0.05
D100, ft	0.1
Watershed area, sq mi	5.32
AAP, in/yr	22
Q2, cfs	21
Q100	100
Level 3 Ecoregion	North Cascades

Small high elevation headwater stream. Scoured roots and sloped floodplain clearly indicate bankfull channel.

Figure C.4

Site ID	2
Stream name	Chilliwist
Channel width, ft	4
Reach slope, ft/ft	0.005
Floodplain utilization ratio	10.2
Stream type	Wetland
% cut banks	0
% soft bank	100
% hard bank	0
D50, ft	0.02
D84, ft	0.02
D100, ft	3
Watershed area, sq mi	3.1
AAP, in/yr	20
Q2, cfs	11
Q100	57
Level 3 Ecoregion	North Cascades

Wetland channel with a high FUR. Well defined channel controlled by vegetation. Culvert span should be increased beyond recommendations in **Chapters 2** and **3** to compensate for wide floodplain.

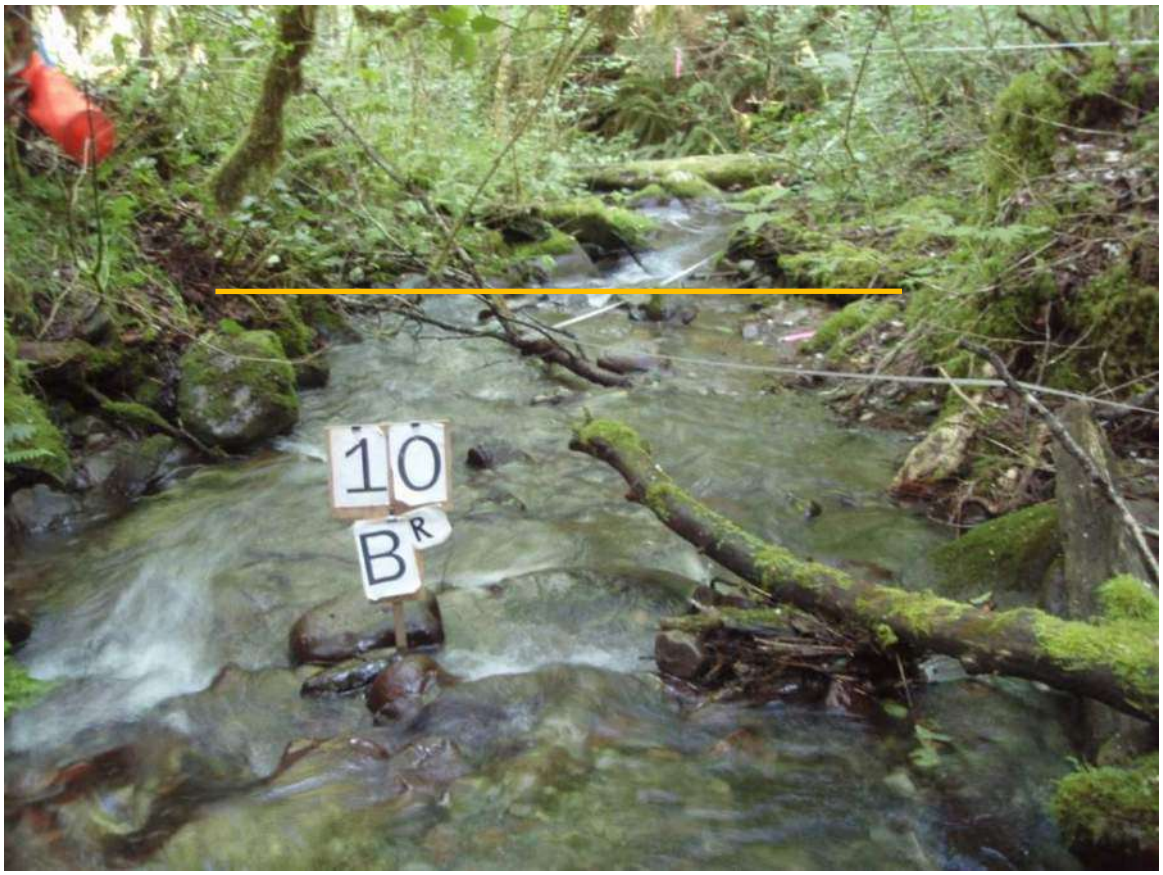
Figure C.5

Site ID	4
Stream name	Cecile Ck
Channel width, ft	17
Reach slope, ft/ft	0.060
Floodplain utilization ratio	1.6
Stream type	Cascade
% cut banks	0
% soft bank	0
% hard bank	100
D50, ft	0.64
D84, ft	1.27
D100, ft	3.7
Watershed area, sq mi	17.2
AAP, in/yr	24
Q2, cfs	68
Q100, cfs	298

North Cascades

This steep, coarse-bedded stream is largely non-alluvial. Bankfull indicator is the upper edge of coarse sediment. Annual vegetation grows down into the bankfull channel but does not define it.

Figure C.6



Site ID	10
Stream name	X-Nooksack
Channel width, ft	9
Reach slope, ft/ft	0.076
Floodplain utilization ratio	1.5
Stream type	Step - Pool
% cut banks	5
% soft bank	90
% hard bank	10
D50, ft	0.31
D84, ft	0.79
D100, ft	2.3
Watershed area, sq mi	1.4
AAP, in/yr	67
Q2, cfs	69
Q100	211
Level 3 Ecoregion	North Cascades

Very similar, but smaller version of the previous channel, ID 4. This channel is in the moist western region and the previous one in the arid east.

Figure C.7

Site ID	18
Stream name	x SF Willapa
Channel width, ft	8
Reach slope, ft/ft	0.018
Floodplain utilization ratio	11.9
Stream type	Wetland
% cut banks	95
% soft bank	100
% hard bank	0
D50, ft	0.06
D84, ft	0.1
D100, ft	0.2
Watershed area, sq mi	0.34
AAP, in/yr	118
Q2, cfs	48
Q100	109
Level 3 Ecoregion	Coast Range

Broad wetland floodplain with well-defined bankfull channel. High floodplain roughness reduces overbank flow and the need for a larger culvert in this instance.

Figure C.8

Site ID	19
Stream name	Trib to mill ck
Channel width, ft	9
Reach slope, ft/ft	0.026
Floodplain utilization ratio	2.0
Stream type	Bedrock
% cut banks	80
% soft bank	100
% hard bank	0
D50, ft	0
D84, ft	0
D100, ft	0.2
Watershed area, sq mi	0.74
AAP, in/yr	76
Q2, cfs	52
Q100, cfs	144
Level 3 Ecoregion	Coast Range

Figure C.9

Site ID	20
Stream name	Midway Ck.
Channel width, ft	10
Reach slope, ft/ft	0.051
Floodplain utilization ratio	1.4
Stream type	Step - Pool
% cut banks	10
% soft bank	85
% hard bank	15
D50, ft	0.24
D84, ft	0.59
D100, ft	2
Watershed area, sq mi	0.52
AAP, in/yr	81
Q2, cfs	41
Q100, cfs	115
Level 3 Ecoregion	Coast Range

Figure C.10

Site ID	22
Stream name	x S.F. Ahtanum
Channel width, ft	14
Reach slope, ft/ft	0.055
Floodplain utilization ratio	1.4
Stream type	Cascade
% cut banks	35
% soft bank	35
% hard bank	65
D50, ft	0.43
D84, ft	0.94
D100, ft	1.8
Watershed area, sq mi	5.5
AAP, in/yr	41
Q2, cfs	59
Q100, cfs	264
Level 3 Ecoregion	Eastern Cascades Slopes and Foothills

Figure C.11

Site ID	24
Stream name	Nasty Ck
Channel width, ft	12
Reach slope, ft/ft	0.035
Floodplain utilization ratio	1.5
Stream type	Step - Pool
% cut banks	0
% soft bank	Na
% hard bank	Na
D50, ft	0.25
D84, ft	0.55
D100, ft	1.1
Watershed area, sq mi	8.3
AAP, in/yr	32
Q2, cfs	82
Q100, cfs	362
Level 3 Ecoregion	Eastern Cascades Slopes and Foothills

Figure C.12

Site ID	27
Stream name	Paw Print
Channel width, ft	8
Reach slope, ft/ft	0.069
Floodplain utilization ratio	1.2
Stream type	Step - Pool
% cut banks	25
% soft bank	75
% hard bank	25
D50, ft	0.33
D84, ft	0.9
D100, ft	2.5
Watershed area, sq mi	0.25
AAP, in/yr	95
Q2, cfs	26
Q100, cfs	84
Level 3 Ecoregion	Cascades

Figure C.13

Site ID	28
Stream name	Green Gold/Wildcat
Channel width, ft	6
Reach slope, ft/ft	0.036
Floodplain utilization ratio	2.1
Stream type	Pool - Riffle
% cut banks	25
% soft bank	75
% hard bank	25
D50, ft	0.09
D84, ft	0.21
D100, ft	1.12
Watershed area, sq mi	0.27
AAP, in/yr	64
Q2, cfs	15
Q100, cfs	48
Level 3 Ecoregion	Puget Lowland

Figure C.14

Site ID	31
Stream name	Taylor Ck
Channel width, ft	14
Reach slope, ft/ft	0.049
Floodplain utilization ratio	1.7
Stream type	Cascade
% cut banks	35
% soft bank	65
% hard bank	35
D50, ft	0.11
D84, ft	0.35
D100, ft	3.5
Watershed area, sq mi	Na
AAP, in/yr	Na
Q2, cfs	22
Q100, cfs	64
Level 3 Ecoregion	Puget Lowland

Figure C.15

Site ID	33
Stream name	Xtrib Puget Sound
Channel width, ft	9
Reach slope, ft/ft	0.017
Floodplain utilization ratio	1.7
Stream type	Pool - Riffle
% cut banks	20
% soft bank	80
% hard bank	20
D50, ft	0.13
D84, ft	0.25
D100, ft	0.6
Watershed area, sq mi	1.17
AAP, in/yr	30
Q2, cfs	18
Q100, cfs	49
Level 3 Ecoregion	Puget Lowland

Figure C.16

Site ID	39
Stream name	Bear Ck
Channel width, ft	16
Reach slope, ft/ft	0.009
Floodplain utilization ratio	1.2
Stream type	Pool - Riffle
% cut banks	5
% soft bank	95
% hard bank	5
D50, ft	0.18
D84, ft	0.37
D100, ft	0.9
Watershed area, sq mi	2.4
AAP, in/yr	54
Q2, cfs	80
Q100, cfs	237
Level 3 Ecoregion	Coast Range

Figure C.17

Site ID	44
Stream name	Newberry Ck
Channel width, ft	17
Reach slope, ft/ft	0.012
Floodplain utilization ratio	1.6
Stream type	Plane Bed
% cut banks	5
% soft bank	90
% hard bank	10
D50, ft	0.16
D84, ft	0.38
D100, ft	2.9
Watershed area, sq mi	1.22
AAP, in/yr	130
Q2, cfs	176
Q100, cfs	401
Level 3 Ecoregion	Coast Range

Figure C.18: Newberry Ck stage hydrograph superimposed over a surveyed cross section. This is the same cross section shown in Figure C.18. Newberry Ck is located in the coastal rain forest with an annual average precipitation of 130 inches. 2007 was a year with several large rain events, which are shown as peaks in the hydrograph, one of which was larger than the bankfull discharge. The important interpretation of this figure is that creeks generally run less than the bankfull stage and surpass it for only short periods of time, usually only a matter of a few hours every one or two years.

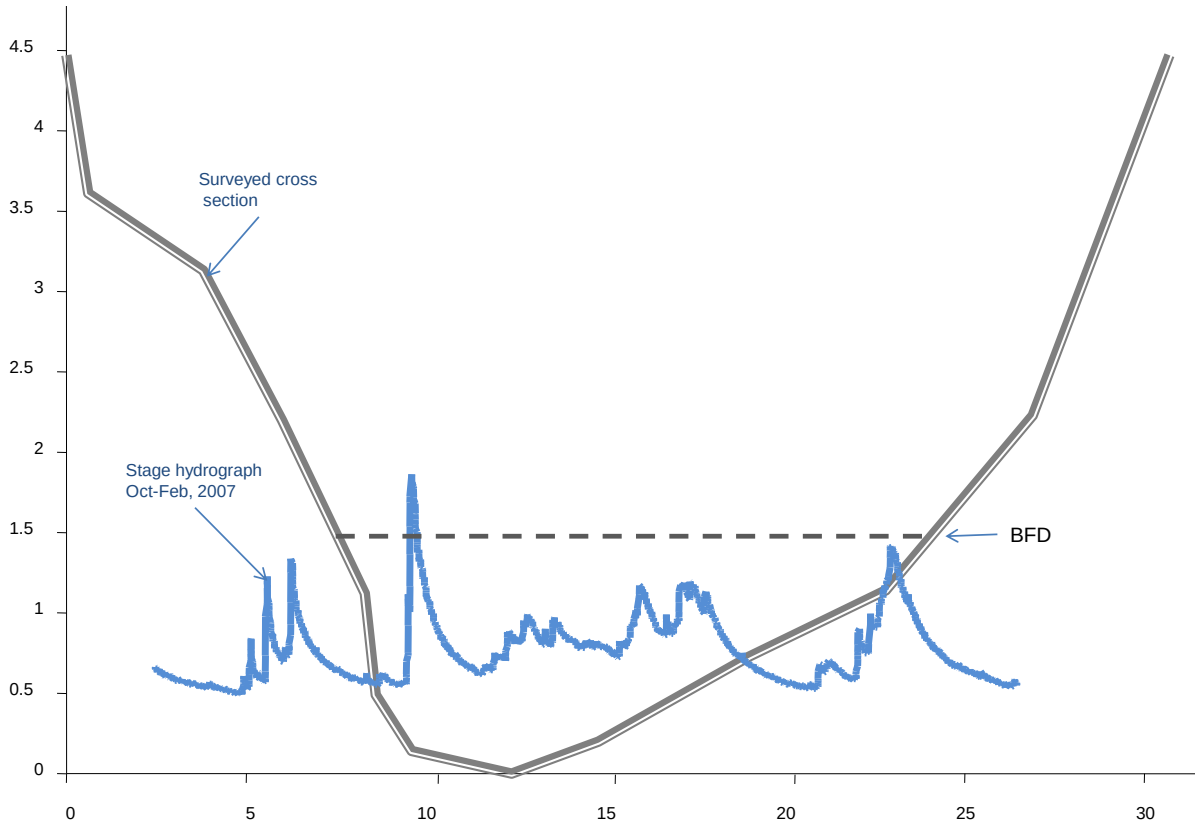


Figure C.19

Site ID	47
Stream name	SF Dogfish Ck
Channel width, ft	6
Reach slope, ft/ft	0.056
Floodplain utilization ratio	1.7
Stream type	Pool - Riffle
% cut banks	55
% soft bank	Na
% hard bank	Na
D50, ft	0.12
D84, ft	0.27
D100, ft	0.8
Watershed area, sq mi	0.4
AAP, in/yr	42
Q2, cfs	11
Q100, cfs	34
Level 3 Ecoregion	Puget Lowland

APPENDIX D: TIDALLY INFLUENCED CROSSINGS

Figure D.1: US 101 crossing of the Hama Hama River delta (Washington Dept. of Ecology photo).

SUMMARY

- Tidally influenced crossings require a different approach to design than those in non-tidal areas.
- Requirements at these crossings are complicated by the fact that fish passage is largely defined by upstream passage in freshwater streams, although tidally influenced crossings clearly have an effect on fish life that must be avoided or mitigated.
- The design of estuarine openings in road embankments and dikes can be approached through an alternative analysis using a hierarchy of benefits.
    - A conceptual model of openings is used to define important components in a restoration scheme for two shore forms commonly crossed by roadways in the nearshore:
        - Barrier beaches
        - Deltas
    - The benefits of increasing crossing size are then analyzed for different alternatives.
    - The benefits of changing the crossing location in the estuary are examined.
    - An assessment process is described with three levels
        - Level 1, a qualitative assessment of tidal effects

  - Level 2, a more sophisticated engineering approach
  - Level 3, quantitative assessment with computer modeling
- A case study is used to show how the hierarchy of benefits works.

INTRODUCTION

The design of water crossings in tidally influenced areas is particularly complex. The degree to which the opening constricts or regulates tidal flow affects fish passage and natural processes in many ways. ***Figure D.1*** shows US 101 crossing the Hama Hama River delta on Hood Canal and how it truncates the delta and estuarine processes. Tidal bridge scour and longshore transport have been covered in the literature, but the effects of bridges and culverts on estuarine functions have not. This appendix is divided into two general sections; the concept of fish passage in a tidally influenced crossing (particularly culverts and tide gates) and the effects of the crossing on estuarine processes (mostly estuarine geomorphology). The second section on geomorphology can be extended to the sizing of dike breaches for restoration projects.

FISH PASSAGE

The law concerning fish passage at manmade barriers (RCW 77.57.030) is clear that a way to efficiently pass fish is required. *How efficiently* is not so clear and WAC 220-110-070 is the only technical guide we have for fish passage and habitat protection at crossings. The rule largely concerns the upstream migration of adult salmonids in riverine environments, a very different situation than tidally influenced crossings, both in terms of hydraulics and fish requirements and behavior. WAC 220-110-070 tells us to prefer bridges that do not constrict flow. This was covered in ***Chapter 4*** for riverine bridges and is discussed below (**Hierarchy of Benefits**) for tidal ones. Then the WAC says we should design culverts using the natural channel as our guide (***Chapter 2: No-Slope Culvert Design*** and by extension to higher gradient streams, ***Chapter 3: Stream Simulation Culvert Design***) or to create hydraulic conditions inside the culvert that do not exceed criteria more than 10% of the time during the migration season (***Chapter 6: Hydraulic Culvert Design***). The basis of this allowable exceedance, "90% passage," is rooted in several concerns.

Upstream migrating anadromous salmonids have a limited life span in fresh water. Any delay can reduce their spawning success and the extent to which they can populate a watershed. In addition, it was believed that while fish do migrate on low discharge floods, they hold in refuge areas during high flows. This has been shown to be false in *Improving stream crossings for fish passage* (Lang, Love et al. 2004) which found adult coho migrating at 2% exceedance flows. The issue of timing is less critical for adult fish in the estuary than it is for upstream migrating adults in fresh water. Whether the 90% passage criteria should be applied to tidal crossings is open to question. Some biologists believe that temporary blockages are not important, that timing is not critical for upstream moving adult salmonids from the estuary to fresh water. Groot and Margolis (Groot and Margolis 1991) state that coho mill about in the vicinity of a creek mouth for weeks or even months. Most salmon have the leisure to wait for freshets or appropriate temperatures. Where the culvert is backwatered by the flood tide, upstream passage is likely at some time during the tidal cycle and fish wait to move up. There is the possibility that the stimulus for migration coincides with

unfavorable passage conditions. For example, the culvert is small enough that flood flow maintains a constant, high velocity outflow even with tidal backwater.

As discussed extensively throughout this guideline, the passage of salmonid adults is only one aspect of fish migration and this is abundantly clear in the estuarine setting. Many other species of fish and life stages are present year round in the estuary and move freely in response to tidal conditions, predator /prey relationships, and other behavior and environmental factors. Crossings that limit the movement of these fish and their prey affect the whole food web (Clancy, Logan et al. 2009). Design strategies that optimize the passage of adult salmonids will clearly be inadequate to address the passage of "fish" at tidally influenced crossings.

The previous edition of this guideline, *FISH PASSAGE AT ROAD CULVERTS* (Bates, Barnard et al. 2003), stated that conditions inside the culvert must meet the hydraulic design criteria, but where replacement of the culvert is not possible, alternatives might be acceptable. An example is given that specifies a maximum time period that the criteria can be exceeded (maximum of 4 continuous hours at any time during the fish passage season). This example is not supported by WAC and is not necessarily recommended for all cases, or any particular case. In the same section, *FISH PASSAGE AT ROAD CULVERTS* described 90% tidal exceedance elevations for four marine locations. Culverts were said to be passable at tides above this level 90% of the time. This observation only shows that access to the culvert and backwatering above the invert occurs frequently, but passage is not assured since it is dependent on stream flow, culvert size, approach channel conditions, fish species and timing. Hydraulic conditions are evaluated using both stream flow and stored tidal prism.

If the culvert is small with respect to the tidal range, then it is only periodically available to small fish travelling along the nearshore in the top layer of the water column at certain tide elevations. If the outlet of the culvert is located significantly below MLLW, then juvenile fish are unlikely to find the opening since they tend to migrate in the top layer of water. Conversely, if a culvert has been installed at a high elevation, it is only available or backwatered at the top of the tidal frame. Increasing the culvert rise is one way to increase access, but replacing the culvert with an open channel is preferred. Small size will also affect tidal inundation, tidal channel development, salinity mixing, and other estuarine functions.

ESTUARINE OPENING GEOMORPHOLOGY - HIERARCHY OF BENEFITS

(with Jeremy Lowe, Phillip Williams, Bob Battalio and Sara Townsend, ESA PWA)

INTRODUCTION

A hierarchy of benefits will likely accrue to the natural processes, structure, and function of an ecosystem for variously located and sized openings in crossings of tidal and tidally influenced fluvial channels. There is a dearth of information regarding the ecological impacts of constructing bridges or culverts across tidally influenced areas in the scientific literature. While hydrological and hydraulic impacts, such as amount and extent of anticipated scouring and long shore transport of sediment, are carefully considered during crossing design, impacts to overall geomorphology and ecological function are not. This may be because many decisions establishing culvert or bridge crossing design practice were made prior to 1969, before the passage of federal and state statutes that require inclusion of environmental impacts. Almost all tidal channel crossings were,

and sometimes still are, designed to simply optimize hydraulic conveyance for drainage or design floods at least cost. The loss of connectivity that occurs when dikes are constructed across wetlands and floodplains is well documented (see C***hapter 4***). Embanked bridge crossings can generate similar environmental impacts because they too may restrict the flow of animals, water, sediment, organic plant material and detritus (again, see ***Chapter 4***). Today, however, there is an opportunity to assess and rectify the impacts of existing structures through restoration and the design of new structures. The question that will need to be addressed is: what are the tradeoffs between enhanced ecologic benefits and restoration costs for breaches or bridges larger than those required for hydraulic conveyance and simple fish passage?

The hierarchy of benefits represents a new approach to crossing design by expanding its view from the minimum opening size that the hydraulics requires to one that considers how location and size of openings will impact the morphology and ecology of the ecosystem. Crossing designers can use this approach to determine the crossing width which has the maximum benefit for the lowest incremental cost.

Conceptual Models Of Openings

The Puget Sound Nearshore Ecosystem Restoration Program (PSNERP) has described 21 management measures that that can be used to develop and evaluate Puget Sound nearshore restoration alternatives at individual sites. One of these, Management Measure 3 (MM3)(Clancy, Logan et al. 2009), describes in detail the need for and expected outcomes of dike removal or modification. Dike or levee removal restores processes such as the reintroduction of historically present hydraulic forces and sediment transport that can induce structural changes in the tidal channel network and recolonization of tidal marsh vegetation. The functional responses to these changes are the valued goods and services like increased numbers of fish and wildlife, including salmon and waterfowl. This model connects our planning and design decisions to those things that we would like to, or are required by law to protect and preserve.

Similarly, Management Measure 9 (MM9) describes the need for and expected outcomes of hydraulic modification. MM9 has expected outcomes comparable to MM3 and its conceptual model expresses how the restoration action (replace tide gate with open breach) will likely restore processes and creates structural changes to improve salmon production and enhance other nearshore functions. These two management measures (dike removal or modification and hydraulic modification without full levee/dike removal) will result in different types of openings across a tidally influenced area, such as a marsh or delta. However, both measures offer potential to improve degraded conditions caused by a more constricted opening.

The impacts of opening width, location and size need to be considered not only on tidal and fluvial hydrology, but also on the geomorphic and ecologic processes of the broader tidally influenced area. This adds an additional dimension to the conceptual model described above because the rate at which ecosystem process restoration goals can be achieved will be impacted by these characteristics.

IMPACTS OF CROSSING SIZE ON BARRIER ESTUARIES

Barrier estuaries are fronted by a continuous ridge of sand deposited above high tide. They form across embayments or places along the shoreline that lead to the accumulation of sediment. Ecologic functioning of a number of barrier estuaries in the Puget Sound is constrained by road crossings. Typically, a road embankment has been constructed that follows the alignment of the natural barrier beach (***Figure D.2)***. The connection to tidal waters is often restricted to a single culvert or constricted bridge crossing, and sometimes a tide gate. In addition, the inlet is often fixed in location and high tide storm surge flows across the barrier beach are prevented by the embankment acting as a dike. This reduces general flow over the marsh surface toward the bay front and eliminates wave action within the estuary.

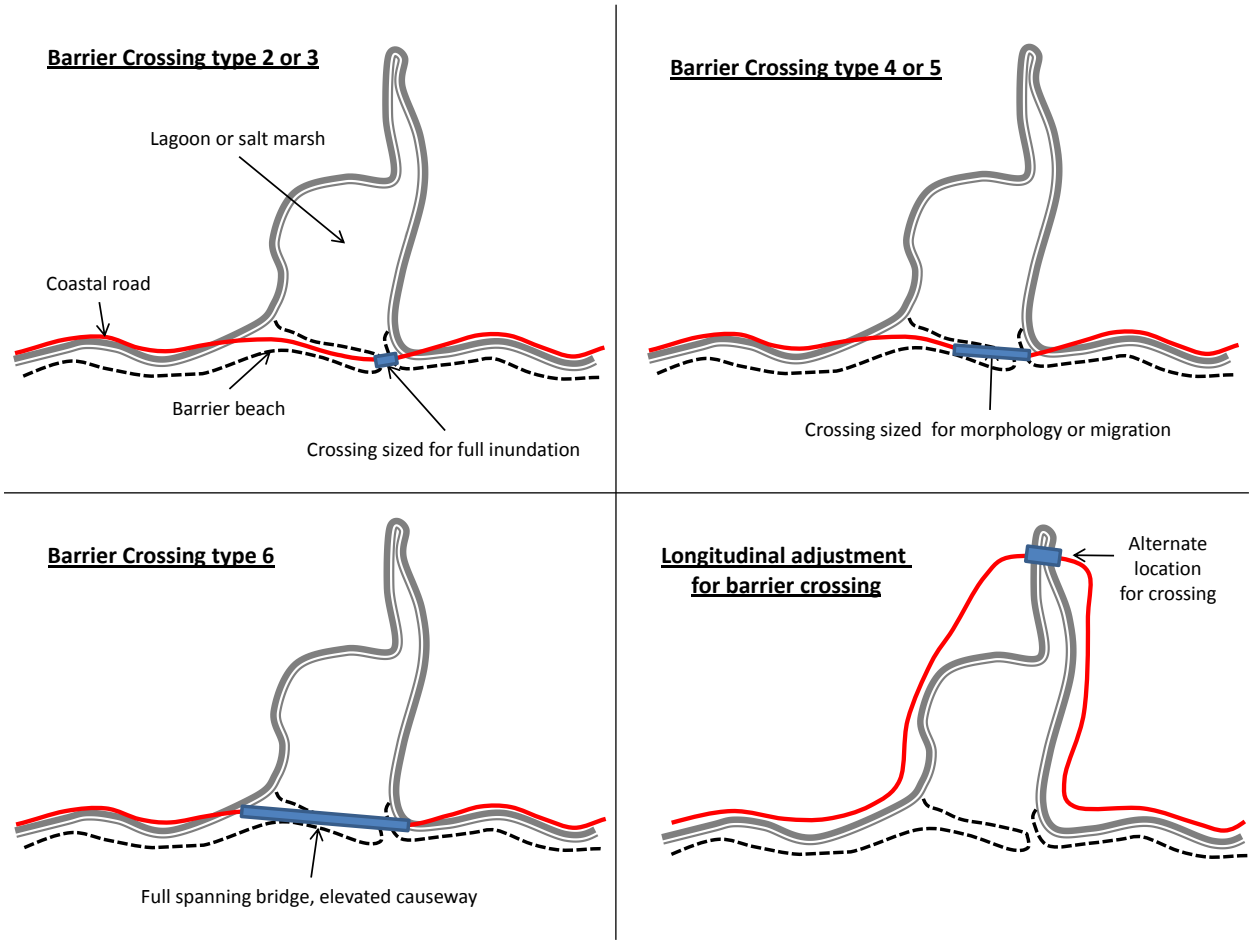


Figure D.22: A barrier beach shore form and several types of crossings discussed in the text.

The potential impacts of crossings on barrier estuaries are listed in ***Table 1*** in terms of hydraulic and sedimentary processes and geomorphic and water quality impacts. The size of the inlet is often limited by the crossing structure, which may partially or completely block the flow of water and mute the tide. This has implications for the location of head of tide and tidal prism volume. Small openings in the roadway or dike may partially or completely block detritus, large woody debris, and organic plant material from entering and exiting the estuary. Intertidal habitats landward of the causeway may aggrade at a higher rate than areas outside due to the capture of sediment conveyed

by floods from a contributing watershed, or degrade when isolated from deposition of estuarine sediments brought in by long shore drift or on flood tides, making these marshes more susceptible to the effects of sea level rise and geologic subsidence.

Further, these impacts do not occur in isolation. For example, within a barrier estuary, alteration of the tidal signal has multiple hydrodynamic and geomorphic impacts including the lowering of high tide elevations, the raising of low tide elevations, the raising of mean tide elevations, reducing the tidal frame, reducing the tidal prism in the marsh and reducing the tidal excursion. The structural and functional responses include isolation of marsh plains and conversion to fresher water habitats, a reduction in area of intertidal mudflat and sandflat habitat, siltation of tidal channels, an elevated water table affecting marsh to forest transition, a limited fluctuating water table affecting plant growth, atrophy of the channel system due to sedimentation and reduced channel connectivity, and passive transport of organisms into the estuary through baroclinic circulation.

The combination of embankment and reduced inlet size reduce both the area of habitat and habitat connectivity, which in turn impacts all aspects of ecosystem function including distribution and abundance of species, community dynamics, productivity, and invasive species.

In restoring the ecosystem functions of these estuaries, the main tool is to reduce the hydraulic constriction due to the crossing and thereby increase habitat connectivity. The size of the opening will determine the type and amount of ecosystem processes that are impacted. Emulating historic natural conditions by recreating the largest possible opening size will eliminate these impacts, while an artificially constricted opening size will likely produce all of them. Intermediately sized openings will have impacts between these two endpoints.

Benefits of Increasing Bridge Crossing Size

To illustrate the degree to which ecological benefits increase as opening size increases, we have carried out an assessment of five general categories of crossings as described below (see ***Figure D.2***). These five crossing types are evaluated within the four constraints to processes in a qualitative way in ***Table 1*** and discussed below. These quantities represent the proportional decrease in a given stressor or constraint. The numbers in ***Table 1*** are not intended to be fixed quantities, but can be adjusted to suit a given situation. Overall, a valid assumption is that constraints to hydraulic and water quality processes are relatively easy to remove, that constraints to sedimentary processes are more difficult to remove, and geomorphic process are the most difficult to restore. The goal of this analysis is to use the relative sum of benefits, shown in the last row, combined with the relative costs to evaluate each alternative. That alternative which meets the project goals and does so with the lowest incremental cost is preferred. This process is further described in the case study at the end of this appendix.

The 5 alternative crossings are described below and shown in ***Figure D.2***, then quantitatively evaluated in ***Table D.1.***

1. <u>Tide Gate:</u> The tide gate alternative assumes a raised embankment or dike along the barrier beach. These manmade structures completely eliminate tidal inundation and the movement of sediment and organisms within the estuary, but allow marsh drainage. Tide gates profoundly affect all natural processes. Many social and economic values are supported by tide gates, however their use often conflicts with ecological restoration, which is the foundation of many other social values such as wildlife viewing, hunting, fishing, and other outdoor activities.

2. Culvert or Small Bridge: This alternative also assumes an embankment or dike has been constructed. Tidal flow is restricted to a single culvert or narrow bridge crossing sized to drain the area landward of the barrier. The tidal regime will be strongly muted. All flows over the barrier beach will be blocked by the embankment.

3. Expanded Inlet Size: This alternative assumes an expanded inlet size with large culverts or a bridge crossing to allow regular tidal inundation of the area landward of the barrier. The inlet crossing is designed to be the minimum size to allow the full average diurnal tidal range within the estuary, based on the hydraulic geometry for tidal channels. However, tidal velocities will be greater than naturally occurring at the inlet requiring armoring to prevent scour and lateral migration. In addition, storm surge tides will still be constricted. All flows over the barrier beach will be blocked by the embankment.

4. Expanded Inlet Size to allow for a Naturally Adjusting Channel Inlet to Form: This alternative would require a clear span bridge designed wide enough to allow a natural convex sided inlet channel that can adjust to storm surge tides. All flows over the barrier beach are blocked by the embankment.

5. Expanded Inlet Crossing to allow for Lateral Migration of the Inlet Channel: This alternative assumes a bridge would be sized not only for the appropriate inlet channel morphology, but also for historic lateral migration width. Laterally meandering inlets have a tendency to 'reset' the estuarine drainage system and marsh habitats through bank erosion and migrating flood tide shoals, and this process would be accommodated by this approach. All flows over the barrier beach are blocked by the embankment.

6. Complete Removal of Tidal Barriers: This would include a bridge crossing to allow inlet channel migration and replacement of the embankment with an elevated causeway on pilings. The former road embankment would be graded down to natural beach crest elevations to allow for storm surge inundation and transport of large woody debris (LWD) into the estuary. The input of LWD creates habitat structure for all trophic levels from algae to invertebrates to fishes and wildlife; it allows for various species to seek shelter, find food, spawn, roost or nest. LWD also impacts sediment movement, potentially creating beach berms. More recently, LWD has been cited in facilitating tidal marsh succession acts by providing a nursery habitat for salt-intolerant species (Maser and Sedell).

It should be noted that while this spectrum of design approaches addresses potential restoration options at a typical barrier beach estuary, the general approach could be similar if applied to other estuary types (i.e. riverine estuaries). However, special attention should be paid to any differences in estuary form or function which could affect the restoration approach. Specifically, river deltas are considered below.

Table D.1: A quantitative assessment of the impacts of various barrier beach crossings on nearshore ecosystem processes.

			Crossing type	1	2	2	4	5	6
			Process Impacts	Tide gate	Culvert or small bridge	Culvert sized to allow inundation of marsh plain	Bridge sized to inlet channel morphology	Bridge sized for migration width	Full-span bridge
	Process	**Structural Impact**	**Functional Response**						
Hydraulic/ Hydrodynamic Process Impacts	Alteration of tidal stage characteristics	Lowering of high tide elevations - isolates marsh plains and causes conversion to fresher habitats	Reduce marsh productivity and loss of aquatic habitat area	0	0.2	0.7	0.7	0.7	0.7
		Raising low tide elevations – reduces area area of intertidal mudflat/sandflat habitat	Reduction of benthic productivity and low interitdal habitat						
		Raising mean tide elevations – affecting marsh-to-forest transition	Change in productivity, species composition and organic export						
		Reduction in tidal frame	Water table fluctuation limited affecting plant growth						
		Reduction in tidal prism in marsh	Channel system atrophies through sedimentation; reduced channel connectivity						

		Reduced tidal excursion	Passive advective transport of organisms in and out of estuary diminished						
	Alteration of salinity distribution	Vertical salinity stratification degraded through mixing	Reduction of passive transport of organisms into estuary through baroclinic circulation	0	0	0.1	0.1	0.15	0.15
		Salinity mixing zone length truncated- ‘squeezing’ and reduction of brackish zone habitats	Salinity changes, reduced quality of rearing habitat						
	Elimination of storm surge overwash across beach	Transport of large woody debris into marsh	Habitat heterogeneity reduced	0	0	0	0.1	0.15	0.15
		Mobilization of detritus due to storm surge wave action eliminated	Export of nutrients to estuary reduced						
			Category total	**0**	**0.2**	**0.8**	**0.9**	**1**	**1**
Sedimentary process impacts	Alluvial sedimentation altered by backwater affects	Fine sediment accumulates on marsh plain, shift to upland habitats	Reduce marsh productivity	0	0.1	0.2	0.3	0.5	0.5
		Coarse sediment accumulates in tidal channels	Loss of blind channel habitat						

	Estuarine sedimentation limited by reduction in tidal flows	Reduced tidal prism reduces sediment delivery to marsh plain, causes lowering relative to tidal frame	Reduced productivity of marsh vegetation	0	0.2	0.3	0.4	0.5	0.5
		Increased turbidity in tidal channels due to loss of marsh plain sediment sink	Adverse affect on benthic organisms and eelgrass						
			Category total	**0**	**0.3**	**0.5**	**0.7**	**1**	**1**
Geomorphic Impacts	Alteration of entrance channel morphology from broad shallow to narrow	Increased tidal velocity through entrance creates scour holes	Increased fish mortality	0	0	0.1	0.2	0.3	0.33
		Channel location fixed instead of lateral migration affecting ebb and flood shoal extent	Reduced production of benthic organisms						
		Fixed channel location may lead to permanent closure of confined marsh by longshore drift	Eliminates exchange of water, sediment, nutrients and organisms						
	Atrophied tidal drainage system	Tidal channels shallower	Degraded estuarine habitat	0	0	0	0.1	0.1	0.33
		Dendritic tidal channel system becomes disconnected	Estuarine habitat degraded						

	Marsh plain elevations changed	Lowered marsh plain	Reduced marsh productivity	0	0	0.1	0.1	0.2	0.33
		Areas raised by alluvial sedimentation	Change to freshwater or upland species						
			Category total	**0**	**0**	**0.2**	**0.4**	**0.6**	**1.00**
Water Quality Impacts	Increased residence time	Reduction in tidal exchange	Algal blooms in marsh channels, anoxic in poorly drained holes	0	0.3	0.4	0.5	0.5	0.5
		Reduction in tidal excursion	Export of water column productivity to larger estuary limited						
	Accumulation of toxics	Reduced tidal scouring allows accumulation of polluted sediments from watershed	Toxic effects on organisms	0	0.3	0.4	0.5	0.5	0.5
		Reduced residence time means concentration of dissolved pollutants in water column is higher	Toxic effects on organisms						
			Category total	**0**	**0.6**	**0.8**	**1**	**1**	**1**
			Sum of ecological benefits	**0**	**1.1**	**2.3**	**3**	**3.6**	**4.00**
			Relative sum of benefits	**0%**	**28%**	**58%**	**75%**	**90%**	**100%**

Impacts Of Crossing Size And Location On River Deltas

River Deltas are dynamic geomorphic landscapes, with river distributary channels that evolve and migrate in response to major floods. They sustain a gradient of wetland habitat types from forested floodplains to forested tidal wetland to tidal marsh and mudflat. Roadways, railway corridors, and flood protection levee systems traverse river deltas at many locations in Puget Sound and other estuaries in Washington State. An example is shown in ***Figure D.1***. Typically these have been constructed with little consideration of ecological impact on embankments on flat intertidal areas across the delta front and have concentrated river flows at a single bridge or culvert crossing location. Fixing the river channel in this way can significantly impact the geomorphic processes mentioned above and reduce the area of active delta. Typically, upstream of the crossing, the river is restrained from avulsing into different distributary channels, resulting in a reduced variety of habitat types. Further, because of increased sediment deposition upstream of the crossing, the floodplain and former intertidal habitats aggrade due to increased sediment deposition. Downstream, constricted river delta estuary openings may partially or completely block the flow of sediment that sustains estuarine habitats. Channelizing the outflow of riverine sediments and flows along a single alignment forces delta progradation, causes change in channel form, changes salinity distribution, in addition to other impacts to natural estuarine systems.

For instance, the size and location of bridge crossings within the estuary are factors that determine the size, quality and connectivity of habitat. Altering the size and location of a new estuary opening can add new habitat, connect existing habitats, and increase habitat capacity. Restored tidal or distributary channels will help to increase all three of these criteria, which can enhance the distribution and composition of various fish and wildlife species such as salmonids by allowing greater expression of varying life history strategies. Additionally, degraded energy and material flow patterns can be restored and result in increased viability for many estuary dependent species.

Benefits of Increasing River Delta Estuary Opening Size

To illustrate how ecologic benefits of river delta habits could be restored with increasing the size of bridge or culvert crossings we have conducted a first cut qualitative assessment of the four alternatives described below (see ***Figure D.4***) and quantified in ***Table D.2***:

1. <u>Bridge or Culvert Sized for Hydraulic Capacity</u>: This alternative assumes the roadway has been constructed on an elevated embankment that prevents tidal and river flows, and the crossing itself has been sized to the typical design flood. Channel avulsions and distributary channel formation are restricted to the area downstream of the crossing. Elsewhere downstream of the embankment, tidal marshes are not replenished by sedimentation and relict distributary channels silt in. Upstream, pre-existing intertidal wetlands convert to floodplains and the river channel is prevented from migrating or avulsing with river training structures that simplify habitat structure within the river channel.

2. <u>Two or more Crossings that Emphasize Distributary Channels</u>: The existing bridge crossing is duplicated at location(s) where there is evidence of major distributary channel which has been blocked off by the embankment. This would encourage a channel avulsion upstream and permit the main river to switch its course between two crossings, doubling the size of

the active delta. An alternative to the two bridge option at a similar cost level for smaller delta situations would be to increase the size of a single crossing to account for marsh connectivity. This would be a common scenario for creek systems with watershed areas less than several square miles and impounded intertidal areas less than 100 acres or so.

3. Two or more Crossings sized for Channel Migration and Marsh Connectivity: This alternative assumes bridge spans are widened to allow for historic rates of lateral channel migration. Laterally meandering channels 'reset' the fluvial system through bank erosion and subsequent deposition on point bars across floodplains and estuary deltas. This introduces sediment and organic inputs such as LWD into channels from riparian zones, and promotes the exchange of nutrient-rich soils into the fluvial system. The erosion of banks, and subsequent deposition, results in a dynamic system with a mosaic of habitat types.

4. Bridges and Causeway spanning entire Estuary Delta: This alternative would allow for restoring complete tidal exchange across the delta front. Ideally, this restoration approach would include removal of upstream river embankments, and thereby restore fluvial processes acting across the delta.

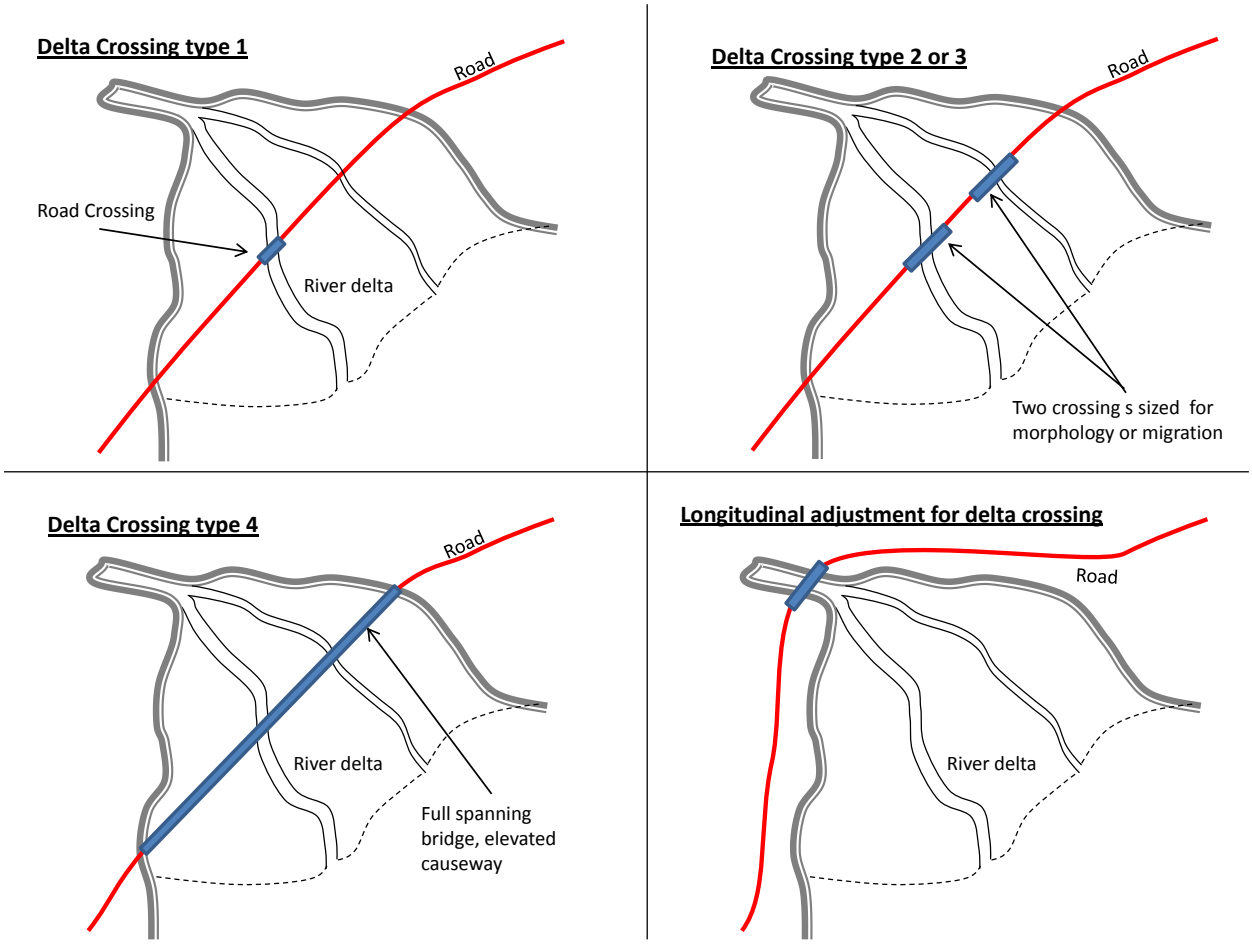


Figure D.3: Delta crossings and the types of crossings described in the text.

Table D.2: A quantitative assessment of the impacts of various delta crossings on nearshore ecosystem processes.

			Crossing Types	**1**	**2**	**3**	**4**
				Bridge or Culvert Sized for Hydraulic Capacity	**Two or more Crossings that Emphasize Distributary Channels**	**Two or more Crossings sized for Channel Migration and Marsh Connectivity**	**Bridges and Causeway spanning entire Estuary Delta**
	Process	**Structural Impact**	**Functional Response**				
HYDRAULIC/ HYDRODYNAMIC PROCESS IMPACTS	Alteration of fluvial flows	Concentration of flood flows at one discharge point raises flood stages upstream	Shift from marshplain to floodplain ecologic processes	0.1	0.2	0.4	0.5
		Elimination floodplain flows to saltwater which increases main channel discharge, scouring and flood velocities in main channel.	Countermeasures reduce habitat quality and sediment delivery to marsh plain				
	Alteration of estuarine salinity distribution	Extension of single channel into deeper waters creates abrupt fresh to salt water mixing zone	Adverse impacts on anadromous migration and nearshore shallow water migrating fish.	0	0.2	0.4	0.5
		Elimination of distributary channels alters spatial distribution of mixing zones across delta front.	Reduction in aerial extent of brackish zone and organisms dependant on it				
			Category total	**0.1**	**0.4**	**0.8**	**1**

SEDIMENTARY PROCESS IMPACTS	Alluvial sedimentation	Increased sedimentation on marshplain/floodplain upstream	Conversion from tidal marsh to floodplain habitats and eventually upland.	0.1	0.2	0.3	0.4
		Reduced sediment delivery and erosion where distributary channels have been blocked. Reduction in intertidal elevation with sea level change					
		Coarse sedimentation concentrated at mouth of single channel, instead of being distributed along multiple channels across delta front	Loss of habitat heterogeneity				
	Estuarine sedimentation	Estuarine mudflats not replenished during flood events –fine alluvial sediments lost to deep water	Loss of intertidal mudflat/sandflat habitat	0	0.2	0.3	0.4
		Reduced flood tide suspended sediment concentrations reduce marshplain sedimentation rates	Loss of productivity and area of marshplain habitat				
	Large wood accumulation	More export of large woody debris	Reduction in complexity of channel habitat	0.1	0.2	0.2	0.2
			Category total	**0.2**	**0.6**	**0.8**	**1**
GEOMORPHIC IMPACTS	Spatial reduction of active delta	Reduction in area	Loss of benefits of large scale ecologic processes	0	0.05	0.1	0.2
		Simplification of deltaic system	Reduction in heterogeneity of habitats, loss of alternate migratory routes				
		Disruption of natural gradient of wetland habits from floodplain to mudflat	Loss of connectivity of habitats, fragmentation of habitats				
		Delinking of river channel from marshes	Adverse affect on migrating fish				

	Main river channel changes	Deeper river channel	Simplification of fish habitat	0	0.05	0.1	0.2
		Channel location fixed	Reduction in habitat complexity derived from meandering processes				
		Extension of delta lobe to deeper water reducing channel slope, increasing in-channel sediment deposition	Loss of watershed derived nutrients to estuarine system				
	Distributary channel changes	Remnant distributary channel atrophies	Loss of channel edge habitat and migration routes	0	0.05	0.1	0.2
	Marshplain system changes	Marshplain erosion	Loss of marsh area, conversion to mud/sand flat	0	0.05	0.1	0.2
		Marshplain lowering	Reduction of productivity				
	Mudflat changes	Mudflat lowering	Loss of mudflat habitat	0	0.05	0.1	0.2
			Category total	**0**	**0.25**	**0.5**	**1**
			Sum of ecological benefits	**0.3**	**1.25**	**2.1**	**3**
			Relative sum of benefits	**10%**	**42%**	**70%**	**100%**

BENEFITS OF CHANGING BRIDGE CROSSING LOCATION

Impairments to ecological functions not only result from an inappropriately sized opening, but also by its location within an estuary. The location of the crossing within an estuary influences tidal inundation, sediment penetration, lateral channel movement, and the development of distributary channels (***Figure D.4***).

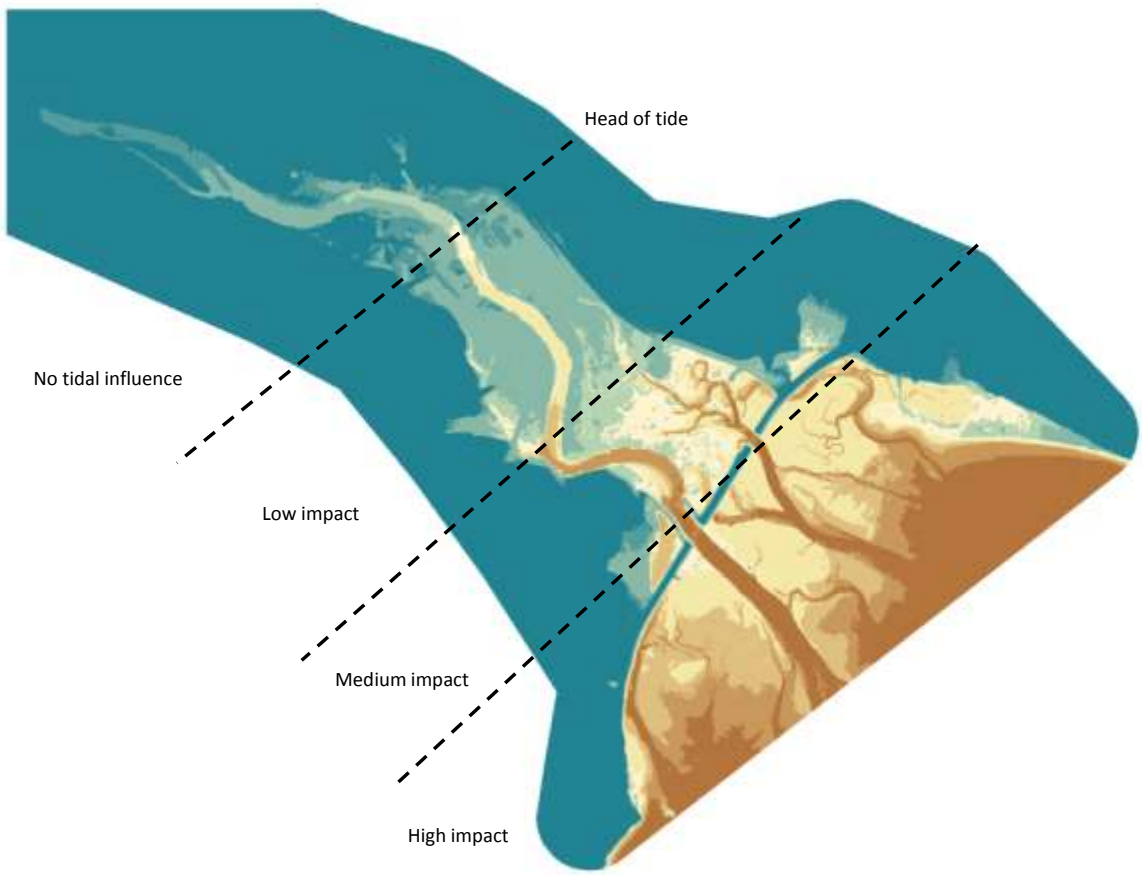


Figure D.4: False color Lidar image of the lower Dosewallips River: brown colors indicate tidal influence, green colors indicate supratidal elevations.

A qualitative assessment of tidal effects can be accomplished by expanding upon an approach published in *HYDRAULIC ENGINEERING CIRCULAR 18* (Richardson and Davis 2001) that is used to evaluate hydrological processes at crossings. This is in large part a measure of the distance from the head of tide to the crossing location. As this distance increases, the volume of tidal prism and discharge through the crossing associated with each tidal cycle increases. Discharge drives the transport of fluvial and marine sediment in the estuary and scour at crossings. The distance from

head of tide is also a measure of the crossing's effect on estuarine processes. Estuarine development (fill, dikes, and land use) modifies the level of impact.

Qualitative categories of impact include (see ***Figure D.4***):

1. Low impact– the crossing is located near head of tide where tidal inundation occurs within the main channel banks, or where the tidally inundated marsh area is small.

2. Medium impact – this category encompasses most of the cases where the road embankment is built in the middle of the delta.

3. High impact– the crossing is located at the marine edge of a marsh, or encloses a large area principally below mean high water. These are cases where tidal volume is large and that significant inundated areas are funneled through a single opening, cutting off flow into distributary channels and over the marsh edge.

ASSESSMENT

As a way to approach this difficult design challenge, we suggest an approach similar to the one outlined in *HYDRAULIC ENGINEERING CIRCULAR 18* (Richardson and Davis 2001), but expanded to include an assessment of the crossings effects on geomorphological and biological processes. *HYDRAULIC ENGINEERING CIRCULAR 18* uses three levels of analysis for tidal bridges, which are outlined here.

LEVEL 1 analysis is a qualitative assessment of tidal effects. This is, in large part, a measure of the distance from the head of tide to the crossing location. As this distance increases, the volume of tidal prism increases and, in turn, the discharge associated with each tidal cycle increases. Discharge drives the transport of riverine and marine sediment in the estuary and scour at bridges. The distance from head of tide is also a measure of the bridge's effect on estuarine processes. Estuarine development (fill, dikes, and land use) typically increases the level of impact.

Many estuaries in Washington State are completely converted to agriculture or urban development and crossings can do little more than follow the outlines of land use set out a century ago. The crossings must provide fish passage by creating stream-like conditions and should not decrease the productive capacity of the stream, but options for considering restoration beyond these baseline conditions are constrained by these developments. Examples of such a scenario in Puget Sound include diked farm lands on the Skagit and Stilliguamish deltas, urbanized lower river reaches such as the Duwamish in Seattle, or Goldsborough Creek near Shelton Washington.

This situation is analogous to that discussed in ***Bridge Design, Chapter 4***, where bridge span may be determined by flood control dikes or other flood plain development. One must be cautious in allowing these external factors to determine crossing design, since habitat restoration is currently a strong force in our society and future plans to remove dikes or wetland fill should not be precluded by decisions made now about bridge or culvert span. During project scoping the owner should consult local planning organizations and documents for future restoration projects or initiatives. These included Shoreline Master Plans, Watershed Plans, Critical Areas Ordinances,

fisheries enhancement groups, Dept. of Fish and Wildlife area habitat biologist and watershed steward.

Several categories of impact are proposed:

- Low – the crossing is located near to the head of tide or backwater from the receiving river where tidal or seasonal backwater inundation occurs within the main channel banks, or where the tidally inundated marsh area is less than 0.5 acres. Low tidal impact crossings such as this will require only **level 1** analysis and would proceed normally through the sizing steps outlined previously in this document for riverine crossings.

- Medium – this category encompasses most of the cases where the road was built in the middle of the estuary or across an inlet to a lagoon.

- High – the crossing is located at the outer edge of a marsh, or encloses a large area principally below MHW. These are cases where tidal volume is large and significant flows are funneled through a single opening, cutting off flow into distributary channels and flow over the marsh edge.

LEVEL 2 analysis requires engineering, biological and geomorphological assessment of the effects of the crossing on the estuary or tidal inlet. Level 2 analyses can be performed by qualified professionals.

In order to focus the investigation at this level of analysis, bear in mind the following observations:

1. Single openings channelize the flow of riverine sediment out along a single alignment, forcing delta progradation, main channel incision, floodplain disconnection, and associated impacts to natural systems. These impacts include the conversion of drowned river valley and lagoon estuary types into deltaic, changing the character of the habitat and impacting species dependent upon it.

2. Single openings also starve adjacent marsh and other wetland surfaces which depend on sediment deposition to contribute to estuary function, counteract the effects of sea level rise and, when present, counteract geologic subsidence.

3. Roads and other transportation corridors act as dikes, reducing general flow over marsh surfaces toward the bay front and eliminating wave action. Estuary areas landward of such embankments aggrade at a higher rate than areas seaward of the embankment when exposed to sediment laden flood waters, and degrade when isolated from these sediments.

LEVEL 3 analyses use sophisticated computer models, physical modeling, or other scientific studies to give a deeper understanding of the problem than Level 2 analysis. Level 3 analyses should be done by experts in the field.

Design of tidally, or seasonally high river stage, influenced crossings should consider the following features:

1. Restore full tidal and high-flow backwater inundation to all areas which supported intertidal floodplain habitats.
2. Ring or setback dikes may be required to protect low elevation development.
3. Allow for the rejuvenation of remnant tidal drainage features. Additional crossings may be required and should be located to take full advantage of any opportunities to reestablish connections between an existing remnant channel network within the site and the truncated higher order channel on the natural marsh.
4. Maximize opportunities for creating single, large, complex tidal drainage systems within the marsh rather than multiple smaller systems. Ideally, marsh watershed areas should be large enough to sustain high-order, subtidal channel habitat within the marsh.

Ensure compatibility with public and maintenance access requirements/needs.

WASHINGTON HARBOR: CASE STUDY OF ALTERNATIVES ANALYSIS IN A PUGET SOUND ESTUARY

Washington Harbor is located at the north end of Sequim Bay along the Strait of Juan de Fuca ***(Figure D.5)***. The current crossing limits tidal inundation, wave energy, and the movement of organisms, sediment and wood. A crossing replacement has been proposed and the alternatives analyzed by Cardno ENTRIX and ESA, Inc. This case study draws extensively on their sophisticated analysis.

Figure D.5: vicinity map for Washington Harbor.

The northern end of Washington Harbor is currently separated from the rest of the lagoon, and Sequim Bay, by a 1,400 foot causeway that contains a pipeline from the City of Sequim Wastewater Treatment Plant to its outfall in the Strait of Juan de Fuca.

Three alternative crossings were considered by Cardno ENTRIX and ESA and are shown in ***Figure D.6***. A fourth was proposed in the PSNERP SRS CD which removed the entire causeway and lowered the sewer pipeline beneath the surface (***Figure D.7)***. (This fourth alternative also removed the dikes at the north end of this lobe of Washington Harbor and the shoreline armoring and fill extending onto the beach north of Gibson Spit for a full restoration of natural process in this area, but these features are included in this case study.) The fourth alternative was not pursued by Cardno ENTRIX and ESA because of expense and the fact that it eliminated access to private lands and the outfall. It is included in this analysis to provide a "full restoration" alternative to gage the

relative ecological benefits of the other alternatives – full restoration represents 100% of the natural process benefits, the current condition 0% restoration, and the other three alternatives somewhere in between, based on the hierarchy of benefits.

Figure D.6: Three alternatives for Washington Harbor, Cardo ENTRIX.

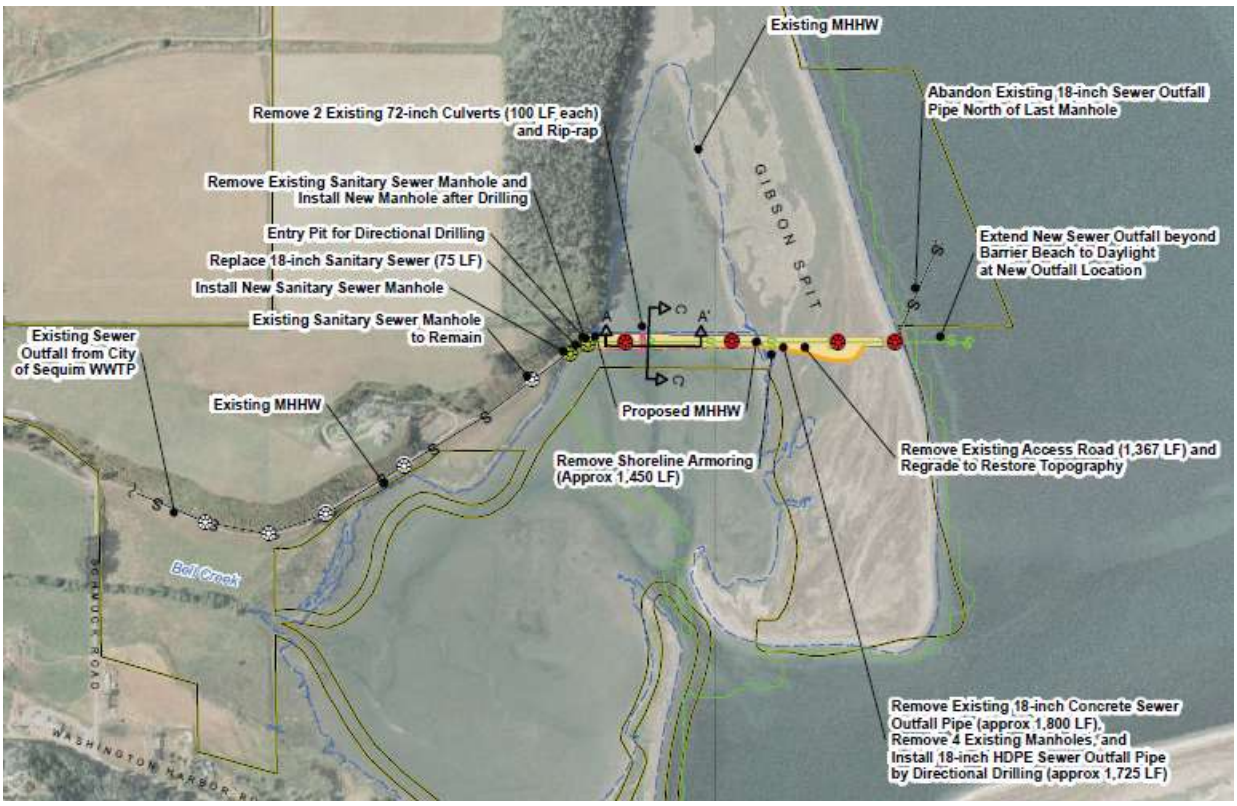


Figure D.7: Alternative 4, complete restoration of the Washington Harbor barrier inlet. Plan from PSNERP Conceptual Design Report, 2010, Anchor QEA Washington Harbor lead designer.

The ecological benefits are evaluated in a similar manner to the **Hierarchy of Benefits** section above, but using more simplified categories more suitable to the Cardno ENTRIX analysis. Cardno ENTRIX did not quantify these benefits, but in order to use the method proposed here, some way to value them is necessary. The exact numerical value could be established through a systematic quantification of these processes, although for this case study they are assigned a value as one might rate something as "high/medium/low."

As we have seen in the hydraulic analysis of many nearshore restoration projects, achieving full tidal inundation is relatively easy – the rapid rise and fall of the flood and ebb water surface builds up head at an obstruction driving prodigious discharges through relatively narrow openings. With this in mind, we can say that the 76 ft bridge is unlikely to cause tidal asymmetry. Similarly, the increase in total Washington Harbor tidal prism and the overall exchange rate will be largely restored with the 76 ft bridge. The 76 ft bridge will affect circulation patterns, salinity gradient and other subtle effects, but these will disappear as the opening is enlarged, as is shown.

Habitat connectivity is a catchall category that includes fish passage and the passive and active movement of aquatic organisms. These organisms enter and leave the estuary by various pathways; some in the tidal channels, some over the marsh edge. Simply providing passage in the main channel, as is the case with the 76 ft bridge, does not create the same level of connectivity as an opening which spans the various habitat types. Many organisms migrate along the nearshore in shallow water. A small opening at the main channel would eliminate this pathway along the shore.

Habitat connectivity is more difficult to achieve and this is shown in the slow increase in benefit in this category as the opening size increases.

As Cardno ENTRIX points out, wave energy is the main driver for sediment suspension and transport in the estuary. Waves are all but eliminated by a narrow opening and only small benefit comes from a 76 ft bridge. Similarly, the movement of wood is precluded by the long road fill across the estuary with only a small hole in it. These categories improve substantially with wider openings.

Table D.3: A quantitative evaluation of restoration alternatives for Washington Harbor.

		0	A	B	C	D
		Existing culverts	76 ft bridge	535 ft bridge	760 ft bridge	Full restoration
Tidal inundation	**WA Harbor tidal prism**	0	0.9	1	1	1
	Internal tidal range					
	Exchange rate					
Habitat connectivity		0	0.5	0.7	0.9	1
Transport of sediment		0	0.2	0.8	0.9	1
Transport of wood		0	0.2	0.8	0.9	1
	Sum of ecological benefits	0	1.8	3.3	3.7	4.0
	Relative sum of benefits	0%	45%	83%	93%	100%

The relative sum of benefits shows that **Alternative A** achieves only 45% of the full restoration benefits. **Alternatives B** and **C** achieve the majority of possible benefits.

Choosing between these alternatives can be approached by evaluating their costs as in **Table D.3**. Here the "benefit costs" – the infrastructure costs in millions of dollars is divided by the relative benefits – give a monetary value to the benefits. This measure shows a steady increase in the cost of the benefits. On the other hand, the "incremental costs and benefits" – the change in benefits for a given change in costs between alternatives – is substantial for the first alternative but decreases to a minimum at **Alternative C**.

Table D.4: Comparative benefit costs and incremental costs for Washignton restoration alternatives.

		Infrastructure cost	Relative benefits	Benefit costs	Incremental costs and benefits
0	Existing culverts	$0	0.00	0.0	
A	78 ft bridge	$0.67	0.45	1.5	0.67
B	562 ft bridge	$1.60	0.83	1.9	0.41
C	762 ft bridge	$2.20	0.93	2.4	0.17
D	Full restoration	$2.50	1.00	2.5	0.23

Using this table to make decisions requires more information and a clear statement of goals. We already know that **Alternative D** is unacceptable, but we do not know the budget constraints for restoration at Washington Harbor. The goal might be to maximize the restoration of natural processes, which would cause us to look more carefully at Alternatives B and C. If the goal is to maximize the incremental costs and benefits, then Alternative A is clearly the best. Alternative B achieves most of the ecological benefits for a low cost and a moderate incremental value.

This sort of systematic evaluation can help to explain how we decide between various sizes of water crossings in tidally influenced areas in a systematic way.

APPENDIX E: FEMA POLICY ON FISH ENHANCEMENT STRUCTURES

[The following is taken from the National Flood Insurance Program Floodplain Management Guidebook, produced by U. S. Dept. of Homeland Security - Federal Emergency Management Agency – Region 10, 5th Edition, March 2009, Bothell, WA]

The balance required between anadromous fish and the human environment is unique to the Northwest. Maintaining that balance often makes implementing regulations a challenge. Sometimes the local, State and Federal regulations contradict each other. This is the case with fish enhancement structures.

FEMA's regulations require communities to prohibit encroachments in regulated floodways unless provided with a no-rise analysis. The current listing and proposed listing of certain anadromous fish species as Threatened or Endangered requires the restoration of their habitat to ensure their survivability. Restoring that habitat often entails encroaching in the floodway. A strict interpretation of this standard could require a relatively expensive analysis that might exceed the cost of the enhancement project.

FEMA recognizes this. While we believe the best course of action is to preserve the floodway encroachment standard as it exists, an informed judgment regarding fish enhancement structures can be made as to exceptions for which less than the maximum hydraulic analyses are required. The community official often does not have the qualifications to make an informed judgment regarding the impacts of these structures on flood hazards. Therefore, FEMA will allow the community to defer to the "judgment" of a qualified professional regarding such impacts. Such qualified hydraulic or hydrology professionals would include staff of Rural Conservation and Development and the Natural Resource Conservation Service. It would also include similarly qualified staff of fisheries, natural resource, or water resources agencies.

The qualified professional should, as a minimum, provide a feasibility analysis and certification that the project was designed to keep any rise in 100-year flood levels as close to zero as practically possible and that no structures would be impacted by a potential rise. Additionally, routine maintenance of any project would be necessary to sustain conveyance over time and the community should commit to a long-term maintenance program in their acceptance of the project. FEMA also recommends a condition be placed on the projects emphasizing the dynamics of a river and, if the community deems necessary, further analysis be required.

We believe this is preferable to trying to specify in the ordinance language all the different types of "development" that need not comply with the "no rise" standard. Typically, any rise caused would require some offsetting action such as compensatory storage, channel alteration, or removal of existing encroachment. One of these alternatives would be appropriate to compensate for any rise and still preserve the integrity of the floodplain standards.

FEMA Region 10 feels this policy is in keeping with the concept of wise floodplain management which means enjoying the benefits of floodplain lands and waters while still minimizing the loss of life and damage from flooding and at the same time preserving and restoring the natural resources of floodplains as much as possible. If you have any questions regarding this policy, please contact the Mitigation Division at (425) 487-4737.

APPENDIX F: ROAD IMPOUNDED WETLANDS

Figure F.1: Road impounded wetland.

SUMMARY

- Road impounded wetlands are wetlands created or altered by undersized or elevated culverts and impermeable road fills.
- Fish passage laws combined with the requirement for no net loss of wetlands creates a paradox that can be solved with an evaluation of the benefits from various alternatives.
- There are three types of wetland-generating crossings and their characteristics point toward particular solutions.
- The evaluation process has several steps
    - Small, low quality wetlands with no species of concern can be drained to restore fish passage in a free-flowing stream.
    - Larger, more valuable wetlands should go through a more thorough evaluation process.
    - Road Impounded Wetland (RIW) functions and values are paired with stream functions and values in the evaluation process.
- Design alternatives are listed.
- Roads act as dams that interfere with stream continuity.

- RIWs that impound wetlands less than about 0.2% of the area of the watershed are not likely to significantly affect the downstream flood peak flow and can be opened up without causing unexpected flooding downstream. On the other hand, RIWs with an area greater than 0.4% of the watershed may reduce peak flow by 50%. Draining these larger wetlands increases the likelihood of flooding downstream.

INTRODUCTION

Road impounded wetlands are the result of undersized or perched culverts in combination with impermeable road fills that create wetland conditions in the upstream impoundment (***Figure F.1)***. Often these same culverts block fish and wildlife passage up and down the stream course and interrupt natural channel processes. State law requires that road owners provide fish passage at road crossings (see ***Appendix B***). There are basically two alternatives to address this situation. One, lower and enlarge the culvert to create passage and encourage the continuity of stream processes (e.g., sediment and debris transport). This alternative removes the control that created the wetland and causes it to return to a stream.

The other alternative is to construct hydraulic control using artificial structures that provide fish passage and maintain either all or part of the wetland. This can be expensive, not always possible, and often not in keeping with naturally sustainable stream processes.

In spite of state law requiring fish passage in streams affected by road crossings, state and federal policies also call for a no net loss of wetland functions, values and acreage. This document is intended to help biologists, landowners and designers evaluate road crossings with wetlands impounded above them so that they may intelligently and legally choose between the two alternatives discussed above. This guidance was completed in cooperation with various concerned groups, including state and federal regulatory agencies and a number of prominent forest land owners. The focus here is overall ecological health and compliance with Washington State regulations, although one must pay careful attention to other relevant laws, including the Clean Water Act sec. 404, Shoreline Management Act, local Shoreline Management Programs, and local critical areas ordinances.

GUIDING PRINCIPLES

In order to focus our analysis, several guiding principles were developed for planning and designing crossings where wetlands have formed upstream of road fills:

1. As a basic principle, pre-disturbance processes should be restored. Through examination of the hydrologic and biological systems, the form and function of the watercourse that approaches the unaltered condition should be identified and restored.
2. At the same time, we should strive for no net loss of habitat, function, and acreage of wetlands where possible, and strive for an overall increase in the quantity and quality of wetlands when the opportunity arises.
3. High value wetlands that are important features in the local or regional ecosystem should be preserved.

4. Wetlands that can serve an ecological function that has been lost or significantly diminished elsewhere in the system should be preserved.
5. For each instance where a road fill and the associated culvert has created or increased a wetland, the wetland's fate is a negotiated decision between the landowner, area habitat biologist and any other agency with jurisdiction.

The paradox of the first two principles is what drives the analysis of road impounded wetlands. This is intentional. Each principle alone would result in either removing or maintaining every wetland that occurs above a road fill. No considered decisions or negotiations would be possible.

Truly "natural" processes may be long gone in a watershed and impossible to restore. "Naturally sustainable" conditions should be an alternative in those cases. Significant RIWs warrant the attention of a wetland specialist and geomorphologist in the evaluation and decision-making process. These evaluations and decisions should be documented. The remainder of the document outlines considerations and procedures for this evaluation.

ROAD IMPOUNDED WETLAND SCENARIOS

Three types of wetland-generating crossings have been observed in the field and serve to simplify our approach to solving the situation.

1. ***Independent***: The wetland is generated by a structure that may once have been associated with the crossing but is now independent of it. Two instances are immediately obvious: a beaver dam that appears above the culvert (***Figure F.2)*** or a debris flow that terminated at the road fill. The actual drop occurs upstream of the culvert and would maintain the wetland regardless of the hydraulic control offered by the crossing structure

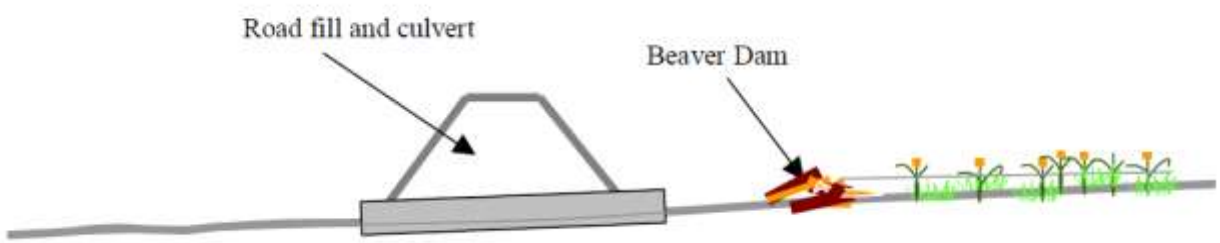


Figure F.2: Independent type RIW.

2. ***Continuous***: The road fill was originally placed over an existing wetland or low gradient stream reach (***Figure F.3)***. The hydraulic control created by the culvert and road fill increases the water surface elevation above the original condition. This may result in a change in character of the wetland from downstream to upstream of the road, such as from marsh to open water habitat. Alternatively, it may change a low gradient, free-flowing stream into a backwatered wetland. In any case, the change in character is not dramatic, and the overall drop in water surface elevation through the road fill is not great (on the order of 1 or 2 feet). Wrapped up in this scenario is the tendency to form wetland habitat in the

given reach because of soil type, ground water elevation and valley slope. The road impounded wetland is less an anomaly in the continuous scenario and more easily maintained in a variety of culvert and bridge design options.

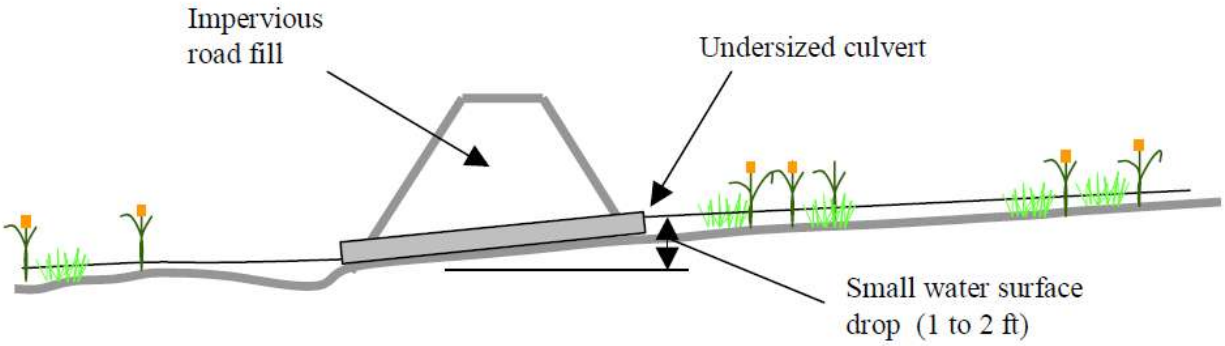


Figure F.3: Continuous type RIW.

3. Distinct: The road fill creates a totally different type of upstream habitat, distinct from the rest of the reach. Wetlands that appear above undersized or elevated culverts on high gradient streams are of a clearly different habitat type and interfere with the continuity of stream processes. The drop in water surface is generally large -- greater than 2 feet and reaching 15 or 20 feet in some cases (see ***Figure F.4)***.

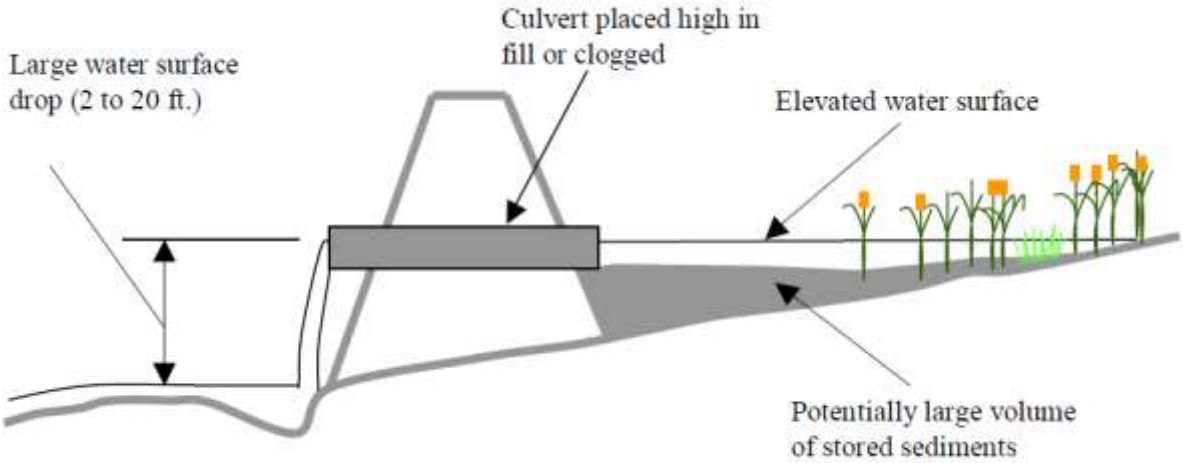


Figure F.4: Distinct type RIW.

These three types of RIWs lead to different approaches to making decisions about the fate of the wetland and the type of crossing structure and hydraulic control. In the case of the independent type, the crossing itself has little to do with the wetland (although it should be constructed to

accommodate the movement of the debris when it fails) and removing it might not change wetland conditions.

Continuous type wetlands may be easily maintained with simple hydraulic controls, provided that the functions and values found in the created wetland are consistent with overall stream health. It should be noted that such control creates a sediment and debris trap that will change the trajectory of the RIW. Consideration should also be given to the role of disturbance regime in healthy, productive habitat when permanent structures are proposed. Mitigation may be necessary in cases where loss in productivity is clearly identifiable (see Mitigation at the end of this chapter).

Distinct RIWs are much more difficult to address. To maintain them would require complex and expensive fish passage structures that interfere with stream continuity, including non-target fish passage and the movement of sediment and debris. On the other hand, the habitat may be so unique that heroic efforts to preserve it are justified. The accumulated sediment upstream may have a harmful and prolonged impact on the downstream habitat if the control is removed.

The role of beavers in all three of these types cannot be overemphasized. In some regions beavers are present at every road crossing, tirelessly creating wetlands. When beavers are included in the solution to a road impounded wetland problem, the final design may be very different than if they were absent. By relying on the activity of beavers, we can lower and enlarge a culvert and, without adding artificial grade control, still count on wetland formation. This may not be immediate, but likely in the long run.

SEDIMENT CONCERNS

Road fills and undersized culverts decrease the capacity of the upstream reach to transport sediment and debris. This material then accumulates in the backwatered area and may even extend further upstream. If the culvert is lowered and/or increased in size, a potentially large volume of stored sediments will be released as a channel cuts down through it and widens out into an equilibrium configuration. This is the same sequence of events associated with channel incision.

The volume of material liberated from this process may be large and have lasting effects on the downstream channel habitat. Sediment may also be transported at low flow and adversely affect organisms that need clear water conditions, rather than just at storm flow when all streams have a high level of sediment transport. The sediment above these culverts may have to be removed during construction of the new crossing to prevent downstream impacts.

EVALUATION PROCESS

Road impounded wetlands may be placed in two categories. Some clearly serve important functions, while others provide marginal functions. In order to simplify the evaluation process, it is reasonable to have two levels of analysis, one for each of these categories. The first establishes a threshold of concern, and the second weighs important stream and wetland functions. Examples of important wetland functions might be habitat for special species or maintenance of base flow conditions in the downstream channel. WDFW Priority Habitat and Species maps, the WDFW Wildlife Heritage Database, DNR Natural Heritage Program, and the Washington State Wetlands Rating System (Ecology) are important references in this and subsequent sections.

THRESHOLD OF CONCERN

The following criteria will help to distinguish between important RIWs that require careful analysis from those that can be easily evaluated on site.

1. If high quality wetlands are abundant nearby in the watershed, the RIW may best be restored to a pre-disturbance condition, especially if stream processes have been impaired and affect overall stream health. Expert opinion should be employed at this stage in the evaluation. (Wetlands should be rated using the Ecology Eastern or Western WA method).
2. If special species are at stake in the road-impounded wetland, it should have a full evaluation. Special species are indicators of management concerns in a given wetland, and their presence in the RIW elevates its status. The following are species of concern to the agency and/or WDFW staff with species expertise:
    a. Western and Woodhouse's toads (Bufo boreas and B. woodhousei)
    b. Oregon spotted frog (Rana pretiosa) (require large area wetland)
    c. Columbia spotted frog (Rana luteiventris) (do not require large area wetland)
    d. Cascade frog (Rana cascadae)
    e. Olympic mudminnows (Novumbra hubbsi)
    f. Cavity-nesting ducks (wood duck [Aix sponsa], Barrow's goldeneye [Bucephala islandica], common goldeneye [Bucephala clangula], bufflehead [Bucephala albeola], hooded merganser [Lophodytes cucullatus])
3. Overall stream health may be improved by returning low quality RIWs to free flowing streams. Indicators of low quality include:
    a. Low plant diversity. Low quality RIWs have limited plant diversity and often an unequal abundance among the species present.
    b. Presence of exotic species. Species such as bullfrogs, warm water fish, purple loosestrife and reed canary grass may dominate, thereby suppressing native species and diversity.
    c. A completely closed tree canopy. The lack of insolation retards wetland development and limits RIW quality. There are ancillary benefits to water quality in lower stream temperature.

FULL EVALUATION

The following outlines a process to evaluate the wetland functions and values at a given site and determine their contribution to overall stream health. The ecological issues are then weighed against the physical constraints of the road crossing and the desires of the landowner. Ultimately, one must document and justify a decision on a given course of action at an RIW site. Some action will require a permit.

The in-depth evaluation process begins by examining the stream system at the appropriate scale (watershed, subbasin, or stream). Scale can be determined by any number of criteria. For instance, an RIW that is home to a sensitive species should be examined at a larger scale to determine if it is unique habitat, if it is the only habitat available in the watershed, or if it is widely available and already colonized by the sensitive species.

1. Determine the extent of alteration of "natural" processes at the site. How far has the system departed from unaltered conditions, and what can we now expect from it in terms of habitat and health? Important parameters include:
    a. Stream and valley gradient and the channel type, particularly whether the natural channel has a flood plain. Steep valley gradients with confined channels are unlikely to have fostered riverine wetlands, while low gradient, unconfined channels are more likely to have riverine wetlands that could be maintained with simple hydraulic control.
    b. Base flow conditions and the RIW's role in their maintenance. If a stream has chronic low flow problems, removing a RIW will likely exacerbate them. If, on the other hand, the stream has good summer flow, then draining a small RIW will have little effect.
    c. Presence of existing wetlands or the tendency to form wetlands in the reach.
    d. Size and elevation of culvert relative to the stream and the water surface drop through road fill. The profile of the stream through the culvert determines the RIW scenario (outlined above) and the range of practical solutions.
    e. Time since impoundment. The alteration of the stream channel and the development of the wetland are both time-dependent. Short time frames lead to simpler solutions with less impact. Old RIWs have had a chance to develop complex, well-entrenched structure that may be difficult to revert back to free-flowing stream.
    f. Volume and composition of the sediment wedge, especially in the area that would potentially be regraded to form a natural channel with a flood plain. Large upstream deposits make restoration costly, either in their permitting and removal or the impacts to downstream habitat and water quality.
    g. Beaver activity - past, current and expected. Beavers build wetlands, and their presence may simplify restoration efforts.
    h. Wetland type and seral stage. The type and age of a wetland must be known to determine what is being maintained or lost and to determine the trajectory of any design option. (Hruby 2004)
2. List stream and wetland functions present, lost, and/or gained in maintaining the RIW (including the fish passage structure and artificial grade control) as well as in restoring historical processes. Below is a general list of paired functions for evaluation purposes (Hruby 2011). Note that these functions will vary with wetland and stream channel type under consideration.

RIW Functions and Values	Stream Functions and Values
Wetland temperature regime	Stream temperature regime
Water quality improvement	Pollutant transport downstream
Nutrient storage and transformation	Nutrient leakage
Sediment storage	Sediment transport
Large woody debris storage	Large woody debris transport
Stillwater fish, amphibian and reptile habitat (species and life stage)	Flowing water fish, amphibian and reptile habitat (species and life stage)
Wetland plant habitat	Riparian plant habitat
Wetland invertebrate habitat	Stream invertebrate habitat
Flood storage (size dependant)	Flood wave transported
Waterfowl habitat	Fish habitat
Groundwater recharge	Hyporheic flow
Base flow storage	No base flow storage
Anaerobic soil conditions	Aerobic soil conditions in riparian
Fine soil texture and associated habitat	Coarse soil texture and associated habitat

Assess wetlands to determine proposed losses in function and area associated with the RIW in question and prioritize wetland value within the watershed. The object of this exercise is to get a sense of how important this RIW is in the immediate landscape and the relative importance of the functions it provides. This information is necessary to determine if the third and fourth guiding principles apply or not. A suggested reference is the Wetland Rating System (Hruby 2004; Hruby 2004) . The level of detail here may range from expert opinion to a thorough watershed-scale inventory and assessment. Large blocks of land with multiple crossings involving impounded wetlands would lead to extensive inventories. Small landowners with only one crossing might employ the expert opinion method. There is no specific percentage of total wetlands in a watershed removed through the replacement of culverts that is considered critical for ecological integrity. The purpose of this step is to provide a watershed context, and no target value is implied.

The RIW can then be evaluated using the guiding principles:

> Weigh the wetland functions and values determined in the steps above. If overall stream health and the greatest benefits to the watershed lie with maintaining the RIW, then preliminary designs should seek to maintain it. If the greatest benefits lie with a return to natural stream processes, then design and permitting should proceed in that direction.
>
> Examine the design alternatives available given the site constraints and intended use.
>
> Take into consideration the social and economic impacts of each design alternative.
>
> Negotiate a design alternative and mitigation (if required) that maintains or improves the overall stream health of the watercourse and that meets the needs of the landowner.

DESIGN ALTERNATIVES

These are some alternatives that should be considered at each site. This is not a complete list, so new and creative designs are encouraged.

Status Quo: do not modify the crossing at this time.

Regrade: remove hydraulic control, drain RIW and return to a free-flowing stream, with possible mitigation requirements.

Streambed controls: step up channel to maintain existing RIW water surface elevation.

Fishway: construct a formal facility to pass fish upstream and maintain RIW.

Roughened channel: increase downstream channel slope to maintain RIW.

Bypass channel: lengthen channel reach on a different alignment to maintain RIW.

The last 5 alternatives are explored in a more detailed way in ***Chapter 7: Channel Profile Adjustment.***

FISH-RELATED RIW CONSIDERATIONS

Draining a road-impounded wetland is not likely to significantly affect fish in the former wetland because these fish were present before the road fill and culvert were installed and they survived under those natural conditions. Abundance and survival strategies may change as competition and predation are reintroduced with fish passage and a return to natural processes, but the population should survive.

There could be exceptions to this if species of concern are involved. A notable example is mudminnows, which cannot survive in the free-flowing stream environment. How mudminnows came to be present in an RIW may be lost in a complex stream history. Their unique habitat should not be lost by the removal of a road-associated hydraulic control.

Providing fish passage into an RIW that is to be maintained as a wetland is not likely to significantly affect resident populations. Once again, abundance and survival strategies may change as competition and predation are reintroduced with fish passage and a return to natural processes, but the population should survive.

Again, there may be exceptions to this if species of concern are involved. Examples might include pure strains of westslope cutthroat or red band trout in specific Eastern Washington geographic regions that could be impacted by interbreeding with hatchery strains and competition. However, these examples are more likely to occur by opening up passage to upstream flowing reaches rather than road impounded wetlands. If providing natural connectivity (and restoring natural stream processes) poses a potential risk to a species of concern, fishery managers should develop alternatives to the use of permanent man-made barriers.

It is worthwhile to electroshock road impounded wetlands in order to give an indication of fish species present. However, because of the complex cover, sediment, and deeper areas of water,

electro-shocking does not provide a very high sampling efficiency and should not be used to rule out presence of other species that are not detected. Minnow traps may also provide some indication of species present.

Sampling the downstream plunge pool also gives an indication of what species could be present in the RIW, but their presence does not necessarily mean that they will utilize the upstream reach once fish passage is restored.

The number and kinds of fish species potentially utilizing the RIW will depend on various factors such as summer low flows, summer maximum temperatures, etc. The RIW may or may not provide good summer rearing habitat, but it may provide important winter habitat. Therefore, summer conditions without passage may preclude the existence of resident populations; however, with passage, certain species may utilize the habitat when seasons and conditions are favorable.

One of the more difficult issues relating to this issue is: Should it be our priority to restore natural stream processes and accept whatever species adaptations occur as a result of restoration to those natural processes? This might even mean significant changes in some populations. Or should we try to take charge of those natural processes so that we can try to control the outcome (e.g., isolate species of concern, mitigate for lost wetlands in other places, etc.)?

ROADS AS DAMS

In many ways the roads that create RIWs are similar to dams and we can follow the lead of research on the impacts of such structures. Generally, road impounded wetlands are on small, low order streams either in headwaters or direct tributaries to larger rivers. Large river issues (such as flood pulse effects on flood plains or islands) don't necessarily apply. Some of the important areas of concern are:

Size ratio of particulate **organic matter**. Transport of larger debris (consider leaf-sized pieces as opposed to small particles) blocked by the road and/or culvert may change invertebrate feeding groups, particularly downstream.

The effects of impoundment on the **sediment quantity and size distribution** behind the impoundment and in the downstream reach. Effects of sediment deposition could be significant in the remaining length of the tributary.

Effects on the maximum and daily range of stream **temperature**. Effects may be less important in forested situations but more important in open water systems with minimal ground water input.

Effects on **discharge patterns**. Moderated flow fluctuations and a muted flood wave that reduces sediment and debris transport may be issues.

Regulation of the headwaters will suppress the **biotic diversity** in the receiving stream, primarily because of the disruption of detrital transport and the spiraling of nutrients and organic matter.

Nutrient levels will increase downstream of headwater impoundments, but decrease downstream of middle-order stream impoundments.

RIWS AS RESERVOIRS

The existence of a road impounded reservoir indicates some level of hydraulic control on stream flow. The degree to which the road fill and culvert influence important stream functions is difficult to determine without detailed analysis and modeling. This section of the guidance looks at a method to help decide when analysis is necessary. RIWs act as detention basins that reduce and delay flood peaks. This may be a benefit to downstream property owners, but it is at the detriment to the natural channel. The following is a short list of stream functions affected by RIWs:

- Reduction in habitat-forming processes such as channel scour and pool formation.
- Limited wood and gravel recruitment because of reduced erosion.
- Reduced extent and/or frequency of flood plain inundation.

Basic principles indicate that the combination of a steep-sided or urbanized watershed (with a short time-to-peak flow) with a large RIW area and a small outlet structure (culvert) leads to a significantly reduced and delayed flood peak. Conversely, a low gradient landscape with a high percentage of wetlands with a small RIW area and a large outlet structure may lead to no change in outlet discharge.

In order to determine when to expect significant effects, we modeled various watershed sizes and RIW areas and computed the effect on the downstream discharge peak flow. A number of assumptions were made in order to simplify the analysis. The watersheds were on the west side of the Cascades (USGS region 2), but not in coastal areas. A 25- year recurrence interval storm was chosen since it is relatively common and likely to scour the channel. The RIW reservoir was modeled as a straight-sided cylinder, which is not at all like a natural valley that gets wider as it gets deeper. The outlet of the reservoir was assumed to be a weir that is as wide as a channel that would be expected in the watershed area modeled. Rainfall was assumed to be 50 inches a year. The chart below shows the results of 21 independent simulations.

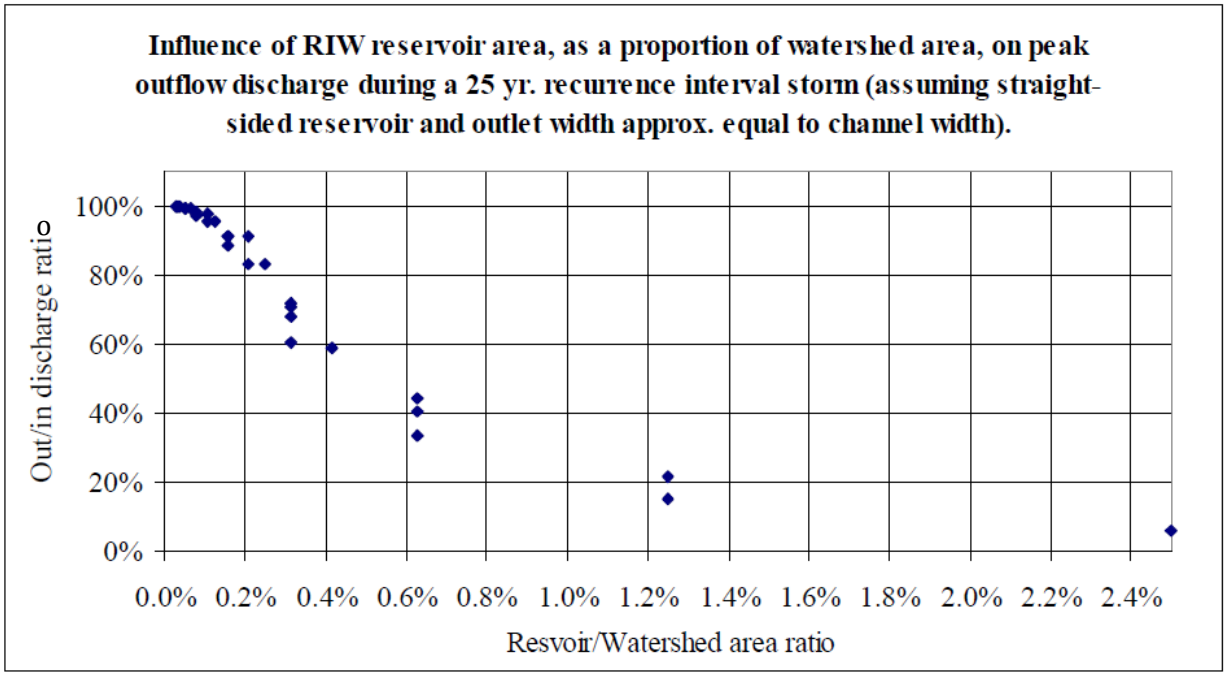


Figure F.5: A chart that relates the proportion of the watershed area impounded by the road and culvert, with the ratio of flow into the culvert/wetland system divided by the flow out. This chart was developed using simplified assumptions concerning reservoir routing.

The general observation is that RIWs that impound wetlands less than about 0.2% of the area of the watershed are not likely to significantly affect the downstream flood peak flow in USGS region 2. As seen from the graph, out flow peak discharge is about 90% or more of the inflow. 0.2% of a one square mile watershed is about 1¼ acres. On the other hand, RIWs with an area greater than 0.4% of the watershed may reduce peak flow by 50%.

This analysis does not address low flow. As mentioned above, wetlands recharge groundwater and store water during wet periods, releasing it during dry periods. Clearly, some RIWs influence the low flow characteristics of their streams. Unfortunately, the factors involved are subtle, complex and poorly understood and cannot be evaluated without extensive, site-specific information.

MITIGATION

In cases where RIWs can be shown to contribute values and functions found in natural wetlands, impacts caused by any actions arrived at through this guidance should follow a mitigation sequence. Actions are listed in the order of preference:

1. Avoid the impact altogether by not taking a certain action or parts of an action.
2. Minimize impacts by limiting the degree or magnitude of the action and its implementation, by using appropriate technology, or by taking affirmative steps to avoid or reduce impacts.
3. Rectify the impact by repairing, rehabilitating, or restoring the affected environment.
4. Reduce or eliminate the impact over time by preservation and maintenance operations.
5. Compensate for the impact by replacing, enhancing, or providing substitute resources or environments.
6. Monitor the required compensation and take remedial or corrective measures when necessary.

APPENDIX G: DESIGN FLOWS FOR FISH PASSAGE AND HIGH FLOW

INTRODUCTION

This first portion of this chapter is an adaptation of Appendix C in *ROAD CROSSINGS FOR FISH PASSAGE* (WDFW 2003) by P. D. Powers and C. S. Saunders. In 2003 the hydraulic design method (see ***Chapter 6)*** played a greater role in fish passage than it does today, as discussed in more detail in the ***Introduction***. As a result, there was greater emphasis on a method to determine the fish passage design flow, the primary design parameter, for a wide range of projects. Since then the emphasis has shifted to a more geomorphological approach for culvert design. Those few projects that still require hydraulic design should be based on flows developed through a more robust process than regional regression methods like the one described here. The error inherent in regional regressions is large enough, and the design requirements of the hydraulic method stringent enough that the success of a project based solely on this method may be severely limited.

Fish passage projects based on the hydraulic method (***Chapter 6***) should be designed using stream gauge recordings at the project site. Much can be gained from even a single year of data when it is compared to locally gauged streams. Two or more years can result in quite accurate estimates. A statistically accurate 10% exceedance flow is much easier to achieve than, say, an estimate of the recurrence interval of annual peak flow. Finally, stream gauging equipment has become relatively inexpensive, reliable, and easy to install.

The original Powers and Sunders report provided guidance on estimating the fish passage design flow by calculating regional regression equations for un-gauged catchments. The basis of these design flows can be found in WAC 220-110-070(3)b(ii)B, which says that the flow used to determine the maximum velocity in the culvert is the flow that is not exceeded more than ten percent of the time during the months of adult fish migration. As a simple and conservative alternative, the 2-year peak flow can be used (Sumioka, Kresch et al. 1998). The two-year peak flow is often much higher (by 200 to 300 percent) than the 10-percent exceedance flow, so there may be some economy gained in gauging stream flow. For gauged catchments, the 10-percent exceedance flow for any month can be determined easily by developing a flow-duration curve (Wiessman, Lewis et al. 1989)

CALCULATING THE FISH PASSAGE DESIGN FLOW FOR WESTERN WASHINGTON

This report uses the U.S. Geological Survey regions and basin parameters (Sumioka, Kresch et al. 1998) to develop regression equations for the 10-percent exceedance flow for the months of January and May. These months were selected to represent the high fish-passage design flow (Q_{FP}) for two periods when upstream passage has been observed (Cederholm and Scarlett 1981; Peterson 1982). January represents the month of highest flow, when adult salmonids are passing upstream, and May represents the most critical month for upstream passage of juvenile salmonids. Other months are also important, but January and May represent the two extreme combinations for design considerations. Equations were developed for three regions of western Washington (***Figure G.1***). Fish passage design flows for Eastern Washington can be calculated using a separate document (Rowland, Hotchkiss et al. 2002).

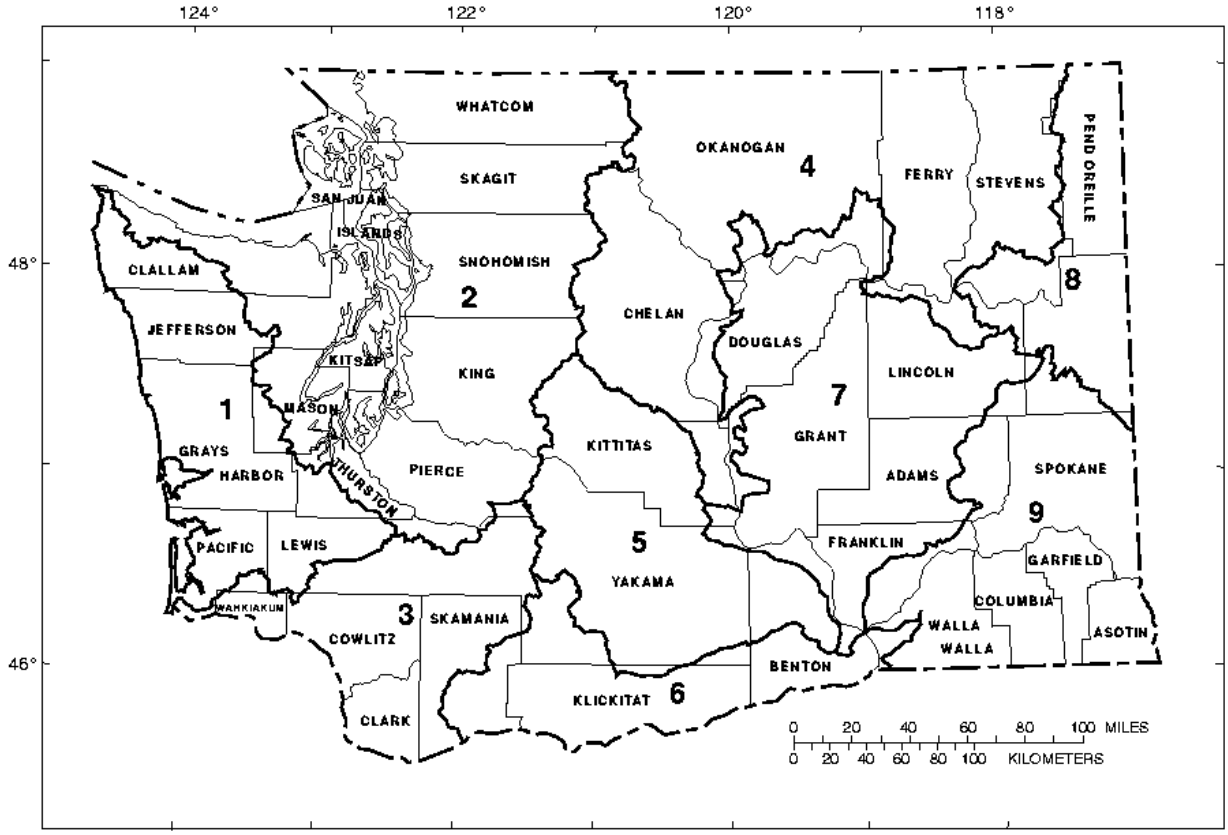


Figure G.1: Flood frequency regression regions in Washington State.(Sumioka, Kresch et al. 1998)

DESCRIPTION OF REGIONS

The state of Washington was divided into subsections based on their drainage-flow characteristics. These regions were derived from a number of relevant sources and are the same as those regularly employed by the U.S. Water Resources Council and the U.S. Geological Survey.

The Coastal Lowland Region (Region 1) includes parts of Clallam, Jefferson, Mason, Thurston, Pacific, Lewis and all of Grays Harbor counties. Streams in Region 1 drain directly into the Pacific Ocean.

The Puget Sound Region (Region 2) includes sections of Clallam, Jefferson, Mason, Thurston and Pierce counties, and all of King, Snohomish, Whatcom and Skagit counties. Streams in Region 2 drain into the Puget Sound.

The Lower Columbia Region (Region 3) includes all of Wahkiakum, Cowlitz and Clark counties, and sections of Skamania, Pacific and Lewis counties. In this region, rivers flow from westward and southward from the crest of the Cascade Mountains and drain into the Columbia River.

METHODOLOGY

To create a usable model for estimating fish-passage design flows, a data selection process was necessary. The selected parameters required that the drainage areas under consideration be less than 50 square miles, with at least five years of January and May data compiled by the U.S. Geological Survey, and all selected data reported was required to be characterized as fair, good or excellent. Sites where the measured data were reported to be poor or had large periods of estimation during the months of interest were excluded from the analysis. Certain sites were also rejected because of major upstream diversions; lakes or reservoirs acting as stream controls. Data were compiled using US West Hydrodata® CD-ROM, 1997, for USGS Daily Values, as well as Open File Reports 84-144-A, 84-144-B, 84-145-A and 84-145-B. Most mean annual precipitation and precipitation intensity were gathered from the Open File Reports; however, when figures were not available in the Open File Reports, values were determined by locating the latitudinal and longitudinal coordinates of the gauge stations. The 10-percent exceedance flow values were calculated using the Hydrodata® software via the Weibul formula:

$$P = M/(N+1)$$

where N is the number of values and M is the ascendant number in the pool of values.

REGRESSION ANALYSIS

A least squares, multiple-regression analysis was run on a logarithmic transformation of the data. Drainage area and mean annual precipitation (precipitation intensity for Region 1) were the independent values. The independent variables used were those specified in the 1996 U.S. Geological Survey report.

Reasonable correlations were found within the western Washington regions. Correlation improved upon further division of the individual regions. Separate analyses were run for the high passage flows during the January and May migration periods for each region/subregion defined. Percent standard error (Tasker 1978) was derived from the formula:

$$SE_{percent} = 100(e^{\text{mean squared}} - 1)$$

where the units of the mean are natural log units. A table used for this formula allowed for simple derivation of standard error in percent from logarithmic units (Tasker 1978).

It's important to remember the nonsymmetrical nature of the log-normal distribution. The higher the calculated design flow, the greater the probability that the upper design flow will fall higher than one standard error above the regression line and less than one standard error below the regression line. It is however, correct to assume an equal probability within one standard error above or below the regression line when the calculated flow and the standard error are expressed in logarithmic (base 10) units. However, the imprecise nature of accurately predicting high-passage design flows would more often than not influence the user to add the standard error, making the probability distribution somewhat unimportant.

RESULTS

Table G.1 is a summary of the regression equations that were developed. The original Powers and Saunders analysis included lowland (elevation <1000 ft) and highland (elevation > 1000 ft) stations in Regions 2 and 3. Through the use of these equations during the intervening years some doubt about the accuracy of the highland and urban coefficients has arisen. Short of recalculating the regression equations, the prudent course of action at this point is to remove the highland and urban coefficients. It is recommend that designers using these regressions use the lowland versions, ***Table G.1***, as preliminary estimates and use gauging or other more rigorous methods to refine their design flows.

Table G.1: Regional regression equations for fish passage design flows in Washington. Qfp = fish-passage design flow; A = drainage area, square miles; I = two-year, 24-hour precipitation, in inches; P = mean annual precipitation, in inches.

			Constant	Coefficients		SE
		Equation	**a**	**b**	**c**	**(%)**
REGION 1	January	$Qfp= aA^bI^c$	6.99	0.95	1.01	25.7
	May	$Qfp= aA^bI^c$	2.25	0.85	0.95	30.6
REGION 2						
Lowland Streams < 1000 feet Elevation	January	$Qfp= aA^bP^c$	0.125	0.93	1.15	48.6
	May	$Qfp= aA^bP^c$	0.001	1.09	2.07	75
REGION 3						
Lowland Streams < 1000 feet Elevation	January	$Qfp= aA^bP^c$	0.666	0.95	0.82	38.1
	May	$Qfp= aA^bP^c$	0.014	0.87	1.42	38.1

Computation of a fish-passage design flow at an un-gauged site is made as follows:

1. From the map showing hydrologic regions (***Figure G.1)***, select the region in which the site is located.
2. From ***Table G.1*** select the appropriate equation from the region and select the appropriate month.
3. Using a U.S. Geological Survey topographic map, or other map, measure the drainage area above the site.
4. From a map of mean annual precipitation, for instance (Sumioka, Kresch et al. 1998), select the precipitation for the watershed in question.
5. Substitute the values determined from Step 3 and 4 into the equation from Step 2 and solve for the fish-passage design flow.
6. Apply the percent standard error as appropriate. In most cases, the standard error is added to the result because the high end of the passage flow is desired.

Example

Lake Creek Tributary (Lake Cavanaugh Road)

From Table 1: Region 2, January

A = 1.82 sq mi

P = 80 in/yr

$Qfp = 0.125(A)^{0.93}(P)^{1.15}$

$Qfp = 0.125(1.82)^{0.93}(80)^{1.15}$

Qfp = 34 cfs, Standard Error is 48.6%

Answer: Qfp = 18 to 50 cfs

LIMITATIONS AND COMMENTS

The equations presented in this study can be used within certain limitations to predict fish-passage design flows for western Washington. The relationships were determined from gauging station data for natural-flow streams and should not be applied where artificial conditions have altered stream hydrology. These equations are not a substitute for hydrologic synthesis within a region, where flows are actually measured to develop a correlation to gauged data. Extrapolations beyond the limits of the basic data used in each region are not advised. Relationships can be used with the most confidence in lowland areas, where runoff is dominated by rainfall, and with the least confidence in highland or desert areas with little rainfall. Many urbanized streams in Puget Sound have been modeled using continuous simulation models. Watershed basin plans may be available from local governments with data that should be used to generate flow-duration curves for a specific stream location.

DETERMINING DESIGN FLOOD FLOW

The design of hydraulic structures is based on calculated risk using an agreed-upon recurrence interval. WAC 220-110-070 states that the 100-year peak flow will be used for the design of bridges and culverts (an argument can be made for the use of larger or smaller recurrence interval design flows depending on project goals, safety regulations and cost). The magnitude of this event can be calculated in 4 ways, stated in order of preference:

1. Gauge data for a period of at least 10 years. The accuracy of the prediction increases with the length of record. The table below gives the relative error (max_predicted_flood – population/population) for several confidence intervals (IACWD 1982; McCuen and Galloway 2010)

	Relative error in the 100-year flood for a given C.I.		
Years of record	**80%**	**90%**	**95%**
10	0.61	1.0	1.5
25	0.42	0.6	0.8
50	0.33	0.45	0.6
100	0.25	0.36	0.4

   For low risk projects (risk to both habitat and infrastructure) a lower confidence interval (C.I.) can be used and a correspondingly low relative error. For a low risk project with 10 years of record, one might cautiously add 60% to a predicted flood flow. On the other hand, a high risk project might need to double the estimate to compensate for potential events not included in the record. Further risk analysis will be necessary to understand the implications of structure life span and other relevant factors.
2. Continuous flow simulation model which has been calibrated to existing conditions. Errors in estimating rainfall, model setup, calibration, and other uncertainties should be quantified and a safety factor reflecting the risk and confidence interval applied to the estimate.
3. Local regression model to a closely matched gauged stream(s) with at least 10 years of flow data. As with method (1), the error of prediction is dependent on years of station record and a safety factor should be applied. Local regressions are covered in numerous publications (Dunne and Leopold 1978; Haan, Barfield et al. 1994), although a simplified method is given in (Sumioka, Kresch et al. 1998).
4. Regional regression model to which one standard error has been applied to the estimate to compensate for the inherent uncertainty (Sumioka, Kresch et al. 1998).

Local knowledge of flood events, or measured high water marks, should be used to verify model results.

APPENDIX H: WATER CROSSING HABITAT IMPACTS

The following list of impacts and compensatory measures is provided as a guide to designers. This list is not a comprehensive analysis of mitigation. For a complete discussion of mitigation issues and policy see ***WDFW Compensatory Mitigation Guidance*** (in development as of July, 2011). The intention here is to show how good design and construction practice compensates for most impacts and that, conversely, conflicting design goals or compromises made to reduce cost will require mitigation. The list is set up with the impact in bold type and the design features that compensate for these impacts bulleted below.

CONSTRUCTION IMPACTS

Fish kill

- properly designed up- and downstream blocknets
- blocknet maintenance plan
- fish removal by qualified personnel
- pump screen for dewatering pumps or bypass pumps

Water quality

- properly designed and maintained diversion
- containment and treatment of construction water
- contingency plan for pump diversions; if the diversion pump fails or runs out of fuel there should be a plan to remedy the situation
- isolate concrete, paint, and adhesives until cured

Disruption of riparian and uplands

- restore adjacent natural contours
- clean up and revegetate storage and access points
- revegetate fill slopes with native vegetation

Foreign materials

- remove old abutments and other remnants from the previous crossing structure

GEOMORPHIC IMPACTS

Disruption of stream profile

- channel regrade plan to restore equilibrium
- properly designed up- and downstream transitions

Crossing skew

- realign crossing to reduce skew

- realign stream to reduce skew
- use large wood to redirect flow or reduce the effect of skew on the road fill or the crossing structure

Exposure of bedrock or hardpan

- place large wood to store sediment (must be dug in or ballasted with sediment)

Transport of sediment and debris

- proper crossing design using stream simulation or a properly designed bridge
- maintenance and contingency plan for other designs
- sediment or wood supplementation plan for downstream reach

Channel simplification

- proper crossing design using stream simulation or a bridge

Disruption of meander migration

- size crossing to accommodate meander migration expected to be encountered within the life span of the structure
- add large wood jams to alter flow patterns

RIPARIAN IMPACTS

Permanent removal of riparian vegetation

- enhance remaining riparian vegetation, if degraded, by eliminating invasive species and revegetating with appropriate native species
- restore off-site area with native vegetation
- restore natural wood loading in a specified reach

Filling of riparian wetland

- steepen fill slope to reduce impact
- remove unnecessary fill
- enhance remaining riparian vegetation if degraded
- remove invasive species from specified area and replant with native vegetation
- provide off-site compensation

BIOLOGICAL IMPACTS

Spawning habitat loss

- proper crossing bed design and material specification
- gravel-poor streams: supplement gravel
- gravel-rich streams: supplement large wood to natural levels

Rearing habitat loss

- place large wood structures to form pools
- create or re-connect off-channel habitat
- enhance remaining riparian vegetation if degraded

Placement of non-native materials, such as quarry rock, concrete, sheet pile, etc.

- substitute biotechnical techniques for riprap
- move non-native materials from frequently inundated areas to outside OHW
- cover non-native materials with soil and revegetate
- increase structure span to reduce need for riprap
- reduce fill slope to increase stability and vegetation success

Ecological connectivity

- proper crossing design using stream simulation or a properly designed bridge
- long term impacts cannot be mitigated in kind

Fish passage

- proper crossing design using an accepted fish passage method such as those represented in this document
- barriers to some species cannot be mitigated in kind unless habitat can be created or access to equivalent areas restored.

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